

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
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BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, December 20, 2011
10:00 a.m.

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
1020 South Spring Street
Springfield, Illinois

Call State Board of Elections to order.

Recess as the State Board of Elections and convene as the State Officers Electoral Board (SOEB agenda sent under separate cover).

Recess as the State Officers Electoral Board and reconvene as the State Board of Elections.

1. Approval of the minutes from the November 21 meeting. (pgs.1-5)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for reconsideration
 - 1) *SBE v. Citizens for Blair*, 22735; (pg.6)
 - 2) *SBE v. Citizens to Elect Richard A. Wooten*, 23114; (pgs.7-13)
 - 3) *SBE v. Friends of Stocks-Smith*, 23142; (pg.14)Motion for settlement offer
 - 4) *SBE v. Friends of Terry Marketti*, 18102; (pgs.15-24)
 - 5) *SBE v. Friends of Dale Berman*, 18528; (sent under separate cover)
 - 6) *SBE v. Citizens to Elect Patrick J. Sherlock*, 20897; (pgs.25-26)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 7) *SBE v. Wheaton Warrenville PAC for Education*, 7487, 11AJ033; (pgs.27-34)
 - 8) *SBE v. ILCA PAC*, 19282, 11MA057; (pgs.35-41)
 - 9) *SBE v. Friends of Dart*, 19319, 10AG093; (pgs.42-56)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
 - 10) *SBE v. Bureau County Republican Central Committee*, 331, 11MA045; (pgs.57-60)
 - 11) *SBE v. IPACE of Alton Education Association*, 5778, 11JQ213; (pgs.61-63)
 - 12) *SBE v. Citizens for Lyle*, 14153, 11AJ043; (pgs.64-72)
 - 13) *SBE v. Citizens to Elect Robert E. Howard*, 19080, 11AJ057; (pgs.73-75)
 - 14) *SBE v. Friends of Ike Carothers*, 19970, 11MA017; (pgs.76-109)

- 15) *SBE v. Prairie Greens of East Central Illinois, 19988*, 11JQ240; (pgs.110-112)
 - 16) *SBE v. Friends of District 214, 20059*, 11AM165; (pgs.113-115)
 - 17) *SBE v. Committee to Elect Anita Rivkin Carothers, 21035*, 11MA020; (pgs.116-135)
 - 18) *SBE v. Zalewski for State Representative, 21222*, 11AJ064; (pgs.136-140)
 - 19) *SBE v. Friends of Terry Stephens, 21582*, 11MQ060; (pgs.141-147)
 - 20) *SBE v. Preckwinkle for President, 21644*, 10AG091; (pgs.148-153)
 - 21) *SBE v. Friends of Murfin and Sarkees, 21877*, 09JS267; (pgs.154-160)
 - 22) *SBE v. Re-Elect Eric Leys, 22022*, 11MA067; (pgs.161-165)
 - 23) *SBE v. Friends of Russell W. Hartigan, 22244*, 11AJ067; (pgs.166-169)
 - 24) *SBE v. Committee to Elect Steven G. Watkins, 22358*, 11MA069; (pgs.170-172)
 - 25) *SBE v. People for Rauschenberger, 22369*, 11AJ068; (pgs.173-175)
 - 26) *SBE v. Friends of Sherman C. Jones, 22764*, 11AJ069; (pgs.176-180)
 - 27) *SBE v. Project M PAC, 23716*, 11MA088; (pgs.181-183)
- Appeals of contribution limit fines – new appeals – hearing officer recommendation appeals be granted
- 28) *SBE v. Citizens to Elect Anthony Beale, 14556*, 11MQ-CL001; (pgs.184-188)
 - 29) *SBE v. Friends of JoAnn Thompson, 16425*, 11MQ-CL002; (pgs.189-193)
 - 30) *SBE v. Houston for Mayor, 17280*, 11MQ-CL003; (pgs.194-200)
- Failure to comply with a board order
- 31) *SBE v. Cook County Democratic Women, 945*; (pgs.201-202)
- Other campaign disclosure items
- 32) Contribution Limit Penalty Assessments/Final Orders; (pg.203)
 - 33) Potential ballot forfeiture for 2012 – informational; (pgs.204-208)
 - 34) Payment of civil penalties – informational; (pgs.209-210)
- Complaint following closed preliminary hearing – proceed to public hearing (separate packet)
- 35) *SBE v. Friends of Michael Stinson, 11CD205*.

3. Report of the Executive Director
 - a. Preparations for the March 20, 2012 General Primary Election;
 - 1) Candidate petition filing; (pgS.211-212)
 - 2) Election judge training schools – informational; (pg.213)
 - b. February 2012 Board meeting time; (pg.214)
 - c. Report on COGEL conference; (pgs.215-222)
 - d. Legislative update; (pgs.223-224)
 - e. Campaign Finance Reform Task Force meeting; (pgs.225-234)
 - f. Electronic canvass update; (oral report)
 - g. IVRS update – informational; (pgs.235-238)
 - h. Census data versus registered voters; (pg.239)
 - i. Statewide precinct numbers; (pgs.240-244)
 - j. Consideration of FY13 appropriation request; (pg.245)
 - k. Springfield office facility update; (pg.246)
 - l. Fiscal status reports – informational;
 - 1) FY12 – month ending November 30; (pgs.247-255)
 - 2) Help Illinois Vote Fund; (pgs.256-261)
 - m. Two year plan of staff activity for the months of December & January – informational. (pgs.262-266)
4. Follow up. (pg.267)
5. Comments from the general public. (pg.267)

- 6. Next Board meeting at 10:30 a.m. on Thursday, January 12, 2012 in Springfield. (pg.267)**
- 7. Executive session. (pgs.268-283)**

STATE BOARD OF ELECTIONS
Regular Board Meeting
Monday, November 21, 2011

MINUTES

PRESENT: William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT: Rupert Borgsmiller, Executive Director
Jim Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. at the State Employees' Retirement System building with all Members present.

The Chairman opened the meeting by leading everyone in the Pledge of Allegiance to the flag.

The minutes from the October 18 meeting were presented. Member Scholz moved to approve the minutes as presented. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The Executive Director presented service awards to the following employees: 15 year award to Bruce Brown; 20 year award to Carole Skaggs; and 25 year awards to Sharon Steward and Kevin Turner. He highlighted some accomplishments of each employee and thanked them for their dedication and service.

The General Counsel presented Agenda item 3.a.1, *SBE v. Mark J. Gernigin Campaign*, 18746, 11MQ039, a motion for reconsideration of a final Board order. The General Counsel recommended that the motion be granted, the final order be vacated and that the matter be sent back to the hearing officer. Mark Gernigin was present on behalf of the committee and offered his thanks to Sharon Steward for her assistance. Member Byers moved to accept the recommendation of the hearing officer. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Agenda item 3.a.8, *SBE v. ILCA PAC*, 19282, 11MA057, was removed and will be placed on the December Board meeting Agenda.

The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be granted. These were agenda items 3.a.2, 4-7 & 9-10: 2) *SBE v. Adams County Republican Central Committee*, 328, 11MA044; 4) *SBE v. Democratic Party of Oak Park*, 598, 11AJ021; 5) *SBE v. Dent-IL-PAC, the Political Action Committee of the IL State Dental Society*, 1043, 11AJ024; 6) *SBE v. Kane County Republican Advisory Council*, 18202, 11MA015; 7) *SBE v. East St. Louis Republican Central Committee*, 18551, 11AJ054; 9) *SBE v. Republican Renaissance PAC*, 21016, 11JQ245; and 10) *SBE v. Committee to Elect Nathaniel R. Howse*, 23715, 11JQ202. The General Counsel concurred with the hearing officer recommendation that the

SBE Minutes

November 21, 2011- Page 2

appeals be granted. No one was present on behalf of the committees. Vice Chairman Smart moved to accept the recommendation of the hearing officer and General Counsel and grant the appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 3.a.3, *SBE v. Pike County Republican Central Committee*, 591, 11JQ009. The hearing officer recommended the appeal be granted but the General Counsel recommended it be denied because it has been past practice of the Board to not accept illness of a family member as an adequate defense. The General Counsel said that as a matter of course, parties receive proper notice when the General Counsel has a different recommendation than the hearing officer. No one was present on behalf of the committee. Vice Chairman Smart moved to deny the appeal. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The following Agenda items 3.a.12, 16-19 & 21-22 were removed and will be placed on the December Board meeting Agenda: 12) *SBE v. Bureau County Republican Central Committee*, 331, 11MA045; 16) *SBE v. Friends of Ike Carothers*, 19970, 11MA017; 17) *SBE v. Committee to Elect Anita Rivkin Carothers*, 21035, 11MA020; 18) *SBE v. Zalewski for State Representative*, 21222, 11AJ064; 19) *SBE v. Preckwinkle for President*, 21644, 10AG091; 21) *SBE v. Committee to Elect Steven G. Watkins*, 22358, 11MA069; and 22) *SBE v. Friends of Sherman C. Jones*, 22764, 11AJ069.

The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be denied. These were agenda items 3.a.11, 13-14, 20 & 23-25: 11) *SBE v. Sangamon County Democratic Central Committee*, 317, 11AJ016; 13) *SBE v. Wood River Township Democratic Precinct Committeemen's Org.*, 374, 11AJ018; 14) *SBE v. Alexander County Republican Central Committee*, 4953, 11JQ211; 20) *SBE v. Committee to Elect Michelle Huggish Flagg*, 22202, 11JQ263; 23) *SBE v. Citizens for Julius Anderson*, 22851, 11DS357; 24) *SBE v. Rooney's Bus*, 22942, 11MA073; and 25) *SBE v. Citizens to Elect Kenneth Harris*, 23286, 11JQ172. The General Counsel concurred with the recommendation of the hearing officer and noted that no one was present on behalf of the committees. Member Coffrin moved to accept the recommendation of the hearing officer and General Counsel and deny the appeals. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The Chairman presented the following appeals of campaign disclosure fines where the hearing officer recommended they be granted in part and denied in part. These were agenda items 3.a.26-27: 26) *SBE v. Citizens for Lyle*, 14153, 11MA028; and 27) *SBE v. Citizens to Elect Valerie DeVost*, 23004, 11MA074. The General Counsel concurred with the recommendation of the hearing officer and noted that no one was present on behalf of the committees. Vice Chairman Smart moved to accept the recommendation of the hearing officer and General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The Chairman presented the following appeals of contribution limit fines that the hearing officer recommended be granted. These were agenda items 3.a.28-30: 28) *SBE v. Associated Beer Distributors of Illinois PAC*, 538, 11SQCL001; 29) *SBE v. Citizens for Kirk Dillard*, 9857, 11SQCL002; and 30) *SBE v. Friends of Louise Hayes*, 23734, 11SQCL005. The General Counsel concurred with the recommendation of the hearing officer and noted that no one was present on behalf of the committees. Vice Chairman Smart moved to accept the recommendation of the hearing officer and General Counsel and grant the appeals. Member Scholz seconded the motion which passed by roll call vote of 8-0.

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The General Counsel presented civil penalty assessments and contribution limit penalty assessments necessitating a final Board order for the committees included on pages 174-175 of the Board packet. Vice Chairman Smart moved to issue final Board orders for those committees. Member Scholz seconded the motion which passed by roll call vote of 8-0.

A ballot forfeiture listing was distributed for informational purposes. It included candidates who still owe civil penalties and also those who have paid their civil penalties and are no longer subject to ballot forfeiture.

The Executive Director began his report with an overview of the candidate petition filing process for the March 20, 2012 General Primary Election and noted the first day to file is November 28th. The Board room will be set up to accommodate the legislative caucus filers and all other filers will proceed to the area outside of the Executive Director's office. New to this year's filing period is the ability to accept credit cards as a form of payment for petition requests and the option to purchase a candidate's petitions on a CD for \$5.00 each. Each set of petitions will be scanned into the computer and petition copy requests will be printed from the scanned image rather than the original petition itself. These improvements should save time and money and help expedite the process.

Ken Menzel discussed the anticipated amended certification and explained that due to late delegate filings and the objection process it would most likely be necessary to amend the certification at least once after the January 12, 2012 ballot certification date. Mr. Menzel summarized the four possible scenarios that might necessitate amended certifications outlined in his memo on pages 192-193 of the Board packet. He then asked for the Board to authorize and direct staff to promptly issue amended certifications when the need arises without convening a special Board meeting. Member Scholz moved to authorize and direct staff to amend the certification after the ballot certification date. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Mr. Borgsmiller presented the schedule of election judge schools for informational purposes.

Next on the Agenda was consideration of approval of two printers with the ES&S ballot on demand feature. Dianne Felts explained that the program allows for in-house printing of military and absentee ballots and both the Oki Data printers (models C711 and C9650) are off the shelf commercial printers. Ms. Felts then asked for Board approval for use in Illinois. Vice Chairman Smart moved to approve the two printers. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Brent Davis gave an update on the electronic canvass project and said two jurisdictions, Cook County and the City of Peoria have agreed to participate in the pilot program and is waiting for a response from the City of Chicago. Internal testing procedures will begin in December and training on the software will take place mid to late January. The program will be functional for the 2012 elections and should be completely implemented for the 2014 election cycle. Mr. Davis said that jurisdictions will also submit paper copies of the canvass so staff can conduct an audit to ensure 100% accuracy.

Cris Cray gave a legislative update and said that there is no funding for election judges as of yet but a supplemental bill is out there that include three line items for the SBE – IVRS, election judges and early voting judges. Ms. Cray distributed the General Assembly calendars for 2012 and noted that the capitol building is under construction so session will not convene until January 31st.

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November 21, 2011- Page 4

Next was a report on the Campaign Finance Reform Task Force Meeting that was held on November 17th. Ms. Cray said Public Hearings were scheduled for November 21st and December 15th and the public financing report is due by December 31st. In March, a list was given to the Task Force containing ideas regarding the new campaign contribution limits, issues with the other aspects of the campaign finance reform legislation and other items that need to be addressed before December 31st. Chairman McGuffage made some suggestions concerning threshold limits and the seven day calendar and Ms. Cray noted that the committee is very up front about the likelihood of legislation passing during a given session or not.

Eric Donnewald reported on the metes and bounds project and said that it was completed in October. Ms. Cray submitted a listing of the anomalies that were discovered in the process to the legislative caucuses and Mr. Donnewald noted that an e-mail was also sent to the election authorities advising them of same. He also noted that it would be up to the election authority to decide how to handle the anomalies in their jurisdiction.

Kyle Thomas gave an IVRS update and indicated that the duplicate counts are down for this month because the election authorities are busy implementing other projects. He noted that the counts will most likely increase as new registrations come in, especially closer to the election. As far as the census data versus registered voters he said another jurisdiction dropped below 100% which brings the total number of such jurisdictions to nine and that the election authorities continue to work on their purges.

Mr. Thomas reported that the last meeting of the Joint Committee for a statewide Election Management System (EMS) was held on October 27th and attendance was good. A list of questions has been sent to the committee members for input on what types of functions they would like to see in a statewide EMS. The next meeting is scheduled for January 2012 in conjunction with the Election Official's conference in Springfield.

The Executive Director indicated there was a memo on page 207 of the Board packet regarding the required review of the executive session minutes. He further stated that he and the General Counsel have both reviewed the minutes and he asked for a motion that the executive session minutes be made publicly available if requested with the exceptions that are noted in the memo. Member Byers moved to accept the recommendation of the Executive Director and General Counsel. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Next was an update on the Springfield office facility and the Executive Director said that the Division Directors went on a tour of the new facility and a lot of positive comments were received. Mike Roate reported that progress is being made, the lease has been signed and a meeting was scheduled for tomorrow to draw up plans for the interior layout. Central Management Services is going to post the contract on their procurement for thirty days for purposes of protest or comment, and in the meantime the SBE will continue to work on finalizing the floor plan, obtain contractor quotes and make sure it is within the terms of the cost allowances. The move-in date is tentatively scheduled for the end of January 2012.

The Executive Director presented the fiscal reports and two year plan of staff activity for informational purposes. He noted that expenses were being monitored and if we do receive a supplemental that will free up some other funds for the moving expenses.

The Executive Director reported on the follow up item regarding questions raised by attorney Andrew Finko. As of today, information has not been received from Mr. Finko, however, one of the issues concerned the security tag numbers (and corresponding logs) on the ballot boxes used in the City of Chicago elections. He noted that those concerns have been addressed in the election judge training schools conducted by Chicago. Mr. Thomas said he spoke with the City of Chicago and another issue raised by Mr. Finko about an error in their registration system has also been corrected.

The Board discussed the December 20 Board meeting and agreed to begin the meeting at 10:00 a.m. instead of 10:30 a.m. due to the Electoral Board proceedings and expected full Agenda.

After a short break, Member Byers moved to recess to executive session to discuss pending litigation. Member Scholz seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 12:20 p.m. and returned to open session at 1:20 p.m.

Upon reconvening, a second roll call was taken with all Members present in Springfield.

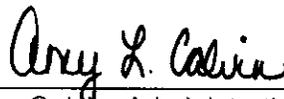
Member Schneider moved to authorize the General Counsel to take all such action in pending litigation as authorized by the Board in executive session. Member Scholz seconded the motion which passed by roll call vote of 8-0.

As to *SBE v. Friends of Ed Mullen*, 11CD172, a complaint following closed hearing, Member Schneider moved that the complaint be found to have been filed on justifiable grounds and the matter proceed to a public hearing. Member Scholz seconded the motion which passed by roll call vote of 8-0.

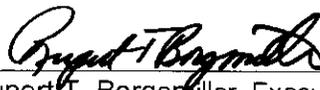
For the benefit of the new Board Members and any other interested persons, the General Counsel gave a brief informational presentation on the procedures regarding objections to candidate nominating petitions. No Board action was taken during this time.

With there being no further business before the Board, Member Byers moved to adjourn until December 20, 2011 at 10:00 a.m. or call of the Chairman, whichever occurs first. Member Schneider seconded the motion which passed unanimously. The meeting adjourned at 1:56 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS

11 NOV 28 PM 1:53

22735

11 MQ-CL005

November 28, 2011

Via Facsimile: (217) 782-5959

Sharon Steward
Director
Division of Campaign Disclosure
Illinois State Board of Elections
1020 South Spring Street
Springfield, IL 62708

Re: 11 MQ-CL005 Citizens For Blair
MOTION FOR RECONSIDERATION UNDER 10 ILCS 5/9-22

Dear Ms. Steward,

I am in receipt of your correspondence with regard to the above case against my PAC. Please be advised that I am exercising my right to a Motion of Reconsideration pursuant to 10 ILCS 5/9-22. The basis for this appeal is that I never received any correspondence from the State Board of Elections regarding this matter. Additionally The loans in question , \$6,500 on 2/12/11 and \$13,000 were in fact returned on 2/15/11 and 2/18/11 respectively. Regarding these loans , they were reported incorrectly as the result of a bookkeeping error in which loans were made to me personally and then I made them to the committee. I did not accept or retain any funds in excess of campaign finance limitations. I am currently amending the corresponding D-2's to reflect this correction.

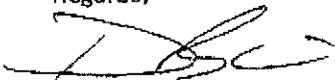
In speaking to one of your staff members, it seems that two notices were sent to the address you have on file for my PAC, however, no such notices were ever received or I would have acted upon them. I take this situation very seriously and have always responded in a timely manner to any and all correspondence I have received from the State Board of Elections.

Please send any correspondence, hearing notices etc. to the address which is on file with your office:

Citizens For Blair
5039 W. Hutchinson
Chicago, IL 60641

Thank you for your kind attention.

Regards,



Donald Blair
(773) 853-2502 Mobile

ANDREW FINKO

ATTORNEY AT LAW

P. O. Box 2249
Chicago, IL 60690

Tel: (773) 480-0616
Fax: (773) 453-3266
Green.Attorney@yahoo.com

November 28, 2011

STATE BOARD OF ELECTIONS
11 DEC - 1 AM 10:15

Via Email and Hand Delivery

Sharon Steward
Director, Campaign Disclosure
Illinois State Board of Elections
100 W. Randolph St. / Suite 14-100
Chicago, IL 60601

Re: **Citizens to Elect Richard A. Wooten**
Committee ID: 23114 (11 MA 078)
Motion for Reconsideration

Dear Ms. Steward:

This is the above Committees' **Motion for Reconsideration** of the Board's November 22, 2011 final order and civil penalties arising from erroneous reporting/filing of A-1 forms incidental to Mr. Wooten's campaign for alderman of the 6th Ward at the February 2011 municipal general election in Chicago. The Committee was not previously represented by counsel, during the time periods at issue, nor during the time when civil penalties were determined. There are two \$10,000 contribution at issue from "Wooten Protective Services," on January 5, 2011 and January 18, 2011.

Reconsideration is requested for civil penalties for exceeding the contributions of \$10,000 upon the following bases:

- (a) this Committee was small, and staffed with family-member volunteers who were unfamiliar with the campaign disclosure provision and changes to the campaign disclosure/contribution laws that went into effect in January 2011;
- (b) this Committee was not aware of the contribution limitations or changes to the campaign disclosure laws, as was testified to at the underlying hearing;
- (c) Wooten Protective Services is a part of Richard Wooten & Associates, which are both companies that are wholly owned, controlled and operated by the candidate, Richard A. Wooten (see enclosed affidavit and description);
- (d) had the Committee been familiar with the changes to the campaign disclosure laws, the second \$10,000 contribution would and could have been made in the name of Richard A. Wooten, individually, simply by transferring funds from Wooten Protective Services to the candidate's personal account, and then transferring to the Committee account, and thereby exempted under Section 9-8.5(h), which provides that contributions or loans from a candidate or candidate's immediate family shall not be subject to the contribution limits found in Section 9-8.5.

ANDREW FINKO

ATTORNEY AT LAW

Sharon Steward
November 29, 2011
Page 2

Accordingly, reconsideration is requested since this delinquent filing was the result of inexperience and unfamiliarity with the new campaign disclosure rules that went into effect less than thirty days prior to the contributions at issue. As this Board has done in other matters, leniency is requested since there was no fraudulent or intentional conduct, merely inadvertence.

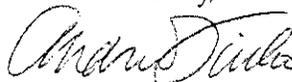
Additionally, but for lack of familiarity with changes to the campaign disclosure law, and the routing of the funds from Candidate's company through Candidate's personal account to Candidate's Committee, the Committee would not have inadvertently run afoul of these provisions.

That is, if the contribution from the candidate's wholly owned company would have been channeled through the Candidate's personal account, and appropriate disclosures filed, reflecting one contribution from Wooten Protective Services and a second donation from Candidate, Richard A. Wooten, individually, this penalty would never have been assessed. This course was fully within the control and authority of Candidate to accomplish, but for his knowledge of the changes to the applicable laws, and it is unduly punitive to impose a requirement for funds at issue to escheat to the General Revenue Fund, when the funds at issue were Candidate's funds to begin with.

Had Candidate been aware of the newly-enacted contribution limits, he could and would have structured the contributions differently, completely avoiding any civil penalties or campaign contribution limits. The Committee has already paid fines of \$2,550.00 that were previously imposed. The only remaining issue the escheat of the second \$10,000 contribution.

In these circumstances, the civil penalty of 150% of the contribution, and escheatment of the full contribution to the General Revenue Fund imposes an unduly severe penalty, which is not warranted or intended by the campaign contribution limits. Leniency is requested, and/or the opportunity to correct such error by reconciling the accounting between the Committee and Wooten Protective Services, and thereafter filing amended A-1 and D-2 reports for the period at issue.

Sincerely,



Andrew Finko

Encl.

cc: Steven Sandvoss, General Counsel (via email)
Richard A. Wooten (via email)

State of Illinois)
) ss
County of Cook)

Re: *Citizens to Elect Richard Wooten*
 Committee No. 23114

Affidavit

Richard A. Wooten, having been duly sworn, under oath deposes and states as follows:

1. I own, operate and control Richard A. Wooten & Associates, and Wooten Protective Services. and was also the candidate for 6th Ward Alderman that was supported by my Committee named Citizens to Elect Richard Wooten.

2. Wooten Protective Services is the security division of Richard A. Wooten & Associates, which provides security services to schools, construction sites, retail, special events, as well as executive protection.

3. I am the only person authorized to write checks from the Wooten Protective Services for political purposes, and I wrote a check from my company, Wooten Protective Services, to my campaign committee, as if I was donating from my own money to my own campaign committee.

4. My campaign staff then were family member volunteers, who did not know about the changes to the law about campaign contributions or reporting requirements, and were merely negligent.

5. Had I known that I could fund my own campaign Committee with my own money, without limitations under the campaign contribution laws, I would and could have easily written a check from Wooten Protective Services to me personally, since all funds from my company are available to me, and cleared that check through my personal account and then written a second check from my personal account to make a loan to my Committee account.

6. My committee has already paid the \$2,550.00 civil penalty that was imposed; however, the request to refund \$10,000 to the State General Revenue Fund creates a hardship for my Committee and for me, and request that this second donation be reclassified as a donation from me, personally.

Richard A. Wooten

Subscribed and sworn to
before me November ___, 2011.

Notary Public

(seal)



[Back to Home](#)



Wooten Protection Services is the security division of Rich Wooten and Associates which provides services to Schools, Construction Sites, Retail, Special Events, as well as Executive Protection.

Schools

We provide security officers for schools to assist with safety within the school as well as during dismissals. We make sure our officers are certified and fully trained to work with kids and school administrators and also understand what it fully takes to work within a school facility. We make sure that all our security personnel are trained in public school protocol and have close a relationship with local municipalities.

Construction Security

We know what the criminal element are looking for, so we provide overnight and weekend security to protect property from vandalism and burglary. For the several contractors that we currently work with, we have come up with a game plan on how to maneuver within their facilities to such incidents.

We use a wand system which allows us to monitor that the officers are continuously patrolling the facility. We also have our supervisor as well with a wand doing onsite checks as well. **WPS** is all about accountability and we know that if we leave anything unturned, somebody will come and take it. We make sure all copper, bricks, equipment, and tools that remain onsite when the crew leaves are just as it was when they left.

Retail Security

We have been providing retail security for well over 7 years. Within those 7 years we have assisted with shoplifting with under ringing, and all kinds of maneuvers that aided in the shrinkage of lost items from our clients stores. We are very hands on with our retail division. We utilize close circuit TV systems, where we monitor the activities of the facility. We also conduct interviews for employees suspected of theft.

Special events

We provide our services for corporate parties, banquets, festivals, and large gatherings. We have provided security for honorary sign posting ceremonies. Where an individual has had a street sign named after them. We provide security for major event and trade shows. In order to

make sure the events are manned properly, we ensure our officers are trained and knowledgeable of the facility and that the facilities are covered appropriately.

Executive protection

For Politian's, Dignitaries, and Celebrities, we provide advance work, driving, and pretty much any role the principle needs us to play. When a principle comes in, we plan all routes from point A to B. We locate hospitals in the area and make sure all i's are dotted and t's crossed. When dealing with executive protection you want to make sure our principle feels protected at all times, making sure that there is a way out at all times.

We also do offer the client the ability to have our team travel with them throughout the United States and in special cases internationally.

547 E 75th Street Chicago, IL 60619 • 676 N Lasalle Chicago, IL 60654
• richwooten.org • 773.651.3826

**CORPORATION FILE DETAIL REPORT**

Entity Name	WOOTEN PROTECTIVE SERVICES, INC.	File Number	67738608
Status	ACTIVE		
Entity Type	CORPORATION	Type of Corp	DOMESTIC BCA
Incorporation Date (Domestic)	03/29/2011	State	ILLINOIS
Agent Name	RICHARD A WOOTEN	Agent Change Date	03/29/2011
Agent Street Address	400 W 76TH STREET SUITE 215	President Name & Address	
Agent City	CHICAGO	Secretary Name & Address	"I"SANDRA THREATT 3421 W 76TH PLACE CHICAGO 60652
Agent Zip	60620	Duration Date	PERPETUAL
Annual Report Filing Date	00/00/0000	For Year	

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(One Certificate per Transaction)[BACK TO CYBERDRIVEILLINOIS.COM HOME PAGE](#)



CORPORATION FILE DETAIL REPORT

Entity Name	RICH WOOTEN & ASSOCIATES, INC.	File Number	62808136
Status	ACTIVE		
Entity Type	CORPORATION	Type of Corp	DOMESTIC BCA
Incorporation Date (Domestic)	03/27/2003	State	ILLINOIS
Agent Name	RICHARD A WOOTEN	Agent Change Date	05/27/2005
Agent Street Address	649 E 89TH PLACE	President Name & Address	RICHARD A WOOTEN 649 E 89TH PL CHICAGO IL 60619
Agent City	CHICAGO	Secretary Name & Address	SANDRA THREATT 3421 W 76TH PL CHICAGO IL 60652
Agent Zip	60619	Duration Date	PERPETUAL
Annual Report Filing Date	03/17/2011	For Year	2011
Assumed Name	INACTIVE - WOOTEN PROTECTIVE SERVICES INACTIVE - NEEDLE IN A HAYSTACK INVESTIGATIONS INACTIVE - A+ SECURITY & SAFETY TRAINING SCHOOL		

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11/29/11

Dear General Council / Members of Board,

Please Allow me to Request A
Reconsideration of The final order
due to not receiving any notice
until the final order.

Thank you for your consideration,
Shirley Smith
Friends of Steve Smith

STATE BOARD OF ELECTIONS
11 NOV 29 PM 4:36

23142
11MQ-CLOO8
Friends of Steve Smith

that correspondence that the Friends of Terry Marketti hired counsel who contacted the State Board of Elections and learned of the alleged Form A-1 delinquencies. (Ex. A, ¶¶4-5).

4. The first time the Friends of Terry Marketti learned of the October 18, 2011 final order was on November 9, 2011. (Ex. A, ¶2).

5. Before issuance of the final order the Friends of Terry Marketti never received the July 1, 2011 penalty correspondence which has now been produced by the State Board of Elections to the Respondents' attorneys. (See Ex. A, ¶4 and Ex. B, July 1, 2011 Board of Elections correspondence).

6. It is clear that there is something awry with the correspondence dated July 1, 2011 from Director Steward as it references that the A-1's were received on July 11, 2011. (See Ex. B). How can the correspondence be addressed July 1, 2011 and refer to documents (A-1s) received on July 11, 2011? Obviously the correspondence is misdated, and in fact it was never received by the Friends of Marketti.

7. While an action could be brought by the Friends of Marketti alleging that the State Board of Elections for the State of Illinois did not acquire jurisdiction to issue the Final Order dated October 19, 2011 as no notice was received for the alleged delinquency or the Board hearing (the Board may impose a civil penalty only "after notice and an opportunity to be heard" 10 ILCS 5/9-23); the Respondent would prefer to settle the matter at a reduced penalty.

8. The Board necessarily retains jurisdiction for the purposes of enforcing its order and thus has the authority to settle said order.

9. Equity in this case warrants a reduction of the penalty. The A-1's at issue were all filed on July 11, 2011 and were the result of a golf outing event. In essence, it was one event rather than multiple delinquencies and that one event resulted in \$15,826.00 in contributions. To

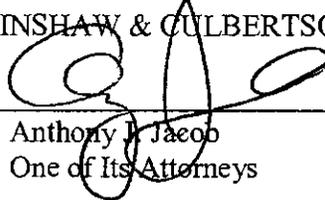
assess a penalty of one-half that amount is egregious. The people and organizations who donated to the Friends of Terry Marketti did not intend to have such money repurposed to the general fund of the State Board of Elections of the State of Illinois. Furthermore, the Respondent did not get the aforementioned notices and opportunity to correct the error and has now filed all appropriate AIs. Finally the amount of the penalty (50% of the total donations) is simply excessive.

10. The Friends of Terry Marketti respectfully request that the penalty be reduced to one-half of the total proposed \$7913 penalty (\$3,956.50) which the Respondent will immediately pay.

WHEREFORE, for the foregoing reasons, the Respondent, Friends of Terry Marketti, respectfully request that the State Board of Elections consider reducing and settling its order of October 18, 2011 and reduce the penalty to \$3,956.50.

Dated: December 7, 2011

By: HINSHAW & CULBERTSON LLP

By: 

Anthony J. Jacob
One of Its Attorneys

Anthony J. Jacob
Hinshaw & Culbertson LLP
222 N. LaSalle Street, Suite 300
Chicago, IL 60601-1081
Phone: 312-704-3000
Fax: 312-704-3001

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
vs.) 11 AJ 053
)
Friends of Terry Marketti)
Respondent(s).)

AFFIDAVIT OF TERRY MARKETTI

NOW COMES your Affiant, TERRY MARKETTI, having been duly sworn and on oath, respectfully states as follows:

1. I am the Chairman of the Political Committee known as, "Friends of Terry Marketti;" Committee ID# 18102, Local ID# 12109.

2. On November 9, 2011, I learned that the State Board of Elections ("the Board") issued a, "Final Order" (A true and correct copy of the State Board of Elections Final Order is attached hereto as Exhibit A and incorporated herein.) assessing a penalty against the "Friends of Terry Marketti" Political Committee in the amount of \$7,913.00.

3. I did not receive a copy of the State Board of Elections Final Order (Exhibit A) by regular mail from the Board, and I did not see a copy of the Final Order until a copy was provided to me by my legal counsel on November 9, 2011.

4. Attached hereto as Exhibit B is a letter from the State Board of Elections dated July 1, 2011. Likewise, I was not provided a copy of this letter until November 9, 2011, and I

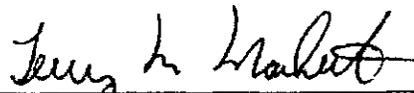


did not receive a copy of this letter by U.S. Mail from the Board. (A true and correct copy of the State Board of Elections July 1, 2011 correspondence is attached hereto as Exhibit B and incorporated herein.

5. On November 5, 2011, I received a letter from the Board related to "Ballet Forfeiture." This was the first notice received from the Board regarding the proceedings against the, "Friends of Terry Marketti" Political Committee.

6. Because I was not aware of the alleged violations as set forth in the July 1, 2011 correspondence (Exhibit B), I was unaware of the proceedings against the, "Friends of Terry Marketti" Political Committee, and I was unable to appear, object or otherwise participate in the hearing process.

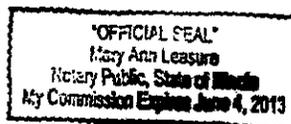
7. I have read the above and find it to be true and correct to the best of my knowledge and belief.



TERRY MARKETTI

Subscribed and Sworn to
before me this 6th day of
December, 2011.


Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1620 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
2177782-4141
Fax: 2177782-5889

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60681
312814-6440
Fax: 312814-6485



BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byars
Betsy J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholtz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
July 1, 2011

Friends of Terry Marketti
Terry Marketti, Donna Marketti
514 N Jackson St
Gardner, IL 60424

ID# 18102

Dear Friends of Terry Marketti:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Cardinal Transport	5/17/2011	\$1200	7/11/2011	32	\$600
D Construction	5/9/2011	\$1000	7/11/2011	38	\$500
Dibble Trucking	5/11/2011	\$1000	7/11/2011	36	\$500
Todd Horrie	6/15/2011	\$2000	7/11/2011	12	\$1000
Gary Kavanaugh	6/13/2011	\$2200	7/11/2011	14	\$1100
MKM Oil	5/5/2011	\$1150	7/11/2011	40	\$575
MKM Oil	6/30/2011	\$2300	7/11/2011	1	\$1150
AFT Local 604 Cope 11	5/19/2011	\$1000	7/11/2011	30	\$500
IBEW #176	5/13/2011	\$1250	7/11/2011	34	\$625
D Construction	6/13/2011	\$1700	7/11/2011	14	\$850
Horwitz, Horwitz, & Associates	6/13/2011	\$1025	7/11/2011	14	\$513

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7913 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.



Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7913, (100% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 11 AJ 053
)
Friends of Terry Marketti)
Respondent(s).)

FINAL ORDER

TO: Friends of Terry Marketti ID# 18102
514 N Jackson St
Gardner, IL 60424

This matter coming to be heard this 18th day of October, 2011 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 et. seq.), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 11 AJ 053, a \$7913.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$315.50 for failing to file a Schedule A-1 in conjunction with the February 2010 election (10 AP 046). This assessment was not appealed and the amount was reduced to \$32.00 by the Board. The committee was also assessed a penalty of 1368.75 for the delinquent filing of a Schedule A-1 in conjunction with the November 2010 election (10 AG 015). This assessment was not appealed and the amount was reduced to \$685.00 by the Board. All previously assessed penalties have been paid by the committee, and
4. Pursuant to section 9-10 of the Code, the assessment is \$7913.00 (100% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$7913.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is October 19, 2011, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/19/2011


William M. McGuffage, Chairman

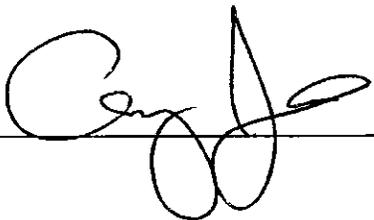


STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:)	
)	
State Board of Elections,)	
)	
Complainant,)	
)	
v.)	No. 11 AJ 053
)	
Friends of Terry Marketti,)	
)	
Respondent.)	

APPEARANCE

The undersigned attorney hereby enters his appearance on behalf of Respondent, Friends of Terry Marketti, in the above-captioned case.

By: _____ 

Anthony J. Jacob
Hinshaw & Culbertson LLP
222 N. LaSalle St., Suite 300
Chicago, IL 60601
312.704.3105
312.704-3001 (Fax)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:)
)
State Board of Elections,)
)
Complainant,)
)
v.) No. 11 AJ 053
)
Friends of Terry Marketti,)
)
Respondent.)

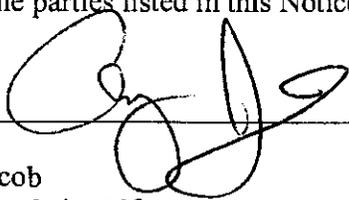
NOTICE OF MOTION

TO: Mr. Steve Sandvoss IL State Board of Elections and Board Members
General Counsel c/o Mr. Steve Sandvoss, General Counsel,
IL State Board of Elections 1020 S. Spring St.
1020 S. Spring St. Springfield, IL 62704
Springfield, IL 62704 ssandvoss@elections.il.gov
ssandvoss@elections.il.gov

Please take notice that on Wednesday, December 7, 2011, I filed the attached Motion For Settlement Hearing, a copy of which is hereby served upon you. I request this matter be heard at the December 20, 2011 meeting of the IL State Board of Elections to be held at the James R. Thompson Center, 100 West Randolph, Chicago, IL 60601 in a room to be determined.

CERTIFICATE OF SERVICE

The undersigned attorney hereby certifies that a copy of the attached document was served upon the parties listed in this Notice via email by 5:00 p.m. on December 7, 2011.



Anthony J. Jacob
222 N. LaSalle, Suite 300
Chicago, IL 60601
312.704.3105
312.704.3001 (facsimile)

20897

THE LAW OFFICES OF
EDWARD T. JOYCE & ASSOCIATES, P.C.
135 SOUTH LA SALLE STREET
SUITE 2200
CHICAGO, ILLINOIS 60603
(312) 641-2600
FAX (312) 641-0360

November 23, 2011

Steven S. Sandvoss
General Counsel
Illinois State Board of Elections
1020 South Spring Street
Suite 14-100
Springfield, IL 62708

Re: Citizens to Elect Patrick J. Sherlock

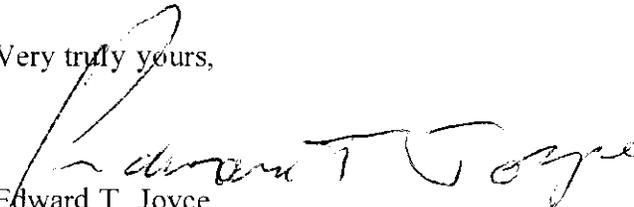
Dear Mr. Sandvoss,

Thank you for returning my call today regarding the civil penalty assessed against Citizens to Elect Patrick J. Sherlock. Enclosed you will find my motion which I would like you to put on the Board's agenda for its December 20, 2011 meeting in Chicago. I would like to petition the Board in person to request an abatement of the penalty assessed against the Committee.

Please let me know if I have been included on the agenda, when I should appear and where I should appear.

Thank you for your assistance in this matter.

Very truly yours,


Edward T. Joyce

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Patrick J. Sherlock)
Respondent(s).)

Case No. _____

MOTION TO COMPROMISE CIVIL PENALTY

I, Edward T. Joyce, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Patrick J. Sherlock
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- (1) I am Chairman of Citizens to Elect Patrick J. Sherlock.
- (2) The official address for the Committee was 11 South LaSalle, Suite 1600, Chicago, IL 60603.
- (3) The office moved during February 2010 to 135 S. LaSalle Street, Chicago, IL.
- (4) I caused an appropriate document to be filed with the U.S. Postal Service requesting that all mail be forwarded to 135 South LaSalle and have renewed that filing through early 2012.
- (5) Some but not all of my mail has been forwarded and typically when the mail is forwarded, it is forwarded late.
- (6) The first time I received notice that the Committee was subject to a penalty was when I receive a letter from the Division of Campaign Disclosure dated November 1, 2011 apprising me of that fact. The letter was received 20 days after it was sent.
- (7) I never received any previous notification of a late filing or the possibility that a penalty would be assessed. If I had received such a document, I immediately would have taken steps to rectify the situation.

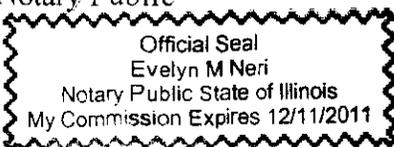
Consequently, I respectfully request the Board to abate 50% of the penalty. I will pay 50% of the penalty as a consequence of my failure to change the official address of the Committee, which certainly contributed to the fact that I did not receive notice in time to take remedial steps.

Signed and Sworn to by:

before me this 23rd Day of
November, 2011

Edward T. Joyce
(Signature of Chairman/Treasurer)

Evelyn M. Neri
Notary Public



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 AJ 033

Wheaton Warrenville PAC for Education (ID 7484)

Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently
Filing a Schedule A-1 Report

The Committee received a \$10,150 contribution on 6/24/11 and filed a Schedule A-1 on 7/14/11, 8 days late, resulting in a civil penalty assessment of \$5,075. In addition, the Committee was previously assessed a \$100 civil penalty (not appealed, paid) for delinquently filing the 2002 December Semi-Annual Report, a \$250 civil penalty (not appealed, paid) for delinquently filing the 2004 June Semi-Annual Report and a \$100 civil penalty (not appealed, stayed, expired) for delinquently filing the Pre-Election Report for the 2006 General Election. The total assessment is \$5,075.

The Respondent was represented by Attorney James P Nally on October 25, 2011 for the appeal hearing.

James Nally stated the committee received a \$10,150 rebate from IPAC for Education. The Wheaton-Warrenville Education Association is a non-profit labor union and local affiliate of the Illinois Education Association (IEA). Wheaton-Warrenville Association members make a \$20 payroll deduction to the IEA's political action Committee IPACE. As a local affiliate, Wheaton-Warrenville PAC (WWPAC) may request a portion or "rebate" of their member's contributions. The 10,150 contribution was WWPAC's requested rebate for this quarter. The Committee entered the contribution on the D-2 and was prompted by IDIS to file the Schedule A-1. Mr. Nally argued that the rebate does not qualify as a contribution and therefore not required on a Schedule A-1 report. Nally cited SBE v Naperville Unit PAC for Education District 203 (Exhibit 2) where the Hearing Officer in that matter recommended the appeal be granted based that Illinois disclosure law allows a labor organization to act as a conduit to deliver dues and report such dues in aggregate. Nally submitted the copy of the rebate receipt (Exhibit 1) detailing WWPAC's 2011 rebate request.

Based on Mr. Nally's argument and evidence provided, it appears that IPACE for Education acted as a conduit upon donating \$10,150 to the Committee. Illinois disclosure law allows a labor organization to act as a conduit to deliver dues payments and to report these normally not-itemized contributions in the aggregate; therefore, the donation is considered a rebate not subject to the Schedule A-1 filing requirement. I recommend the appeal be granted. (As of September 30, 2011, the committee reported a funds available balance of \$16,572.07)



Tara Molnar – Hearing Officer
November 10, 2011

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Wheaton Warrenville PAC for Education
Bryce Cann, Kevin Gupa
1555 Bond St, Ste 121
Naperville, IL 60563-0138

ID# 7484

Dear Wheaton Warrenville PAC for Education:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IL PAC for Education	6/24/2011	\$10,150	7/14/2011	8	\$5075

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5075 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$508, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
11 SEP 27 AM 10:21

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
WHEATON WARRENVILLE PAC FOR)
Respondent(s). EDUCATION)

Case No. 11AJ033

APPEAL AFFIDAVIT

I, Bryce Cann, the Chairman of the
(Name) (Chairman/Treasurer)

WHEATON WARRENVILLE PAC FOR EDUCATION
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE FOLLOWING PAGE

Signed and Sworn to by:
Tanya L. Kutichinski
before me this 15th Day of
September, 2011.
Tanya L. Kutichinski
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



The Wheaton-Warrenville Education Association (WWEA), a not-for-profit labor union, is a local affiliate of the Illinois Education Association (IEA). Each member of the WWEA contributes \$20.00 to the political action committee of the IEA each year. The contributions are made by payroll deduction and are transmitted to the IEA's political action committee (IPACE).

Local affiliates of the IEA have the right to request a "rebate" of the contributions of their members, in the amount of either \$4.00 or \$10.00 per contributing member. The language in the IPACE rules of operation that govern this is as follows:

Those funds received by IPACE through payroll deduction and/or through solicitation with local PACE units shall be properly accounted for and the local PACE unit share, an amount mutually agreed upon, up to 50 percent, but no less than 20%, of what is contributed from that local PACE unit, shall be returned to it upon written request.

When the rebate is requested, IPACE writes a check for \$4.00 or \$10.00 times the number of local association members who contributed to IPACE in the most recent year. These funds go into the local association's PAC and are used for local political purposes.

In 2011, the WWEA requested its rebate and IPACE sent a check for \$10.00 times 1,015, for a total of \$10,150.00. The WWEA PAC deposited the check and reported it as an itemized transfer in on its quarterly D-2 report. They also filed Schedule A-1 to report the receipt, but the form was not filed per the regulatory timeframe.

The WWEA's PAC received a notice from the State Board of Elections, assessing a penalty due to the filing date.

Based on further research, we feel that IPACE was acting as a conduit for the transmittal of 1,015 individual contributions in the amount of \$10.00 each, and therefore we were not required to file Schedule A-1 to report them. Three other IEA locals have recently appealed similar penalty notices (Indian Prairie Education Association, St. Charles Education Association, and Naperville Education Association), and they were verbally told that this interpretation is correct.

We request that the fine assessed on the attached notice be reversed. If we should report similar receipts differently in the future, we would appreciate your advice on that. Thank you.

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

In the Matter of:

ISBOE

Complainant(s).

Vs.

Case No. 11A1033

Wheaton Wheatonville PAC

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

WV PAC

(Insert name)

Check one: Attorney Pro Se

Name:

James P. Nally, PC

Address:

85 Michigan #350

City/State/ZIP

Co., IL 60603

Telephone:

312-422-5560

FAX No.:

312-346-7999

EMAIL:

jpnlaw@att.net

Will you accept service of documents via FAX transmissions?

Check one: Yes No

[Signature]

Signature



Wheaton Warrenville Education Association

1555 Bond Street Suite 121 Naperville, Illinois 60563

Phone: 630-369-1555

Fax: 630-369-1561

DATE: March 15, 2011

TO: IEA Government Relations

FROM: Bryce Cann, President, Wheaton Warrenville Education Association

RE: IPACE Rebate

This letter is a formal request from the Wheaton Warrenville Education Association to receive our local's IPACE rebate. We are also making a special request for the additional \$6.00 rebate per IPACE-contributing member due to an important school board election this spring. Please mail the rebate check to the following address:

Wheaton-Warrenville EA
ATTN: Kevin Gum, Treasurer
1555 Bond Street
Suite 121
Naperville, IL 60563

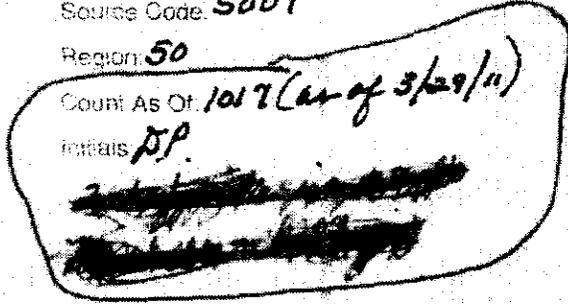
\$10

Source Code: 5007

Region: 50

Count As Of: 1017 (as of 3/29/11)

initials: JP



1017
- 2

1015 X \$10 =
\$10,150

Sincerely,

Bryce Cann
President, Wheaton Warrenville Education Association

WWEA
RESP. X1

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

11 AM 078

Naperville Unit PAC for Education District 203 (NUPACE 203) 9774
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failing to File
A Schedule A-1 for the 1st Quarter of 2011

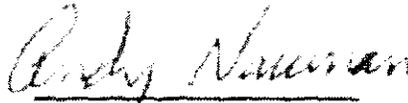
This committee received one \$13,070 contribution and one \$1,500 contribution on 3/17/11 and failed to file these contributions on a Schedule A-1. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed, expired) for delinquent filing the June 1999 Semi-Annual Report. The total assessment is \$7,285.

The Respondent was represented by attorney James P. Nally at the September 1 appeal hearing.

Mr. Nally indicated that the Naperville Unit PAC for Education District 203 (NUPACE 203) also known as the Naperville Education Association (NEA) is a not-for-profit labor union, and a local affiliate of the Illinois Education Assn. (IEA). Each member of the NEA contributes \$20 to the political action committee of the IEA each year (\$4 per person each period). The contributions are made by payroll deductions and are transmitted to the IEA's political action committee IPACE. IPACE then holds the money until the local affiliate asks for a rebate. The local affiliate can request a rebate of the contributions of their members up to a maximum of \$10 per member. IPACE then writes a check for the requested per member amount times the number of local association members who contributed to IPACE in the most recent year. These funds then go to the local association's PAC and are used for local political purposes and this is where the \$13,070 contribution came from. Mr. Nally also indicated that the Naperville ESP Association (NESPA), another local affiliate, transferred \$1,500 of its member's donations to the NEA PAC. Mr. Nally argued that since the NESPA transferred member's donations that they also were acting as a conduit for the transmittal of many small individual contributions. Mr. Nally introduced a print out of emails that were sent back and forth between another committee (Indian Prairie Education Assn PAC for Education) and another hearing officer from the State Board of Elections (attached). He indicated that the emails were in relation to a similar situation between a rebate from IPACE and another local affiliate. Mr. Nally also introduced documentation of how the contribution came to be (attached). Mr. Nally indicated that the Board granted the appeal in relation to the Indian Prairie Education Assn PAC for Education and these circumstances are basically identical. IPACE and the NESPA acted as a conduit and the Committee appropriately itemized the contribution as required. The Committee requests that the appeal be granted.

WWEA
RESP. X 2

The Committee supplied a copy of the rebate voucher (attached) and this indicates that IPACE would have been acting as a conduit when they made out the check for \$13,070 to the Committee. Illinois disclosure law allows a labor organization to act as a conduit to deliver dues payments and to report these normally not-itemized contributions in the aggregate. Therefore, I recommend the appeal be granted in relation to the \$13,070 contribution from IPACE. Additionally, to prevent a similar situation in the future, the Committee should be advised to report similar rebates in such a way as to make it clear that the money represents a rebate or a return from IPACE. However, I do not believe the NESPA was acting as a conduit when they transferred \$1,500 from their PAC to the NUPACE 203. The NESPA had on hand at the time of the transfer at least \$1,500. The \$1,500 that was transferred to the NUPACE 203 would have come from a prior rebate that IPACE would have given to the NESPA. IPACE would have been acted as a conduit in facilitating the delivery of the rebate to NESPA at an earlier date. Therefore, when NESPA received and deposited the funds into their account I believe the Committee did not facilitate the delivery of dues but rather committed a transfer from one PAC to another PAC. Therefore, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication that this violation was anything other than inadvertent and unintentional, and since this was the first delinquent filed Schedule A-1, I also recommend that the civil penalty be reduced to 10% of the original assessment, or \$75. If these recommendations are accepted by the Board, the \$75 civil penalty will be stayed as a first violation. (As of 6/30/11, this Committee reported a funds available balance of \$35,442.24.)



Andy Nauman - Hearing Officer

October 4, 2011

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 MA 057

ILCA PAC

ID# 19282

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2010 Semi-Annual Report and the March 2011 Quarterly Report

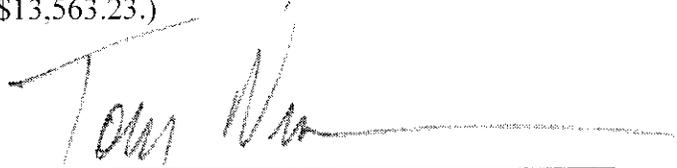
The Semi-Annual Report was received by the Board on July 15, 2011, 122 days late, resulting in a civil penalty assessment of \$5,000. The Quarterly Report was received by the Board on July 15, 2011, 63 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee was previously assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the June 2009 Semi-Annual Report. The total assessment is \$10,100.

Scott Grams, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Grams states that the basis of the Committee's appeal is that they never received a notice from the Board about the need to file the two Reports. Mr. Grams says in the past, the Committee would receive a letter before each filing period, reminding it of the need to file the upcoming Report. He says he contacted the Board in July of 2011, around the time Semi-Annual Reports had been due in the past, to ask why he had not received a notice about the need to file. At that time, Mr. Grams says he learned the Committee had failed to file the December 2010 Semi-Annual Report, and also missed filing the March 2011 Quarterly Report. He says without a written or emailed reminder, it apparently became too easy to forget the filing deadlines.

A review of the filing history for this Committee reveals why it was not receiving reminder notifications. In January of 2010, the Committee mistakenly filed a Final Report instead of a Semi-Annual Report. This resulted in the Committee's status being changed from "active" to "final" in the Board's electronic records storage system, and so when reminder letters were generated using that system the Committee was not included on the list. The error was apparently not noticed until Mr. Grams contacted the Board in July of 2011, at which time the Committee's status was reset to "active." The bottom line is that from January of 2010 through July of 2011, the Committee did not receive report reminder letters or other Board mailings such as those outlining changes in the law. Section 9-16 (7) of the Disclosure Act in place in 2010 stated in part, "...at least 30 days before each filing date for reports of campaign contributions and for semi-annual reports of campaign contributions and expenditures, the Board shall send by first class mail to each political committee...a notice of obligations under this Article, and appropriate forms for filing

the report.” Although the fault lies partly with the Committee for incorrectly filing a Final Report, the fact remains that the Committee was not sent the required notice, so I recommend the appeal be granted in regards to the delinquent Semi-Annual Report. Similarly, in regards to the delinquent Quarterly Report, the Committee was not sent a required notification. Section 9-15 (9) of the Act now in place states that the Board is required, *“to annually notify each political committee...of the filing dates for each quarterly report, provided that such notification shall be made by first-class mail unless the political committee opts to receive notification electronically via email.”* Since the Committee was not sent the notification, I recommend the appeal for the delinquent Quarterly Report also be granted. If these recommendations are accepted by the Board, the stay would be returned to the previous \$100 civil penalty. (As of 9/30/11, this Committee reported a funds available balance of \$13,563.23.)

A handwritten signature in black ink, appearing to read "Tom Newman", written over a horizontal line.

Tom Newman – Hearing Officer
November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Rupert T. Borgsmiller
August 30, 2011

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Albert S. Porter
Jesse R. Smart
Robert J. Walters

ILCA PAC (IL Landscape Contractors Assn) ID# 19282
Terry Culver
28270 N Bradley Rd
Libertyville, IL 0045

Dear ILCA PAC (IL Landscape Contractors Assn):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 122 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 63 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

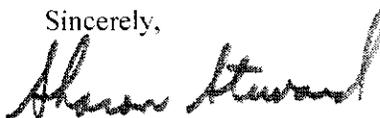
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$100
<i>TOTAL AMOUNT NOW DUE</i>		\$11000

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward, Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of DuPage)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ILCA PAC)
Respondent(s).)

Case No. 11MA 057

APPEAL AFFIDAVIT

I, Scott Grams, the Chairman of the
(Name) (Chairman/Treasurer)
ILCA PAC (Illinois Landscape Contractors Assn.)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached letter.

Signed and Sworn to by:
Deirdre M. Rice
before me this 8 Day of
September, 2011
Deirdre M. Rice
Notary Public

[Signature]
(Signature of Chairman/Treasurer)





**ILLINOIS LANDSCAPE
CONTRACTORS ASSOCIATION**

2625 Butterfield Road Suite 204W
Oak Brook IL 60523-1257
630-472-2851 – fax 630-472-3150
www.ilca.net / information@ilca.net

Serving Landscape Contractors
Since 1959

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(847) 382-4120

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Midwest Groundcovers
(847) 742-1790

Maureen Scheitz
Acres Group
(847) 526-4554

Jeff True
Hursthouse, Inc.
(630) 759-3500

Kevin Vancina
Vancina Landscaping, Inc.
(815) 726-2300

Executive Director
Scott Grams

September 14, 2011

State Board of Elections
Attn: Campaign Disclosure
1020 S. Spring Street
Springfield, IL 62704

I appreciate the opportunity to appeal the fine of \$11,000 potentially levied on the Illinois Landscape Contractors Association Political Action Committee (ID#19282). I am embarrassed that I have placed my organization in this position. This is the biggest oversight of my professional career and I hope the State Board of Elections can appreciate my situation and waive the penalty.

The basis for our appeal is the lack of notification we received to file. In the past, this usually came in the form of a letter. That letter would offer a reminder that we needed to file a report. Since I began at ILCA in June of 2008, we would receive those letters at the time a report was due. This would trigger action on my part that it was time to file the report. In fact, if you notice when I first contacted The State Board of Elections in July of 2011, it was right around the time the first half semiannual report was due in the past. I wondered why I had not received anything notifying me it was time to file.

When I went to the State Board of Elections website I discovered the new calendar that now required me to submit quarterly reports. This was very surprising to me as I had not received a calendar for the 2011 reporting year. When I then took the initiative to contact the State Board of Elections, they notified me that I had not filed my semiannual report for the close of 2010 as well. I was stunned by this development. I shudder to think what would have happened if I never contacted the Board myself as my fines would have continued to escalate completely unbeknownst to me.

While speaking with Jenny Ronimous over the phone on September 7, 2011, she and Tom Newman verified that I was not scheduled for email notifications nor could they find me on the mailing list for letter notifications. Without this safety net, I missed the deadlines and was not even aware of the new quarterly reporting calendar.

Strangely, on September 6, 2011 I received a thick packet from the State Board of Elections containing the calendar and reminder to file my report. It contained a D-2 form and return envelope. This is the first time I ever

ILCA's Mission...to enhance the professionalism and capabilities of members by providing leadership, education and valued services while promoting environmental awareness within the landscape industry.

received something this lengthy and the first time I received a paper-based calendar of filing dates for 2011.

I admit that I make mistakes. However, without written or email reminders, it became too easy to forget the deadlines. Sure, the reports take time and IDIS can be cumbersome but I always filed on time in the past due to the reminder letters.

We are a small organization with a small staff and very small PAC. We write about 4-6 checks per year and often have no activity within the reporting periods. I was devastated when inaction on my part lead to fines that are almost 80% of the PAC amount. If you look at our past PAC activity, we have nothing to hide. We get small contributions in and make small contributions out. In fact, the only contributions we make are to thank legislators who take part in a once-a-year breakfast meeting between landscapers and their elected officials. We don't even make contributions based on political positions or issues. We make them to thank legislators for having breakfast with our members.

I am devastated and embarrassed I have placed my organization and the State Board of Elections in this position. I please ask for your understanding and leniency in this appeal.

Warm regards,

A handwritten signature in black ink, appearing to read 'Scott Grams', with a long, sweeping underline that extends to the right.

Scott Grams
Executive Director, ILCA

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

10 AG 093

Friends of Dart (ID 19319)

Respondent

REPORT OF HEARING OFFICER

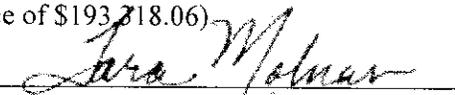
Appeal of Civil Penalty Assessment for Failing to File
Schedule A-1 for the 2010 General Election

On October 27, 2010 the Committee received four contributions totaling \$7,500 and failed to file them on a Schedule A-1 Report. The civil penalty was automatically reduced to \$750 or 10% of the total contributions not reported as a result of a first offense. In addition, the Committee was assessed a \$125 civil penalty for the failure to file a Schedule A-1 for the 2006 General Primary (not appealed, reduced, paid) and a \$250 civil penalty for the failure to file a Schedule A-1 for the 2006 General Election (not appealed, reduced, paid.) The total assessment is \$750.

The Treasurer Paula Riggins and Counsel Burt Odelson appeared on October 25, 2011 for the appeal hearing.

Paula Riggins stated in September of 2010, Friends for Dart anticipated supporting Tom Dart's campaign for Mayor of Chicago in the 2011 Consolidated Primary election. A fundraiser sponsored independently by real estate industry leaders was scheduled for October 27, 2010. Real estate professionals, Lori Healy and Meredith O'Connor collaborated with Riggins to organize the event. Healy and O'Connor accepted contributions, in advance, to be delivered to the Committee at the fundraiser. On October 25, 2010, Dart announced he would not be a candidate in the mayoral election. Riggins indicated the fundraiser was cancelled and several campaign donors contacted Healy and O'Connor requesting a return of their contribution. O'Connor held on to the checks and contacted all contributors regarding refunding their contribution in light of Dart's announcement. On November 29, 2010 Riggins received and deposited the non-refunded contributions from O'Connor and Healy's collection. On the D-2, Riggins stated she incorrectly entered the contributions using the date of the cancelled fundraiser of October 27, 2010 however she did not receive the contributions until November 29, 2010. Burt Odelson submitted Group Exhibit 1, the receipt confirmation from Lori Healy, \$1,500 received on November 29, 2010; copy of the \$1,500 check from James McHugh Construction, copy of the \$1,500 check from Daniel O'Connor, copy of the \$3,000 check from Lawrence Doria, and copy of the deposit slips for the aforementioned contributions deposited on 11/29/10.

I recommend the appeal be granted. The Committee sufficiently supported its claim the contributions assessed were received by the treasurer on November 29, 2010 and not subject to the Schedule A-1 reporting requirements at that time. On October 26, 2010, the Committee filed an Amended 2010 December Semi-Annual Report reflecting a receipt date of 11/29/10. (As of 9/30/11, this committee reported a funds available balance of \$193,718.06)


Tara Molnar – Hearing Officer

November 4, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Friends of Dart
Paula Riggins
1601 S Indiana Ave, Unit 502
Chicago, IL 60616

ID# 19319

Dear Friends of Dart:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Lori T Healey	10/27/2010	\$1500	\$1500	*	2
James McHugh Construction Corp	10/27/2010	\$1500	\$1500	*	2
Meredith L. O'Connor	10/27/2010	\$1500	\$1500	*	2
Security Management & Investigations	10/27/2010	\$3000	\$3000	*	2

The committee is fined a **total** of \$7500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period had expired (September 29). This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

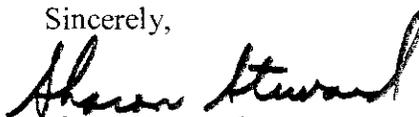
Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the***

Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty. Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date following the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

FILED
State Board of Elections
SPRINGFIELD, ILLINOIS

FILED
State Board of Elections
SPRINGFIELD, ILLINOIS

AM SEP 28 2011 PM
7 8 9 10 11 12 1 2 3 4 5 6

AM SEP 28 2011 PM
7 8 9 10 11 12 1 2 3 4 5 6

State of Illinois
County of Cook

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Case No. 10 AG 093

vs. Friends of Tom Dart

Respondent(s)

Paula Higgins, Treasurer and
Booker Odeh, the attorney-in-fact for the Chairman of the
Friends of Tom Dart
(Name) (Chairman/Treasurer)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Simply, the checks and statement were not deposited until Nov 29, 2010, after the election on Nov 2, 2010. Further explanation and copies of cancelled checks will be included in separate cover.

Signed and Sworn to by:
Paula Higgins and Burton S. Odeh
before me this 28 Day of
September 2011
Katie Garrison
Notary Public

(Signature of Chairman/Treasurer)
Paula Higgins, Treasurer
Booker Odeh, Attorney

Katie Garrison



[Print](#) | [Close Window](#)

Subject: [DO NOT REPLY] Contribution Confirmation for Lori Healey
From: CWPSupport@ngpsoftware.com
Date: Mon, Nov 29, 2010 1:30 pm
To: "Friends of Dart" <paula@votefortomdart.com>

EXCERPT OF DONOR EMAIL

Dear Lori,

Thank you for your generous contribution of \$1500.00.
Your confirmation number is [REDACTED]

INFORMATION BELOW NOT SENT TO DONOR

CONTRIBUTOR INFORMATION

Name: Healey, Lori
Employer: The John Buck Company
Occupation: Principal
Address: 1458 W Cuyler Ave
City, State, Zip: Chicago, IL 60613-1918
Email: lori.it1@gmail.com
Home Phone: (312) 441-4162
Work Phone:
Mobile Phone:

Amount: \$1500.00
Date: 11/29/2010 2:30:22 PM
Batch: -1
Source: ccOnline
Member:
Cycle: 2010
Period: C
Attribution:
Check: Visa
COMMENT

October 27, 2010 Real Estate Event
Paid for and Authorized by Friends of Dart

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Group EX1

James McHugh Construction Co.
 1737 S Michigan Ave
 Chicago IL 60616-1211

VENDOR NO	VENDOR NAME	CHECK NUMBER
4801	Friends of Dart	34783

Ref	Reference	Date	Description	Gross Amount	Discount	Retainage	Previous	Balance	Net Amount
1010 754	102610	10/26/10		1,500.00	0.00	0.00	0.00	0.00	1,500.00
Vendor				Gross Amount	Discount	Retainage	Previous	Balance	Net Amount
4801 Friends of Dart				1,500.00	0.00	0.00	0.00	0.00	1,500.00

James McHugh Construction Co.
 1737 S Michigan Ave
 Chicago, IL 60616-1211

THE PRIVATE BANK
 CHICAGO, IL 60602

2-648
 710

Date	Check Number	Amount
10/27/10	34783	\$1,500.00

One thousand five hundred and xx / 100 Dollars

TO
 THE
 ORDER
 OF

Friends of Dart

Frank Stang
[Signature]

SECURITY FEATURES INCLUDED. DETAILS ON BACK

DANIEL V. O'CONNOR 0446
MEREDITH O'CONNOR
285 SPRASHER AVE
CHICAGO, IL 60625

⑆431 119
78 710601
DATE Oct. 27, 2010

PAY TO THE ORDER OF Friends for Jon Bart
Fifteen hundred and no/100 \$ 1500.00

CHASE
JPMorgan Chase Bank, N.A.
Chicago, Illinois 60670

DOLLARS 119

MEMO

Meredith O'Connor

SECURITY MANAGEMENT AND INVESTIGATIONS, INC.



Lawrence A. Doria, CEO
5648 S. Archer Avenue
Chicago, IL 60638

Phone: (773) 585-0400 • Cell: (312) 617-2555
Fax: (773) 581-8470 • ladoria@securitymgmt.com



Friends of Tom Dart
Wednesday, October 27, 2010 Fundraiser
The Rookery – 5:30pm – 7:30pm

Please fax this form to: 312-276-8404 (or mail to the address below)

You can count on me!

Name Lawrence Doria
Company/Title SECURITY MANAGEMENT AND INVESTIGATIONS INC / PRESIDENT AND CEO
Address 5648 S. Archer Avenue
City, State, Zip CHICAGO, IL 60638-1640
E-mail jdoria@secdpsinc.com Work phone 773-585-0400

Please check:

Yes, I would like to attend the October 27th Fundraiser at The Rookery:

- | | |
|--|----------------------------------|
| <input type="checkbox"/> Chair - \$10,000 | _____ number of guests attending |
| <input type="checkbox"/> Sponsor - \$5,000 | _____ number of guests attending |
| <input checked="" type="checkbox"/> Benefactor - \$3,000 | _____ number of guests attending |
| <input type="checkbox"/> Host - \$1,500 | _____ number of guests attending |
| <input type="checkbox"/> Individual - \$125 | _____ number of guests attending |
- Security Services*



Security Management and Investigations, Inc.
5648 South Archer Avenue
Chicago, IL 60638-1640
773/585-0400

Delaware Place Bank
Operating Account
Chicago
Illinois

9749

***Three Thousand & No/100 Dollars

2-1-710

FRIENDS OF TOM DART
47 W. POLK STREET
SUITE 235
CHICAGO, IL 60605

10/27/10

\$3,000.00

Lawrence A. Doria

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

DEPOSIT TICKET
FOR CLEAR COPY, PRESS HEAVILY WITH BALL POINT PEN.



DATE 11-29-10

	DOLLARS	CENTS
CURRENCY		
COIN		
LIST FOR DEPOSIT		
1 Murphy	125	00
2 7002		
3 O'Connor	1500	00
4 119		
5 Ruzhik	125	00
6 1995		
7 Staley	125	00
8 1118		
9 Stratton	125	00
10		
11		
12		
13		
14		
15		
16		
17		
TOTAL	2000	00

FRIENDS OF DART

10/27/10 Lead Estate

2000.00

TOTAL \$ 5
PLEASE BE SURE ALL ITEMS
ARE PROPERLY ENDORSED
FOR DEPOSIT WITHDRAWAL

8-980/710

THIS IS YOUR RECEIPT



HAVE OBTAIN AN OFFICIAL RECEIPT WHEN MAKING A DEPOSIT
TRANSACTION NUMBER, AMOUNT AND DATE OF DEPOSIT ARE SHOWN BELOW
TOTAL SUBJECT TO ITEM VERIFICATION. ALL ITEMS ARE CREDITED SUBJECT TO PAYMENT.

NOT NEGOTIABLE



11-29-10 15:40 070 MKED DE ██████████ \$21,000.00 001 027

ALL DEPOSITS ARE RECEIVED SUBJECT TO THE RULES AND REGULATIONS OF THIS BANK

6322
DEPOSIT TICKET
FOR CLEAR COPY PRESS FIRMLY WITH BALL POINT PEN

MALGAMATED
Bank of Chicago

DATE 11-29-10

	DOLLARS	CENTS
CURRENCY		
COIN		
LIST FAST CHECK		
1 <u>Wingfield</u>	200	00
2 <u>14056</u>		
3 <u>Hector Ind</u>	500	00
4 <u>62300</u>		
5 <u>Wingfield</u>	125	00
6 <u>1715</u>		
7 <u>McDonough</u>	125	00
8 <u>1111</u>		
9 <u>McDonough</u>	1500	00
10 <u>34783</u>		
11 <u>Security</u>	3000	00
12 <u>97449</u>		
13 <u>Wingfield</u>	250	00
14 <u>1022</u>		
15 <u>WMA Comm</u>	250	00
16 <u>42445</u>		
17		
TOTAL	5950	00

FRIENDS OF DART

11/29/10 Real Estate

TOTAL ITEMS 8
PLEASE BE SURE ALL ITEMS
ARE PROPERLY ENDORSED.
DO NOT SIGN OR WRITE ON
THIS TICKET OR RECEIPT.

2-940/710

THIS IS YOUR RECEIPT

WAYS OBTAIN AN OFFICIAL RECEIPT WHEN MAKING A DEPOSIT
TRANSACTION NUMBER, AMOUNT AND DATE OF DEPOSIT ARE SHOWN BELOW
TOTAL SUBJECT TO FIRM VERIFICATION. ALL ITEMS ARE CREDITED SUBJECT TO PAYMENT

MALGAMATED
Bank of Chicago
Member FDIC

NOT NEGOTIABLE

11-29-10 11:45 (85) MIXED IN



ALL DEPOSITS ARE RECEIVED SUBJECT TO THE RULES AND REGULATIONS OF THIS BANK

Account #: [REDACTED] For: FRIENDS OF DART

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	250.00

FRIENDS OF DART
10/29/10 - Real Estate

Date: 11/29/2010 - Check Number: 0 - Amount: \$250.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	1500.00

FRIENDS OF DART
10/27/10 - Real Estate

Date: 11/29/2010 - Check Number: 0 - Amount: \$1,500.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	500.00

FRIENDS OF DART
Misc

Date: 11/29/2010 - Check Number: 0 - Amount: \$500.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	1500.00

FRIENDS OF DART
Misc

Date: 11/29/2010 - Check Number: 0 - Amount: \$1,500.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	500.00

FRIENDS OF DART
Misc

Date: 11/29/2010 - Check Number: 0 - Amount: \$500.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	2000.00

FRIENDS OF DART
10/27/10 Real Estate

Date: 11/29/2010 - Check Number: 0 - Amount: \$2,000.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	550.00

FRIENDS OF DART
11/29/10 - Cubs Game

Date: 11/29/2010 - Check Number: 0 - Amount: \$550.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	5960.00

FRIENDS OF DART
11/29/10 Real Estate

Date: 11/29/2010 - Check Number: 0 - Amount: \$5,960.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	1000.00

FRIENDS OF DART
Misc

Date: 11/29/2010 - Check Number: 0 - Amount: \$1,000.00

DEPOSIT SLIP
F. MARGAMATED
11/29/10

CURRENCY	
COIN	
ATM	
OTHER	
TOTAL	7500.00

FRIENDS OF DART
Misc (fund)

Date: 11/29/2010 - Check Number: 0 - Amount: \$7,500.00

DEPOSIT TICKET
FOR CLEAR COPY, PRESS FIRMLY WITH BALL POINT PEN.



DATE 11.29.10

	DOLLARS	CENTS
CURRENCY		
COIN		
CHEQUE		
1 Murphy	125	00
2 7002		
3 Eleanor	1500	00
4 119		
5 Rusetti	125	00
6 1995		
7 Staley	125	00
8 1118		
9 Shotton	125	00
10		
11		
12		
13		
14		
15		
16		
17		
TOTAL	2000	00



FRIENDS OF DART

10/27/10 Red Expts

2000.00

TOTAL ITEMS 5
PLEASE BE SURE ALL ITEMS ARE PROMPTLY ENDORSED. DEPOSITORS MAY NOT RE-NEGOTIATE DEPOSIT TICKETS.

2-340/710

THIS IS YOUR RECEIPT



ALWAYS OBTAIN AN OFFICIAL RECEIPT WHEN MAKING A DEPOSIT
TRANSACTION NUMBER, AMOUNT AND DATE OF DEPOSIT ARE SHOWN BELOW
TOTAL SUBJECT TO ITEM VERIFICATION. ALL ITEMS ARE CREDITED SUBJECT TO PAYMENT.

NOT NEGOTIABLE



11-29-10 15:40 079 MIXED DE ~~XXXXXXXXXX~~ \$2,000.00 001 027

8545
DEPOSIT TICKET
FOR CLEAR COPY, PRESS FIRMLY WITH BALL POINT PEN.



DATE 11-29-10

	DOLLARS	CENTS
CURRENCY		
COIN		
CASH ON HAND		
CHECK		
1. J. King & Co. 14654	200	00
2. Ketter Ind 6300	500	00
3. Lewis & Signe 1715	125	00
4. M. Donogh 1117	125	00
5. K. Hough 34783	1500	00
6. Security Mgt 9749	3000	00
7. Van Winkle 1022	250	00
8. WMA Comm 42445	250	00
TOTAL	5950	00

FRIENDS OF DART

118 [REDACTED] 20

11/29/10 Real Estate

TOTAL ITEMS 8
PLEASE BE SURE ALL ITEMS ARE PROPERLY ENDORSED FOR CASH OR DEPOSIT

2-340710

NOT NEGOTIABLE

THIS IS YOUR RECEIPT
ALWAYS OBTAIN AN OFFICIAL RECEIPT WHEN MAKING A DEPOSIT
TRANSACTION NUMBER, AMOUNT AND DATE OF DEPOSIT ARE SHOWN BELOW
TOTAL SUBJECT TO ITEM VERIFICATION. ALL ITEMS ARE CREDITED SUBJECT TO PAYMENT.



11-29-10 15:45 085 MIXED DE [REDACTED] 15,958.00 0911077

ALL DEPOSITS ARE RECEIVED SUBJECT TO THE RULES AND REGULATIONS OF THIS BANK

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 MA 045

Bureau County Republican Central Committee
Respondent

ID# 331

REPORT OF HEARING OFFICER

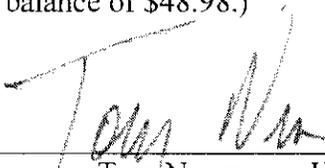
Appeal of Civil Penalty Assessment for Delinquently Filing the December 2010 Semi-Annual Report and the June 2011 Quarterly Report

The Semi-Annual Report was received by the Board on June 24, 2011, 108 days late, resulting in a civil penalty assessment of \$5,000. The Quarterly Report was received by the Board on August 4, 2011, 14 days late, resulting in a civil penalty assessment of \$350. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, stayed) for delinquently filing the June 2010 Semi-Annual Report, and a \$150 civil penalty (appealed, granted) for delinquently filing the December 2009 Semi-Annual Report. The total assessment is \$5,600.

Michael Wendt, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wendt states that the IDIS electronic filing software caused the Committee's computer to crash. He says reinstalling the software required the Committee to hire a technical support person, who then had to spend over an hour on the phone with the Board's IT staff in order to fix the problem.

Although the Committee apparently had some difficulty using or installing the IDIS software, I am unaware of any circumstance in which the software itself could have caused the Committee's computer to crash. Additionally, Mr. Wendt's claim fails to explain the lateness of the Committee's Report filings, particularly in the case of the Semi-Annual Report. Finally, since this Committee has previously utilized an electronic filing defense, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$250 assessment, and the \$5,600 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$48,98.)



Tom Newman – Hearing Officer
November 8, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Rupert T. Borgsmiller
August 30, 2011

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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Bureau County Republican Central Committee ID# 331
Michael Wendt
807 S Euclid Ave
Princeton, IL 61356

Dear Bureau County Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on June 24, 2011, 108 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 4, 2011, 14day(s) late. As such, this committee has been assessed a fine of \$1050.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

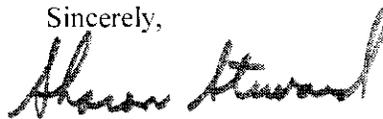
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$250
<i>TOTAL AMOUNT NOW DUE</i>		\$6300

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward, Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

11 OCT 12 PM 2:56

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
B.C.R.C.C.)
Respondent(s).)

Case No. 11MA045

APPEAL AFFIDAVIT

I, M. L. WENDT, the Treasurer of the
(Name) (Chairman/Treasurer)
Bureau County Republican Central Comm. Tree
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

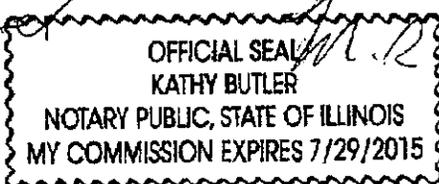
THE IDIS Program Causes our computer to crash.
Re-installation required hiring of a tech.
Our tech spent over 1 hour on the phone with
Board of Elections tech during the process.

Signed and Sworn to by:

before me this 13th Day of
October, 2011

Kathy Butler
Notary Public

M. L. Wendt
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 213

IPACE of Alton Education Association
Respondent

ID# 5778

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the June 2011 Quarterly Report

The Report was received by the Board on August 9, 2011, 17 days late, resulting in a civil penalty assessment of \$1,700. Additionally, the Committee had previously been assessed a \$3,250 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$4,950.

Sharon Heindricks, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Heindricks states that she became the new Treasurer for the Committee during the 2010-2011 school year, but the Committee did not send notification of the change to the Board. As a result, mailings from the Board, including report reminders, were sent to the old Treasurer's address. Ms. Heindricks says she only found out about delinquent filings when she was contacted by the old Treasurer, and she adds that she missed the chance to appeal the assessment for the late Semi-Annual Report because the old Treasurer did not forward the paperwork to her until after the 30 day appeal period had expired. Ms. Heindricks says the Committee's officer information has been updated with the Board to prevent these problems from happening again in the future.

While it is unfortunate that Ms. Heindricks did not receive timely notification of due reports, the fault ultimately lies with the Committee. The Board can only send mailings based on the address information it has been given by the Committee, and it is the Committee's responsibility to keep that information updated and correct. I must therefore recommend the appeal be denied. If this recommendation is accepted, the stay would be lifted from the earlier civil penalty, and the total assessment of \$4,950 will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$10,671.68.)



Tom Newman – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

IPACE of Alton Education Assn
Sharon Heindricks
16696 Suncrest Ln
Jerseyville, IL 62052-3468

ID# 5778

Dear IPACE of Alton Education Assn:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2011 through June 30, 2011
Filing Period: July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 9, 2011, 17 days late. As such, this committee has been assessed a fine of \$1700.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 1, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$3250
TOTAL AMOUNT NOW DUE		\$4950

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 SEP 16 AM 9:55

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
IPACE of Alton Education Association)
Respondent(s).)

Case No. 11JQ213

APPEAL AFFIDAVIT

I, Sharon Heindricks, the Treasurer of the
(Name) (Chairman/Treasurer)
Alton Education Association
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was a new treasurer for the Alton Education Association in the 2010-2011 school year. The information for the new officers wasn't corrected and sent to the State Board of Elections. Therefore, the information was still being sent to the previous treasurer. At first she was letting me know that I needed to do the report. But for the two quarters that I was late I wasn't getting the information in time to file the report. She would call me and tell me she received another letter about not filing the report on time and would give it to me the next time she saw me at a meeting. For the quarter ending December 2010, I didn't get the letter of appeal till after the 30 day timeline and it was too late to file an appeal. I don't think she realized the importance of getting the report in on time because she always received the information and due dates for the report and wasn't ever late. The information for our officers has been corrected and sent to the State Board of Elections. I now have the dates that reports need to be filed by and I will not be late with a report in the future.

Signed and Sworn to by:

before me this 13 Day of
Sept, 2011

Sharon Heindricks
(Signature of Chairman/Treasurer)

Notary Public



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 AJ 043

Citizens for Lyle (ID 14153)

Respondent

REPORT OF HEARING OFFICER

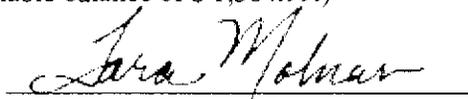
Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$4,000 contribution from Illinois Merchants PAC Team on 4/6/11 and did not file a Schedule A-1 Report, resulting in a \$2,000 civil penalty; a \$5,000 contribution from Citizens for Rush on 4/6/11 and did not file a Schedule A-1 Report, resulting in a \$2,500 civil penalty; and a \$1,000 contribution from SEIU Illinois Council PAC Fund and did not file a Schedule A-1 report, resulting in a \$500 civil penalty. Additionally, the committee was previously assessed a \$100 civil penalty (appealed, denied, reduced, not paid) for the delinquent filing of a Schedule A-1 for the 2nd Quarter of 2011; a \$416 civil penalty (appealed, denied, reduced, not paid) for the delinquent filing of a Schedule A-1 for the 2nd Quarter of 2011; a \$251 civil penalty (appealed, denied, reduced, not paid) for the delinquent filing of a Schedule A-1 for the 2nd Quarter of 2011; a \$450 civil penalty (appealed, denied, reduced, not paid) for the delinquent filing of a Schedule A-1 for the 2nd Quarter of 2011; and a \$333 civil penalty (appealed, denied, reduced, not paid) for the delinquent filing of a Schedule A-1 for the 2nd Quarter of 2011. The total assessment is \$6,550.

The Respondent did not appear for the October 25, 2011 appeal hearing. The hearing officer report will be based on Respondent's appeal affidavit.

In the Appeal Affidavit, the Respondent stated the committee failed to file Schedule A-1 Reports for three contributions received on 4/6/11 and failed to file a Schedule A-1 because the deposit slip and copies of the checks were inadvertently packed in storage boxes during the relocation of the office. The Treasurer was unaware the documents were packed and moved to storage, thus unable to file a Schedule A-1 timely. A copy of the deposit slip and checks was included in the Appeal Affidavit.

It is the responsibility of the Treasurer to maintain accurate records; the fact that they were mismanaged is an internal matter and not a valid defense. I recommend the appeal is denied. I also recommend the penalty be reduced to \$2,500 or 50% of the original assessment, as a second offense. If the Board accepts this recommendation, the civil penalty assessment of \$4,050 will be due (As of September 30, 2011, the committee reported a funds available balance of \$ 1,384.99.)



Tara Molnar – Hearing Officer

November 22, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Lyle
Rosemary McDaniel
5726 S Stony Island Ave
Chicago, IL 60637-2002

ID # 14153

Dear Citizens for Lyle:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Merchants PAC Team	4/6/2011	\$4000	7/13/2011	63	\$2000
Citizens for Rush	4/6/2011	\$5000	7/13/2011	63	\$2500
SEIU Illinois Council PAC Fund	4/6/2011	\$1000	7/13/2011	63	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2500, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31, 2011	Schedule A-1s	\$1234 (pending appeal)
April 1 through June 30, 2011	Schedule A-1s	\$832 (pending appeal)
TOTAL AMOUNT NOW DUE		\$4566

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more. * This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

State of Illinois)
County of COOK)

11 SEP 29 PM 1:28

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Respondent(s).)

Case No. 11AJ043

14153

APPEAL AFFIDAVIT

I, Rosemary McDaniel, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens For Lyle
(Name of the Committee)

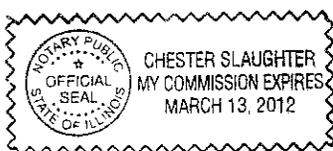
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The respondent(s) state that they
have a Meritorious Defense and
extenuating circumstances regarding
the filing of the A-1

Signed and Sworn to by:

before me this 29th Day of
Sept 2011
Chester Slaughter
Notary Public

Rosemary McDaniel
(Signature of Chairman/Treasurer)





TRANSACTION RECEIPT

YOUR TRANSACTION WAS RECEIPTED SUBJECT TO VERIFICATION. ANY ADJUSTMENT(S) MADE ON YOUR TRANSACTION WILL BE MADE UPON VERIFICATION. THE TRANSACTION FOR WHICH THIS RECEIPT IS ISSUED IS ACCEPTED SUBJECT TO THE RULES, REGULATIONS AND CONDITIONS COVERING THE ACCEPTANCE OF DEPOSITS BY THIS BANK.

INDEPENDENT FORMS SERVICES, INC. 8-1201-14

➤ BANK SYMBOL, TRANSACTION NUMBER, DATE AND AMOUNT OF YOUR TRANSACTION ARE SHOWN BELOW

CK DEPOSIT - CHECKING DEPOSIT

SAV DEPOSIT - SAVINGS DEPOSIT

LOAN PYMNT - LOAN PAYMENT

SAVINGS WD - SAVINGS WITHDRAWAL

2788

CITIZENS FOR RUSH
3361 SOUTH KING DRIVE
CHICAGO, IL 60616

DATE 1 April 2011 2-422-710

PAY TO THE ORDER OF Committee to Re-Elect Freda and Lyle Alderman \$ 5,000.00

Five thousand and no/100 DOLLARS



SHORE BANK
CHICAGO, ILLINOIS 60649

FOR _____

Carol [Signature]

[Redacted line]

SEIU Illinois Council PAC Fund
111 E. Wacker Drive
Suite 2500
Chicago, IL 60601

Amalgamated Bank of Chicago
2-340/710

2962

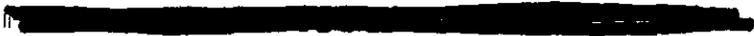
DATE	3/30/2011
AMOUNT	**1,000.00

MEMO: Food for Run-Off Election Day (4-5-11)

PAY One Thousand and 00/100***** Dollars

TO THE ORDER OF Citizens to Re-Elect Fredrenna M. Lyle
404 E. 75th St.
Chicago, IL 60619

Gerald Manin
CBal



Illinois Merchants PAC Team

The check for \$4,000.00 was deposited on April 6, 2011. The check copy and deposit slip were inadvertently packed in boxes as we prepared to close and move the office. Unbeknownst to the treasurer, the boxes with the documents were moved to storage. Thus, the A-1 was not filed in a timely manner.

Citizens for Rush

The check for \$5,000.00 was deposited on April 6, 2011. The check copy and deposit slip were inadvertently packed in boxes as we prepared to close and move the office. Unbeknownst to the treasurer, the boxes with the documents were moved to storage. Thus, the A-1 was not filed in a timely manner.

SEIUI Illinois Council PAC Fund

The check for \$1,000.00 was deposited on April 6, 2011. The check copy and deposit slip were inadvertently packed in boxes as we prepared to close and move the office. Unbeknownst to the treasurer, the boxes with the documents were moved to storage. Thus, the A-1 was not filed in a timely manner.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 AJ 057

Citizens to Elect Robert E Howard (ID19080)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution from Southland Friends of Labor and failed to file the contribution on a Schedule A-1. Additionally, the Committee was assessed \$30 civil penalty (not appealed, reduced) for the failure to file a Schedule A-1 report for the 2008 General Election and a \$600 civil penalty (appealed, partially stayed, partially paid) for the failure to file the Pre-Election Report for the 2009 Consolidated Election. The total assessment is \$1,130.

Robert Howard, Candidate/Chairman appeared on October 25, 2011 for the appeal hearing.

Robert Howard stated the County Board District 1 had a fundraiser on May 13, 2011. He indicated he deposited the \$1,000 check from Southland Friends of Labor on May 17, 2011. His computer crashed and he was unable to file a Schedule A-1 in relation to this contribution. Howard stated he is adjusting to the filing campaign disclosure reports, taking over from his previous treasurer who suffers from alcoholism. He noted the contribution was filed timely on the Quarterly Report.

The Respondent did not provide evidence to support his claim of computer difficulties, nor file any documentation in the form of notices or a paper Schedule A-1 to show a good faith effort to report the contribution. I recommend the appeal is denied for lack of an adequate defense. I also recommend the penalty be reduced to \$250 or 50% of the original assessment, as a second offense. If the Board accepts this recommendation, the civil penalty assessment of \$880 will be due. (As of September 30, 2011, the committee reported a funds available balance of \$ \$2,734.42.)



Tara Molnar – Hearing Officer
November 20, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Robert E Howard
Bob Howard, Mary Howard
32245 S Kleeme Rd
Beecher, IL 60401-3535

ID # 19080

Dear Citizens to Elect Robert E Howard:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Southland Friends of Labor	5/13/2011	\$1000	7/14/2011	37	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 6 through November 3, 2008	Schedule A-1	\$30
January 26 through March 8	Pre-Election	\$600
TOTAL AMOUNT NOW DUE		\$880

State of Illinois)
County of Will)

11 SEP 30 11 9:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. Citizens to Elect Robert E. Howard)
Bob Howard)
Respondent(s).)

Case No. 11-AJ057

APPEAL AFFIDAVIT

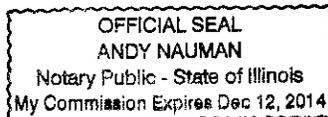
I, Robert E. Howard, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Robert E. Howard
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Deposit of check was later than recieved,
Entry into computer did not happen until later.

Signed and Sworn to by:
Robert Howard
before me this 28 Day of
September, 2011
Andy Nauman
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

11 MA 017

Friends of Ike Carothers 19970
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
The June and December 2010 Semi-Annual Reports

The June 2010 Semi-Annual Report was received by the Board on 5/6/11, 199 days late, resulting in a civil penalty assessment of \$4,975 and the December 2010 Semi-Annual Report was received by the Board on 5/6/11, 74 days late, resulting in a civil assessment of \$3,700. The total assessment is \$8,675.

The Respondent was represented by attorney Thomas Jaconetty at the September 16 appeal hearing. Also in attendance was the chairman of the Committee, Bruce Adelman.

Bruce Adelman was the person responsible for filing the reports for the Committee. Mr. Adelman has been an attorney since 1988 and he normally deals with litigation matters. However, he agreed to be an officer for Isaac Carothers because he had worked with Mr. Carothers in the past and he had known him for over 30 years. Mr. Carothers wanted a separate committee and he asked Mr. Adelman if he would create a committee for him and run it. Mr. Adelman had never run a committee in the past, but he agreed to help out Mr. Carothers. The Committee was created in 2006 and they had no issues until 2010. Mr. Adelman indicated that the Committee typically had one fundraiser a year and it wasn't your typical political event, but rather 25 to 30 attorneys and judges getting together. Mr. Adelman stated that the Committee did not raise a lot of money and the only activity in 2010 was that of bank fees for having insufficient funds. Mr. Adelman indicated that the failure to file was a result of his life coming unglued when his brother Jeffery passed away in April of 2009 (Exhibit A, death certificate), because he did not get a heart transplant. Mr. Adelman had lost his parents when he was in his early twenties and when Jeffery passed everything just started to unravel. Mr. Adelman had to deal with the passing of his brother and it really hit him hard. The loss consumed his life and he was going through the motions, but he was in a constant daze. Mr. Adelman did the best he could to help his family and his brother's wife, Carol, in dealing with the situation. Mr. Adelman has dealt with and is still dealing with legal proceedings in relation to his brother's doctor's bills, and his brother's business. Additionally Mr. Adelman and his wife of 18 years separated and he had to move out of the family home. He went through all of this and still maintained an active law practice. These are the circumstances that Mr. Adelman had to deal with and this was the primary reason why the two 2010 Semi-Annual Reports were delinquently filed.

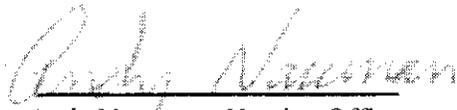
Jeffery, his brother, was a small business man who owned a used car lot with around 30 - 50 cars. The lot was run as a partnership and at the time of Jeffrey's passing nothing was set up in relation to what was to happen with the business. Mr. Adelman tried to step in and help Carol with the dealership. Carol had not worked for some time and she was not in that great of condition herself so Mr. Adelman tried to help as much as he could. Mr. Adelman was living downtown at that time and the dealership was in Algonquin so he was always traveling to spend time with Carol and trying to piece everything together at the dealership.

Complicating the matter was the fact that the business partner had been stealing from the business, and everything was a mess. Things were so bad that they looked into prosecuting the business partner, but Carol decided to let the partner go even though she was in financial restraints. The business was upside down and going under. Vehicles were sold but no money was reported as being received, customers paid for taxes, but the business had not paid the taxes, people had dealer plates that should not have, and these were only a few of the problems they were running into. Mr. Adelman tried to recover some of money that was owed, but the amount was somewhere in the range of \$100,000 – \$300,000. They had some friends who were trying to assist and inform them on what needed to be done, but, Mr. Adelman was trying to deal with this in his free time. He was still working full time as an attorney and emotionally trying to deal with the loss of his brother. This whole situation just consumed his life for he was basically a one man band. The business ended up going into foreclosure (Exhibit B) and the proceedings took place in McHenry County in which Mr. Adelman had to travel. Complicating the matter even more was the fact that his brother's mortgage for his house was with the same financial institution of that of the business and Carol was feeling the financial burden of both. The house ended up in foreclosure as well. To make things worse they could not get out from under the dealership. The Village was not going to allow another dealership to be established at that property location, so they were basically prevented from selling the business. Additionally before Jeffery passed he was in and out of the hospital for a couple of years and several of the medical bills mounted up for his health insurance did not cover everything. One of the medical facilities that was owed money filed a judgment for \$10,000 against Carol and they froze and subsequently ended up taking \$22,000 from her account (Exhibit D). Mr. Adelman tried to defend Carol in these proceedings as well and Carol leaned on him for a lot of support. These proceedings were in Kane County and they took almost a year to resolve. Again, Mr. Adelman was traveling back and forth with this matter as well. So basically the dealership was upside down, they were dealing with multiple litigations in four different counties, and Mr. Adelman was stretched thin. His entire life was coming apart at the seams. He had to withdraw from some hearings at work and he just couldn't keep up with everything. Making things worse was the fact that his wife and he separated after 18 years of marriage a little after his brother died. So he was not only coping with the loss of his brother but he was also dealing with the separation from his wife. During this time he also had to move. Mr. Adelman supplied bank statements (Exhibit E) to show that most of the Committees funds were raised and spent well prior to 2010 where the two delinquent reports came about. He indicated that the account was so low that the bank started charging the account a monthly fee. Exhibits F and G were introduced to show that the Committee has closed out the bank account and filed a Final Report. The Committee has no more funds and Mr. Adelman does not plan on reactivating this Committee or opening up a successor committee.

The Committee requests that the Board take into consideration what Mr. Adelman has gone through and they request that the Board grant the appeals as they have done so in the past when unfortunate family circumstances have been presented to the Board as in 08 AG 018 (brother in law passed away) and 09 DS 235 (breast cancer). Mr. Jaconetty indicated that the assessed amount is more money than the Committee has ever had and the Committee has no money, they already closed the account. They indicated that the Committee simply had the account open one year too long. The candidate did not run in 2010 and the little amount of money that was in the account was simply overlooked while Mr. Adelman tried to deal with his life coming unglued. The Committee has filed a Final Report and they closed the account. Mr. Jaconetty indicated that there was no bad intent, it was inadvertent, and the reports have since been filed. The Respondent asks the Board to use their discretion in granting the appeal and they indicated that this will not happen again.

I can't imagine going through what Mr. Adelman has gone through in relation to dealing with the loss of his brother and separating with his wife at relatively the same time. On top of that dealing with trying to run and save a business, dealing with multiple legal proceedings, and still trying to operate a law practice on top of that, I don't know how he did it. However, the political committee had a responsibility to file the reports and they were not filed in a timely manner. Mr. Adelman was the treasurer of Committee, but it would have been nice to see someone else within the political committee stepping up to help get the

reports filed. Additionally, the Board actually denied the two appeals Mr. Jaconetty cited. The hearing officer recommended the appeals be granted, however General Counsel recommended the appeal be denied and in both matters the Board accepted the recommendation of the General Counsel. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$8,675 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.


Andy Nauman – Hearing Officer
November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Ike Carothers ID# 19970
Bruce Adelman, Anthony Carothers
33 N LaSalle St, Ste 3300
Chicago, IL 60602

Dear Friends of Ike Carothers:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 6, 2011, 199 day(s) late. As such, this committee has been assessed a fine of \$4975.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 6, 2011, 74 day(s) late. As such, this committee has been assessed a fine of \$3700.

State of Illinois)
County of Cook)

CHICAGO

11 JUN 30 AM 10:10

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11 MA 017

FRIENDS OF RKE BROTHERS)
Respondent(s).)

APPEAL AFFIDAVIT

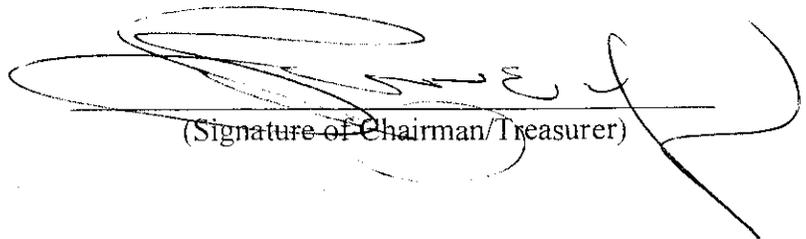
I, Bruce E. Adelman, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

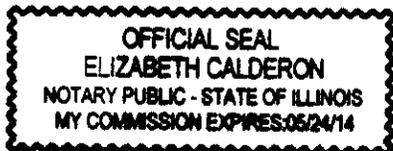
FRIENDS OF RKE BROTHERS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please See Attached

Signed and Sworn to by:
Bruce E. Adelman
before me this 30th Day of
June 2011
Elizabeth Calderon
Notary Public


(Signature of Chairman/Treasurer)



June 30, 2011

To Whom It May Concern:

I am the current Chairman for the Friends of Ike Carothers Committee. This committee was created on July 5, 2006.

Prior to the reporting period(s) for the year 2010 I do not believe that any reporting or compliance problems were experienced.

On April 20, 2009 my only brother Jeff passed away at the age of 57 (I myself will turn 55 on July 1st of this year). His death was the first in my nuclear family since losing my mother and father within 11 months of each other in the period 1978-1979. I took his passing very hard and spent the next 2 years living in a state of haze.

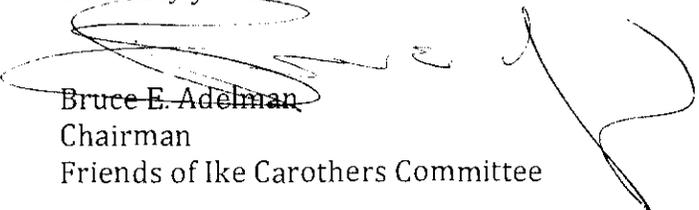
In addition to trying to help Carol (his widow) with the financial and emotional fallout following her husband's death, I was also experiencing the breakdown of my marriage of almost 20 years. In the summer of 2009 I moved out of the marital home and have been living separate and apart from my wife since that time.

All of the above weighed heavily on my mind (and still does) and contributed to my failure to complete my responsibility in a timely manner. The failure to timely file was not done intentionally. My immediate focus and concentration was dealing with my family and my own personal crisis.

As of today's date all the required reports have been filed and the committee has been closed out.

Please consider the above events in the granting of any possible leniency regarding the fine levied.

Sincerely yours,


Bruce E. Adelman
Chairman
Friends of Ike Carothers Committee

CERTIFICATION OF DEATH RECORD

CHICAGO, ILLINOIS MEDICAL CERTIFICATE OF DEATH

STATE FILE NUMBER 2009 0016575

DATE ISSUED 05/04/2009

DECEDENT'S LEGAL NAME JEFFREY A ADELMAN		SEX MALE	DATE OF DEATH APRIL 20, 2009	
COUNTY OF DEATH COOK	AGE AT LAST BIRTHDAY 56 YEARS	DATE OF BIRTH MARCH 02, 1953		
CITY OR TOWN ELGIN	HOSPITAL OR OTHER INSTITUTION NAME 95 FORESTVIEW			
PLACE OF DEATH DECEDENT'S HOME				
BIRTHPLACE SAINT JOSEPH, MI	SOCIAL SECURITY NUMBER 365-58-8664	MARITAL STATUS AT TIME OF DEATH MARRIED	SURVIVING SPOUSE'S NAME CAROLINE SIMON	EVER IN U.S. ARMED FORCES? NO
RESIDENCE 95 FORESTVIEW	APT. NO.	CITY OR TOWN ELGIN	INSIDE CITY LIMITS? YES	
CDUNTY COOK	STATE IL	ZIP CODE 60120	FATHER'S NAME HERBERT ADELMAN	MOTHER'S NAME PRIOR TO FIRST MARRIAGE ANN RAE GUISE
INFORMANT'S NAME CAROLINE ADELMAN		RELATIONSHIP SPOUSE	MAILING ADDRESS 95 FORESTVIEW, ELGIN, IL, 60120	
METHOD OF DISPOSITION CREMATION	PLACE OF DISPOSITION TWIN PINES CREMATORY	LOCATION - CITY OR TOWN AND STATE EAST DUNDEE, IL	DATE OF DISPOSITION MAY 04, 2009	
FUNERAL HOME SALERNO'S ROSEDALE CHAPELS, 450 WEST LAKE STREET, ROSELLE, IL, 60172				
FUNERAL DIRECTOR'S NAME JOSEPH G SALERNO		FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER 034010202		
LOCAL REGISTRAR'S NAME DAVID ORR		DATE FILED WITH LOCAL REGISTRAR MAY 1, 2009		

CAUSE OF DEATH	PART I. CONSTRUCTIVE PERICARDITIS	APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH:	MONTHS
IMMEDIATE CAUSE <small>(Final disease or condition resulting in death)</small>	a. _____ Due to (or as a consequence of):		
	b. _____ Due to (or as a consequence of):		
	c. _____ Due to (or as a consequence of):		

PART II. Enter other significant conditions contributing to death but not resulting in the underlying cause given in PART I.		WAS AN AUTOPSY PERFORMED? NO
		WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? N/A
DID TOBACCO USE CONTRIBUTE TO DEATH? UNKNOWN	FEMALE PREGNANCY STATUS NOT APPLICABLE	MANNER OF DEATH NATURAL
DATE OF INJURY	TIME OF INJURY	PLACE OF INJURY
LOCATION OF INJURY		INJURY AT WORK?
DESCRIBE HOW INJURY OCCURRED:		IF TRANSPORTATION INJURY, SPECIFY:
ATTEND THE DECEASED? YES	DATE LAST SEEN ALIVE	WAS MEDICAL EXAMINER OR CORONER CONTACTED? YES
		DATE PRONOUNCED
		TIME OF DEATH 07:50 AM
CERTIFIER PHYSICIAN	DATE CERTIFIED APRIL 30, 2009	
NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH GEPHART, TODD, RT 25 & 72, EAST DUNDEE, ILLINOIS, 60118		PHYSICIAN'S LICENSE NUMBER 036095773

EXHIBIT
CAROTHEES
A

This is to certify that this is a true and correct copy from the official death record filed with Illinois Department of Health.

David Orr
David Orr
Cook County Clerk



IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

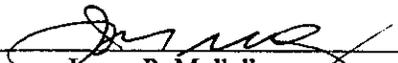
STATE BANK OF ILLINOIS)
an Illinois Banking Institution,)
)
Plaintiff,)
vs.)
)
THE ESTATE OF JEFFREY A.)
ADELMAN, CAROLINE A. ADELMAN,)
AUTO VILLAGE, INC., UNKNOWN)
OWNERS AND NON-RECORD)
CLAIMANTS,)
)
Defendants.)

Case No. 2010 CH 3153

NOTICE OF MOTION AND PROOF OF SERVICE

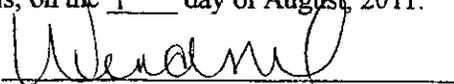
To: See Attached Service List

On August 22, 2011 at 10:00 AM, I shall appear before the Honorable Judge Michael J. Sullivan, at the McHenry County Courthouse, 2200 North Seminary Avenue, Woodstock, IL 60098-2637, in courtroom 358, or in his absence, before any other Judge that may be Presiding, and shall then and there present the attached Motion to Appoint Personal Representative for the Estate of Jeffrey A. Adelman.

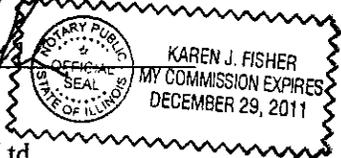

James P. Mullally

PROOF OF SERVICE

The undersigned, being first duly sworn, on oath deposes and states the he/she served a copy of the forgoing Notice and attached documents upon the persons to whom the Notice is directed by placing a copy of same in an envelope correctly addressed and bearing sufficient first-class postage prepaid and depositing same in the U.S. Mail at West Chicago, Illinois, on the 15th day of August, 2011.


Wende McLeod

SUBSCRIBED and SWORN to before me
this 15th day of August, 2011.


Notary Public 

Konewko & Associates, Ltd.
29W204 Roosevelt Road
West Chicago, Illinois 60185
(630) 231-5500
Attorney No. 6291674

EXHIBIT
CAROTHEES
B

IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)	
an Illinois Banking Institution,)	
)	
Plaintiff,)	
vs.)	Case No. 2010 CH 3153
)	
THE ESTATE OF JEFFREY A.)	
ADELMAN, CAROLINE A. ADELMAN,)	
AUTO VILLAGE, INC., UNKNOWN)	
OWNERS AND NON-RECORD)	
CLAIMANTS,)	
)	
Defendants.)	

**MOTION TO APPOINT PERSONAL REPRESENTATIVE FOR THE
ESTATE OF JEFFREY A. ADELMAN**

Now comes Plaintiff, STATE BANK OF ILLINOIS, by its attorneys, Konewko & Assoc., Ltd., and moves this Court for the appointment of a special representative for the Estate of Jeffrey A. Adelman, and in support thereof, states as follows:

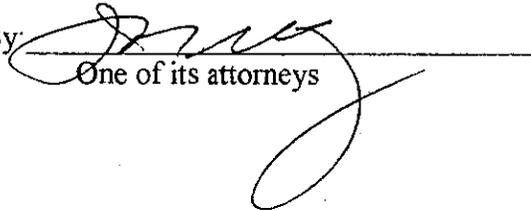
1. This matter is a foreclosure action, for commercial property located in the Village of Algonquin, County of McHenry and State of Illinois.
2. The individual named Defendants are JEFFREY A. ADELMAN and CAROLINE ADELMAN, husband and wife, as the mortgagees on the underlying mortgage in this matter.
3. The last known residential address for the individually named Defendants JEFFREY A. ADELMAN and CAROLINE ADELMAN was 95 Forestview Drive, City of Elgin, County of Cook and State of Illinois.
4. JEFFREY A. ADELMAN died on or about April 20, 2009, with his Will filed with the Clerk of the Court for the Circuit Court of Cook County, Illinois, on or about May 19, 2009.
5. As of the date of this motion, no estate has been opened in the name of JEFFREY A. ADELMAN in Cook County, Illinois.

6. Pursuant to the decision of the Illinois Supreme Court in ABM AMRO Mortgage Group v. McGahan, 237 Ill.2d 526, 2010 WL 2222126 (Ill Sup Ct.), the trial court in a foreclosure action may appoint a personal representative for the decedent for purposes of service upon said personal representative in situations where no estate has been opened for the decedent.

WHEREFORE, Plaintiff STATE BANK OF ILLINOIS requests this Honorable Court enter its Order appointing the wife of the decedent, CAROLINE ADELMAN, as the personal representative for the decedent, JEFFREY A. ADELMAN, with summons to issue, and for such other and further relief as this Court deems just and equitable.

STATE BANK OF ILLINOIS

By


One of its attorneys

Konewko & Assoc., Ltd.
Attorneys for Plaintiff
29W204 Roosevelt Road
West Chicago, IL 60185
(630) 231-5500
Attorney No. 38134



501 7th Street
Rockford, IL 61104

August 5, 2010

Caroline A Adelman
POD Amanda R Cuellar
95 Forestview Dr
Elgin IL 60120-7560

Dear Caroline Adelman:

We have been served with a Citation to Discover Assets, a copy of which is enclosed for your records.

Accordingly, we have debited your deposit account (s) in the amount of \$22,101.60. We are required to withhold twice the amount of the judgment. We have placed these funds, less our non-refundable \$100.00 Legal Service Fee, in a segregated account, pending the outcome of the litigation.

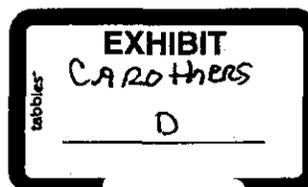
Should you have any questions regarding this matter, please feel free to call the Attorney listed on the attached enclosure.

As we are not party to the attached action, this is all of the information that we have.

Sincerely,

Service Representative II

Enclosure



Page 1
Statement Date 8-31-07
Account # 4000011278
MAIL STATEMENT
Image Statement

1

MDG2005 00005818 1 AV 0.312



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005818

Now your everyday expenses come with rewards!

Through MB's My Bank My Rewards Program, the more you use your MB Debit MasterCard or MB Business Debit MasterCard, the more points you'll earn toward valuable rewards!

For complete details, go to www.mybankmyrewards.com.

40DD01 127 8 REGULAR DEMAND ACCOUNT

Previous Balance	7-31-D7	2,637.50
+Deposits/Credits	1	4,050.00
-Checks/Debits		100.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		<u>6,587.50</u>

* ----- CHECKS PAID ----- *

No.	Date	Amount	No.	Date	Amount
106	8-13	100.00			

* ----- DESCRIPTIVE TRANSACTIONS ----- *

Date	Tracer	Description	Amount
8-20	1111111	CUSTOMER DEPOSIT	4050.00

* ----- DAILY BALANCE SUMMARY ----- *

Date	Balance	Date	Balance	Date	Balance
7-31	2637.50	8-13	2537.50	8-20	6587.50



MDG2005 00005858 1 AV 0.293



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST STE 3300
CHICAGO IL 60602-3395

005858

To you and yours

Happy Holidays! Our MB Financial Bank family extends our wishes to you for health, happiness and prosperity during this holiday season and in the new year.

400001 127 8 REGULAR DEMAND ACCOUNT

Previous Balance	11-30-06	3,980.00
+Deposits/Credits	1	1,500.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		5,480.00

-----DESCRIPTIVE TRANSACTIONS-----

Date	Tracer	Description	Amount
12-26	1111111	CUSTOMER DEPOSIT	1500.00

-----DAILY BALANCE SUMMARY-----

Date	Balance	Date	Balance	Date	Balance
11-30	3980.00	12-26	5480.00		



**

Page 1
Statement Date 12-31-07
Account # 4000011278
MAIL STATEMENT
Image Statement

MDG2005 00005799 1 AV 0.312



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005799

Season's greetings!
We'd like to thank you for your business this year, and we
look forward to continuing to serve you throughout 2008!

400001 127 8 REGULAR DEMAND ACCOUNT

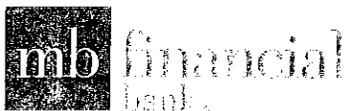
Previous Balance	11-30-07	3,525.43
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		3,525.43

**

- - - - - DAILY BALANCE SUMMARY - - - - -

Date	Balance	Date	Balance	Date	Balance
11-30	3525.43				





www.mbfinancial.com

Page 1
Statement Date 12-31-08
Account # 4000011278
MAIL STATEMENT
Image Statement

MDG2005 00005568 1 AV 0.324



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005568

For nearly 100 years, MB has successfully withstood business cycle downturns, and we are well-positioned to successfully navigate this one. MB is profitable and well capitalized, and we are committed to the communities we serve. We thank you for your continued confidence and business, and we assure you that your choice to bank with us is a sound one.

Find the perfect holiday gift for anyone on your list. MB gift cards are now available at your local MB banking center and can be used anywhere MasterCard is accepted - worldwide! For a complete listing of MB Financial Bank locations, visit www.mbfinancial.com.

Enclosed is MB Financial Bank's Privacy and Affiliate Marketing Notice.

400001 127 8 REGULAR DEMAND ACCOUNT

Previous Balance	11-30-08	2,385.50
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		2,385.50

* - - - - - DAILY BALANCE SUMMARY - - - - - *

Date	Balance	Date	Balance	Date	Balance
11-30	2385.50				



**

Page 1
Statement Date 10-31-09
Account # 400011278
MAIL STATEMENT
Image Statement

MDG2005 00005526 2 AV 0 460



FRIENDS OF IKE CAROTHERS
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005526

MB recently acquired the loans and deposits of Oak Forest-based InBank and the deposits of Chicago-based Corus Bank. Both transactions were facilitated by the Federal Deposit Insurance Corporation (FDIC). To learn more, visit our website at www.mbfincial.com.

400001 127 8 BUSINESS CHECKING

Previous Balance	9-30-09	673.00
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		673.00

**

-----DAILY BALANCE SUMMARY-----

Date	Balance	Date	Balance	Date	Balance
9-30	673.00				

Beginning November 2009 a monthly service charge of \$15.00 was being deducted.

MDG2005 0002847 1 AV 0335 09231S

 FRIENDS OF IKE CAROTHERS
 33 N LA SALLE ST SUITE 3300
 CHICAGO IL 60602-3395

002847



Account Information

<u>Account Type</u>	<u>Account Number</u>	<u>Ending Balance</u>
BUSINESS CHECKING	xxxxxx1278	463.00

Account Summary

Beginning Balance:	478.00
Deposits:	0.00
ACH Credits:	0.00
Wire Credits:	0.00
Sweep/Internal Transfer Credits:	0.00
Miscellaneous Credits:	0.00
Checks:	0.00
ACH Debits:	0.00
Wire Debits:	0.00
Sweep/Internal Transfer Debits:	0.00
Miscellaneous Debits:	1 15.00
Ending Balance:	463.00

Account Activity

<u>Date</u>	<u>Reference #</u>	<u>Description</u>	<u>Amount</u>	<u>Balance</u>
12/31	0000999	MONTHLY SERVICE CHRG	-15.00	463.00
Ending Balance				463.00

Daily Balance Summary

<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>	<u>Date</u>	<u>Balance</u>
12/31	463.00				

IN THE CIRCUIT COURT OF THE SIXTEENTH JUDICIAL CIRCUIT
KANE COUNTY, ILLINOIS

Case No. 2010 SC 392

<u>Manor Care of Elgin</u> Plaintiff(s)		<u>Caroline Adelman</u> Defendant(s)
<u>Foot Meyers Miellitz</u> Plaintiff(s) Atty. <u>Flowers</u>		Defendant(s) Atty.
Judge	Court Reporter	Deputy Clerk
A copy of this order <input type="checkbox"/> should be sent <input type="checkbox"/> has been sent		
<input type="checkbox"/> Plaintiff Atty. <input type="checkbox"/> Defense Atty. <input type="checkbox"/> Other _____		

Dorothy Syller
Clerk of the Circuit Court
Kane County, IL

DEC 29 2010

FILED 86

ENTERED _____

FILED

Plaintiff present in Open Court Yes No

Defendant present in Open Court Yes No

ORDER

CONTINUANCE

JUDGMENT

MISC.

THE COURT BEING FULLY ADVISED IN THE PREMISES:

It is ordered:

On motion of _____ that

this cause be continued to _____

at _____ m. in room _____

Kane County Judicial Center, 37W777 Rt. 38, St. Charles, IL

Kane County Courthouse, 100 S. Third St., Geneva, IL

Other _____

before Judge _____

_____ MUST APPEAR

For: Hearing on Motion/Petition For/To:

Default/Judgment

Dismiss/setting

Proof of damages

Trial

Other (describe) _____

It is ordered as follows:

Dismissed without prejudice and strike pending dates.

Dismissed with prejudice and strike pending dates.

Judgment to enter: Pursuant to Settlement

By default

Upon trial or hearing

Defendant having admitted liability

Proof of damages made

Proof of reasonable attorney's fees made

Strike pending dates

Discharge Rule to Show Cause

Dismiss citation

Alias _____ to issue

In favor of _____

and against _____,

in the amount of \$ _____, costs of

\$ _____ and Attorney's fees of

\$ _____

Misc. Orders:

Date: 12/29/10

EXHIBIT
CAROTHERS

tabbies

93

Jana Borson

FILED
 ENTERED
 2009 JAN 20 A 9 57
 DEBORAH EYLER
 CIRCUIT CLERK
 KANE COUNTY, IL

IN THE CIRCUIT COURT OF THE SIXTEENTH JUDICIAL CIRCUIT
 KANE COUNTY, ILLINOIS

MANOR CARE OF ELGIN IL, LLC)
)
)
 Plaintiff,)
)
 v.)
)
 CAROLINE ADELMAN,)
)
 Defendant.)

General No. 10 SC 392

COMPLAINT

NOW COMES, Plaintiff, MANOR CARE OF ELGIN IL, LLC, ("MANORCARE"), by and through its attorneys, FOOTE, MEYERS, MIELKE & FLOWERS, LLC, and complaining against Defendant, CAROLINE ADELMAN, alleges as follows:

COUNT I
BREACH OF CONTRACT

1. That at all times hereinafter mentioned, Plaintiff was and currently is a corporation duly licensed to do business under the laws of the State of Illinois, having its principal place of business at 180 South State Street, Elgin, Illinois 60120.
2. That upon information and belief, Defendant, CAROLINE ADELMAN, resides at 95 Forestview Drive, Elgin, Illinois 60120.
3. That on or about January 19, 2009, Plaintiff entered into a Contract with CAROLINE ADELMAN, whereby Plaintiff agreed to admit JEFFERY ADELMAN into its facility and provide services and supplied to said JEFFERY ADELMAN in exchange for certain payments. A copy of the Contract is attached hereto and incorporated herein as Exhibit A.
4. Defendant's spouse, JEFFERY ADELMAN, passed away on April 20, 2009.

5. That currently, there may be additional sums that have been presented to their insurance company or Medicare that if rejected may increase the outstanding balance due on this account.

6. That Plaintiff admitted Defendant's spouse, JEFFERY ADELMAN, into its facility and provided services and supplies to him.

7. That as of November 13, 2009, there was an outstanding balance of \$9,652.69, due and owing to Plaintiff from Defendant for services and supplies administered. A statement for services rendered is attached as Exhibit B.

8. That despite repeated demands, Defendant, CAROLINE ADELMAN, has refused to make full payment to Plaintiff of said services and supplies.

9. That Defendant has breached her Contract with Plaintiff by failing to make said payment.

WHEREFORE, Plaintiff, MANOR CARE OF ELGIN IL, LLC prays for a judgment against Defendant, CAROLINE ADELMAN in the amount of \$9,652.69, plus any amounts that have been rejected by any insurance company or Medicare/Medicaid plus all costs for services and supplies provided up to the date of trial, plus interest as provided under the aforementioned Contract and attorney's fees and expenses associated with prosecuting the matter and for any and all such other and further relief as this Court deems equitable and just.

COUNT II
FAMILY EXPENSE ACT

1-9. Plaintiff realleges and specifically incorporates herein allegations 1-9 of Count I and allegations of 1-9 of Count II as if fully set forth herein.

10. That upon information and belief at all times relevant to this cause, JEFFERY ADELMAN, was married to Defendant, CAROLINE ADELMAN.

11. That the expenses incurred by, JEFFERY ADELMAN, while at Plaintiff's facility, are "expenses of the family" pursuant to 750 ILCS 65/15, 1992.

12. That pursuant to 750 ILCS 65/15, 1992, the property of the Defendant, CAROLINE ADELMAN, is subject to claim from Plaintiff, for the expenses incurred by JEFFERY ADELMAN.

13. That upon information and belief, Defendant, CAROLINE ADELMAN, has property sufficient to satisfy the outstanding balance due Plaintiff under the aforementioned Contract.

WHEREFORE, Plaintiff, MANOR CARE OF ELGIN IL, LLC, respectfully prays for a Judgment against Defendant, CAROLINE ADELMAN, in the amount of \$9,652.69, plus any amounts that have been rejected by any insurance company or Medicare/Medicaid plus all costs for services and supplies provided up to the date of trial, plus interest as provided under the aforementioned Contract and attorney's fees and expenses associated with prosecuting the matter and for any and all such other and further relief as this Court deems equitable and just.

COUNT III
QUANTUM MERIT

1-9. Paragraphs 1-9 of Count I are restated as paragraphs 1-9 of Count III as if specifically incorporated herein.

10. That Plaintiff, by and through its agents, performed services and provided supplies to JEFFERY ADELMAN, thereby conferring a benefit upon Defendant, JEFFERY ADELMAN, of the value of said services and supplies.

11. That it would be unjust to allow JEFFERY ADELMAN and CAROLINE

ADELMAN to retain the benefit of said services or supplies, thereby enriching them, without compensating the Plaintiff.

WHEREFORE, Plaintiff, MANOR CARE OF ELGIN IL, LLC prays for a judgment against Defendant, CAROLINE ADELMAN in the amount of \$9,652.69, plus any amounts that have been rejected by any insurance company or Medicare/Medicaid plus all costs for services and supplies provided up to the date of trial, plus interest as provided under the aforementioned Contract and attorney's fees and expenses associated with prosecuting the matter and for any and all such other and further relief as this Court deems equitable and just.

MANOR CARE OF ELGIN IL, LLC

FOOTE, MEYERS, MIELKE & FLOWERS, LLC

By: Sheri L. Brown
Sheri L. Brown, One of its Attorneys

✓ Sheri L. Brown #6298264
FOOTE, MEYERS, MIELKE & FLOWERS, LLC
3 North Second Street
Suite 300
Saint Charles, Illinois 60174
(630) 232-6333
F:\Clients\Manor\Elgin\Adelman-SurvivingSpouse\Contract.wpd

630-845-8982

AMANDA.

JAN/18/2010/MON 02:49 PM HCR MANORCARE ELGIN FAX No. 847 742 0924
01/18/2010 15:10 FAX 18308458982 FOLIO REVENUE

P. 003

VERIFICATION

~~STATE OF ILLINOIS~~

COUNTY OF KANE

I, Pam Crenshaw, Administrator of Manor Care of Elgin II, LLC, being first duly sworn on oath, depose and state that I am the Plaintiff in the above cause of action, that I have read the foregoing Complaint by me subscribed, and that the contents therein are true in substance and in fact to the best of my knowledge and belief.


PAM CRENSHAW

~~Witnessed My Hand and Seal this Verification and~~

ADMISSION AGREEMENT**1. PARTIES, ADMISSION DATE, AND DEPOSIT**

The following are parties to this Agreement:

- A. Center (We, Us, Our): HCR ManorCare #465-Elgin
- B. Patient (You, Your): Jeffrey Adelman
- C. Responsible Party, if applicable (You, Your): Caroline Adelman

Admission Date: 01/16/2009

Deposit Amount: \$ N/A(Pvt. Ins.)

2. CENTER'S RESPONSIBILITIES

We will:

- A. Provide You with a basic room, board, common facilities, housekeeping, laundered bed linens, general nursing care, personal assessment, social services, and other services.
- B. Apply Your deposit, if any, to Your first one or two months of Your stay at Center.
- C. Refund any amounts owed to You within 30 days or within the time frame required by state law after Your discharge or transfer.

3. RESIDENT'S RIGHTS AND RESPONSIBILITIES

3.1 You have the right to:

- A. Choose Your own personal physician as long as the physician is properly licensed and complies with Our policies and procedures.
- B. Choose Your own pharmacy as long as the pharmacy complies with Our policies and procedures and operates in compliance with state and federal laws. In order for You to receive prescription drug coverage under Medicare Part D, the pharmacy must have a contract with the Part D plan You select.

3.2 You will:

- A. Pay Us:
 - 1. the room and board rate for all days that You reside at the Center including the day of admission. Unless you are covered under Medicaid or an insurance plan that prohibits it, We may bill You for a late fee if You do not leave the Center before 12:00 p.m. on the day of Your discharge. The late fee will reflect any charges accrued by You while in the Center after 12:00 p.m. on the day of Your discharge. If We change the room and board rate, We will notify you in writing 30 days before the change. (Room and Board Rates are listed in Attachment A).
 - 2. all additional ancillary charges accrued by You while in the Center. (Ancillary Charges are described on Attachment B)
 - 3. any co-insurance, deductibles or reimbursement You receive for non-covered services if You are eligible for any insurance or governmental program including Medicare, Medicaid, or Veteran's Administration.
 - 4. Any additional or denied charges that are not covered by Your insurance company's benefit or third party payer
 - 5. upon receipt of the bill. If We hire a collection agency or attorney to collect payment on Your account, You will pay for these collection costs including attorney fees and costs.
- B. Pay other providers, including Your attending physician, directly for care they provide to You.
- C. Notify Us of Your coverage under any insurance plans or government programs.
- D. Notify Us in writing within 5 days if Your coverage under any insurance plans or government programs changes while You are at the Center.

- E. Assign Us the right to bill and receive money directly from Your insurance or government payor. You authorize Center and any holder of medical or other information to release such information to the Centers for Medicare and Medicaid Services and its agents and to third party payors any information needed to determine Your benefits and Our right to receive payment.
- F. Pay for any damage You cause to any person or property on Center grounds.
- G. Abide by our policies and procedures.
- H. Notify Us if You are an identified offender under Illinois law. If You are an identified offender, We may or may not be able to continue to care for You and You may have to leave the Center. Your admission is conditional and is contingent on whether We can properly care for You while ensuring the safety and security of other patients in the Center.

4. RESPONSIBLE PARTY'S RESPONSIBILITIES

You will:

- A. Have legal access to the Patient's income or resources and deliver any documents supporting such authority to the Center.
- B. Pay for all charges that Patient incurs while at the Center from the Patient's income or resources.
- C. Notify Us immediately and in writing if the Patient's financial resources are depleted.
- D. Secure Medicaid in a timely and proper manner.
- E. Cooperate with Us by providing information about the Patient's finances.
- F. Transfer and accept the Patient when it is medically appropriate to discharge the Patient from the Center.
- G. Abide by Our policies and procedures.
- H. Not misappropriate the Patient's income or resources or use them for the benefit of someone other than the Patient.
- I. Be personally liable for the payment of all charges if You fail to fulfill Your other responsibilities under this Agreement.

5. CONSENT

You consent to allow Us to:

- A. Use and disclose your health information for purposes of treatment, payment, or health care operations.
- B. Treat You to maintain Your well-being.
- C. Photograph you for identification purposes.

6. TERM AND TERMINATION

6.1 Term

This Agreement begins on the day You are admitted to the Center and ends on the day You are discharged from the Center unless you are readmitted within 15 days of Your discharge date. If You are re-admitted within 15 days of being discharged from the Center, this Agreement will continue in effect as of the date of Your re-admission.

6.2 Termination

A. By You:

You may terminate this Agreement:

1. immediately if you leave the Center because of emergency; or
2. by providing 7 days written notice of Your intent to leave the Center.

B. By Us:

We may terminate this Agreement and discharge You from the Center by notifying You in writing. Where legally required, We will notify you at least 30 days prior to Your transfer or discharge. In cases where the safety or health of You or other individuals in the Center may be endangered, or if other legal reasons exist, we will notify You as soon as practicable before transfer or discharge.

We can terminate the Agreement for any of the following reasons:

1. Your needs cannot be met in the Center;
2. Your health has sufficiently improved so that You no longer need Our services;
3. The safety of other individuals in the Center is endangered;
4. The health of other individuals in the Center is endangered;
5. After appropriate notice, You have failed to pay for your stay at the Center*; or
6. We cease to operate the Center.

* If the Center participates in the Medicaid program on a distinct part basis under Illinois law, We may discharge You from the Center if You reside in a non-certified Medicaid bed and You are unable to pay for Your medical care without Medicaid assistance.

7. ACKNOWLEDGMENTS

You acknowledge that You have received the following attachments:

- A. Room and Board Rate – Attachment A
- B. Ancillary Charges – Attachment B
- C. Notice of Information Practices and Receipt of Notice of Information Practices – Attachments C-1 and C-2
- D. Resident's Personal Trust Fund Agreement – Attachment D
- E. SNF Medicare Determination Form – Attachment E
- F. Medicare Secondary Payor Questionnaire – Attachment F
- G. Summary of Limited Treatment Policy – Attachment G
- H. Medicare and Medicaid Information
- I. Patient Information Handbook
- J. Center Supplement
- K. Resident Rights

By signing the Admission Agreement, You acknowledge that you have been given and have read this Agreement in its entirety, and all its attachments. You agree that all information submitted as part of Your admission to the Center is true and correct. You acknowledge that the Center relies on the accuracy of all information submitted by You or on Your behalf in determining whether to admit You to the Center.

By signing below, the parties agree to the terms of this Admission Agreement:

Patient

Date

Center Representative

Date

If applicable:

Cecilia A. Adams
Responsible Party

1-19-05
Date

(847) 608-1001
Responsible Party's Telephone Number

STATEMENT

MCHS Elgin
180 S State St
Elgin, IL 60123
(847) 742-3310

Patient: Adelman, Jeffrey (6927)
Location: -
Statement Date: 11/1/2009



Caroline Adelman
95 Forestview Drive
Elgin, IL 60120

Amount Due \$9,652.69

PLEASE DETACH AND RETURN WITH YOUR PAYMENT

Amount Enclosed \$ _____

MCHS Elgin
180 S State St
Elgin, IL 60123
(847) 742-3310

Patient: Adelman, Jeffrey (6927)
Location: -
Statement Date: 11/1/2009

<u>Date</u>	<u>Description</u>	<u>Units</u>	<u>Unit Amount</u>	<u>Amount</u>
	BALANCE FORWARD			\$9,652.69
4/1/2009	Simple Wound Treatment	-12	\$10.00	(\$36.00)
4/1/2009	Simple Wound Treatment	12	\$10.00	\$36.00
	BALANCE DUE			\$9,652.69

Case No. 2010 SC 392

Hearing Date 08/26/2010

THIRD PARTY RESPONDENT ANSWER TO CITATION PROCEEDINGS

AMCORE Bank now Harris, certifies under penalty of perjury that with regard to the property of the judgment (Citation Respondent)

debtor, the Citation Respondent files the following answers to this Citation to Discover Assets and is in possession of the following property of the judgment debtor.

Circle one or more of the following and indicate the amount held:

- A) Savings Account (Amount withheld) \$ _____
- B) Checking and/or Now Account (Amount withheld) \$ 22,101.60
- C) Certificate of Deposit (Amount held) \$ _____
- D) Money Market Account (Amount held) \$ _____
- E) Trust Account (Amount held) \$ _____
- F) Safety Deposit Box \$ _____
- G) No Accounts _____
- H) Adverse Claimant: Name _____ Address _____
- I) Wages, Salary or Commissions _____
- J) Other Personal Property (Describe) _____

Attach a sheet for any additional information required by the Citation

Sub-Total \$22,101.60

Less right of offset for other loans \$0.00

Less deduction for fees limited by 205 ILCS 5/48.1 \$100.00

Total \$22,000.60

According to the business records kept by the Citation Respondent, we show the above information to be correct.

Chanh Phasouk-Lewis
Agent for Citation Respondent

Respondent/Agent:

8/06/2010

Date

Agent Name Chanh Phasouk-Lewis

Business Name AMCORE Bank now Harris

Address 501 7th Street
Rockford, IL 61104

Phone 815-967-4377

Fax 815-966-7631

NOTE: A copy of this Answer should be mailed to the Court, Attorney for Plaintiff or Judgment Creditor and the Defendant

Fax

To: CHANH PHASOUK-LEWIS
Retail Operations Rep
AMCORE BANK

From: BRUCE E. ADELMAN

Fax: 815-966-7631 **Pages:** 3

Phone: 1-888-4-262673 **Date:** 04/29/10

Re: Manor Care v. Adelman
Case No.: 2010 SC 392

CC:

Urgent **For Review** **Please Comment** **Please Reply** **Please Recycle**

● **Comments:** What follows is a copy of your letter dated April 20, 2010 along with a copy of Judge Stanfa's Order of April 28, 2010 dismissing this citation. Based on our prior conversation, this should be sufficient to remove the "hold" placed on Caroline's account. Please call with any questions.

If transmission is incomplete, please call (312) 236-4062

NOTE: This message sent by electronic facsimile transmission ("the message") is intended and restricted for the use of Addressee only. The message may contain confidential and privileged information, exempt from disclosure under federal and/or state law. In the event some other person or entity receives the message, said recipient is hereby notified that any dissemination, regarding transmission, distribution or duplication of the message is prohibited. In the event the message is received by a person or entity other than the specified recipient or the recipients agent, please notify us immediately by telephone, collect, and return the entirety of the message by U.S. Mail to the address above.



April 20, 2010 ✓

CAROLINE A ADELMAN
POD AMANDA R CUELLAR
95 FORESTVIEW DR
ELGIN IL 60120-7560

RE: CITATION TO DISCOVER ASSETS

**MANOR CARE OF ELGIN IL, LLC,
PLAINTIFF**

vs.

**CAROLINE ADELMAN,
DEFENDANT**

CASE#: 2010 SC 392

Dear Valued Customer,

We received a Citation to Discover Assets for the above name(s). A copy is enclosed for your records.

In order to comply with the Citation to Discover Assets, we have debited your account #9800331061 in the amount of \$20,645.38. These funds will be held pending further order from the court.

Should you have any questions regarding this matter please contact me at 1-888-4-AMCORE ext. 4377. Thank you for being a valued AMCORE customer.

Sincerely,

↓
262673

Chanh Phasouk-Lewis
Chanh Phasouk-Lewis
Retail Operations Representative
AMCORE Bank
Member FDIC

Enc.

for 815-966-7631

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
FINAL REPORT

FOR OFFICE USE ONLY
IDENTIFICATION NO.
19970

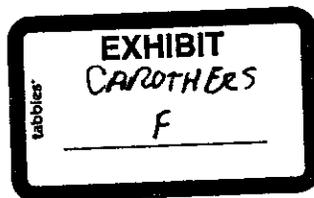
Friends of Ike Carothers
33 N La Salle St, Ste 3300
Chicago, IL 60602-3395

FILED
5/9/2011 7:40:25 AM

REPORTING PERIOD 4/1/2011 thru 5/9/2011	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD \$0.00	SECTION B - EXPENDITURES	
SECTION A - RECEIPTS			
1. Individual Contributions:		6. Transfers Out:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00	b. Not-Itemized.....	\$ 0.00
2. Transfers In:		7. Loans made:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00	b. Not-Itemized.....	\$ 0.00
3. Loans Received:		8. Expenditures:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0
b. Not-Itemized.....	\$ 0.00	b. Not-Itemized.....	\$ 0.00
4. Other Receipts:		9. Independent Expenditures:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized.....	\$ 0.00	b. Not-Itemized.....	\$ 0.00
TOTAL RECEIPTS (1-4).....	\$ 0	TOTAL EXPENDITURES (6-8)..	\$ 0.00
5. In-Kind Contributions:		SECTION C - DEBTS AND OBLIGATIONS	
a. Itemized (from Schedule I)	\$ 0.00	10. a. Itemized (from Schedule C)...	\$ 0.00
b. Not-Itemized.....	\$ 0.00	b. Not-Itemized.....	\$ 0.00
TOTAL IN-KIND.....	\$ 0.00	TOTAL DEBTS AND OBLIGATIONS	\$ 0.00
Name and address of person submitting this report <u>if other</u> <u>than</u> the committee's candidate or treasurer:		SECTION D - CASH BALANCE	
Shirley Taetle		Funds available at the beginning	
9556 S Winchester Ave		of the reporting period.....	\$ 0.00
Chicago, IL 60643		Total Receipts (Section A).....	\$ 0.00
		Subtotal.....	\$ 0.00
		Total Expenditures (Section B).....	\$ 0.00
		Funds available at the close of	
		the reporting period.....	\$ 0.00

		Investment Total.....	\$ 0.00

Filed Electronically



NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.

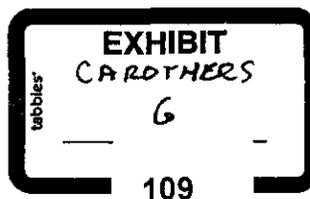
[Download This List](#)[Bookmark the Current Results](#)[Print This List](#)

Committee Name	Address	Status	State/Local ID	Committee ID
Friends of Ike Carothers	33 N La Salle St, Ste 3300 Chicago, IL 60602-3395	Final	State 9294 Local 13675	19970

Records 1 to 1 of 1

[Return to Committee Search](#)

PUBWEB1



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 JQ 240

Prairie Greens of East Central Illinois
Respondent

ID# 19988

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the June 2011 Quarterly Report

The Report was received by the Board on August 4, 2011, 14 days late, resulting in a civil penalty assessment of \$700. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing the June 2010 Semi-Annual Report. The total assessment is \$775.

Kostas Yfantis, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Yfantis states that his Committee has experienced a rapid decline in membership, leaving him as the only remaining active member. He says there has also been little activity for the Committee and no new receipts, but in July he faced the challenge of transitioning into the full duties of Treasurer.

I recommend the appeal be denied for lack of an adequate defense. Board records show Mr. Yfantis being named as Treasurer of the Committee in August of 2010. This should have allowed more than enough time for him to understand his duties and the Committee's filing obligations, and the lack of financial activity on the Committee's Report should have made the Report in question easy to file. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty, and the total assessment of \$775 would be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$1,403.57.)



Tom Newman -- Hearing Officer
November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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August 30, 2011

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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Prairie Greens of East Central IL
Kostas Y Fantis
2001A Three Hierarchs Ct
Champaign, IL 61820

ID# 19988

Dear Prairie Greens of East Central IL:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2011 through June 30, 2011
Filing Period: July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 4, 2011, 14 days late. As such, this committee has been assessed a fine of \$700.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 1, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through June 30, 2010	Semi-Annual	\$75
TOTAL AMOUNT NOW DUE		\$775

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director, Campaign Disclosure Division

SS: jr

State of Illinois)
County of COOK)

11 OCT -3 AM 8:50

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs. Kostas Yfantis in his capacity as)
Treasurer of the committee referenced)
Respondent(s). below)

Case No. 11JQ240

APPEAL AFFIDAVIT

I, KOSTAS YFANTIS, the TREASURER of the
(Name) (Chairman/Treasurer)
PRAIRIE GREENS of EAST CENTRAL ILLINOIS
(Name of the Committee)

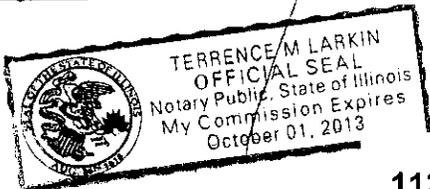
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The local party membership has experienced a rapid decline in membership which has been followed by a drastic reduction in activity, no new income (receipts) and a relocation of the most active members to faraway states. As the only remaining active member I was challenging in July to transition to the full duties of the treasurer, a process still underway.

I request with humility your leniency and understanding.

Signed and Sworn to by:
Kostas Yfantis
before me this 01 Day of
October, 2011
[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 AM 165

Friends of District 214 ID# 20059
Respondent

REPORT OF HEARING OFFICER

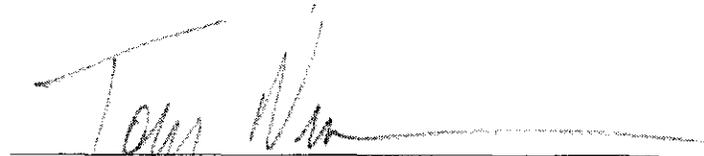
Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$1,329.73 contribution on 3/22/11 and a \$1,501 contribution on 3/31/11, but failed to report either contribution on a Schedule A-1, resulting in a civil penalty assessment of \$1,416. Additionally, the Committee had previously been assessed a \$75 civil penalty (appealed, granted) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$1,416.

Gilbert Riley, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Riley states that he entered the contributions into the IDIS electronic filing software and thought the information had been recorded. He says only after being notified of the missed filings did he double check and found that the contributions had not been "saved" in the software. Mr. Riley adds that he is new to the role of Treasurer and is still learning how to use the IDIS software, but thought that he had resolved his difficulties with the system.

If the two contributions in question were properly entered into the software, the entries should still have been listed when Mr. Riley looked for them. So it seems likely the items were not saved or uploaded at the time they were initially entered, which is an issue involving user error rather than a problem with the software. Additionally, since this Committee has previously used an electronic filing defense, I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I also recommend the penalty be reduced to 10% of the original assessment, or \$142. If these recommendations are accepted by the Board, the civil penalty of \$142 will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$1,673.21.)



Tom Newman – Hearing Officer

November 8, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
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Rupert T. Borgsmiller
August 30, 2011

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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of District 214
Gil Riley
1518 W Roanoke Dr
Arlington Heights, IL 60004-2821

ID# 20059

Dear Friends of District 214:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Bill Dusling	3/31/2011	\$1501	*	9	\$751
Bill Dusling	3/22/2011	\$1329.73	*	16	\$665

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1416 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$142, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

State of Illinois

11 SEP 09 11:09:18

County of COOK

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:
ILLINOIS STATE BOARD OF ELECTIONS.

Complainant

Case No. 11 AM 165

Vs.

THE FRIENDS OF DIST 214
20059
Respondent(s).

APPEAL AFFIDAVIT

I, GILBERT RILEY, the TREASURER of the
(Name) (Chairman/Treasurer)

THE FRIENDS OF DISTRICT 214
(Name of the Committee)

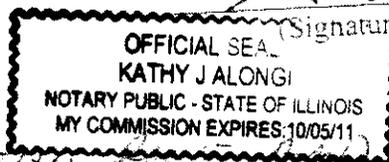
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE CONTRIBUTIONS CITED AS NOT BEING FILED, WERE MADE. I ENTERED THEM MYSELF AND THOUGHT THAT THEY HAD BEEN RECORDED. IT WAS ONLY AFTER RECEIVING THIS LETTER, AND DOUBLE CHECKING MYSELF THAT I FOUND THAT THEY HAD NOT BEEN "SAVED" IN THE SOFTWARE. THE ERROR WAS INADVERTENT. I APOLOGIZE FOR THE INCONVENIENCE AND WILL MAKE THINGS RIGHT - ESPECIALLY GOING FORWARD.

Signed and Sworn to by:

Gilbert Riley
before me this 5th Day of
October, 2011

Kathy J Alongi
Notary Public



(Signature of Chairman/Treasurer)

I AM NEW TO THIS SOFTWARE. I AM STILL LEARNING THE SOFTWARE. I DO HAVE SOME DIFFICULTY BUT THOUGHT THAT IT HAD BEEN RESOLVED. I AM FROM NEW ORLEANS BUT... RESPECTFULLY

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 020

Committee to Elect Anita Rivkin Carothers

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The June and December 2010 Semi-Annual Reports

The June 2010 Semi-Annual Report was received by the Board on 5/9/11, 200 days late, resulting in a civil penalty assessment of \$5,000 and the December 2010 Semi-Annual Report was received by the Board on 5/9/11, 75 days late, resulting in a civil assessment of \$5,000. In addition, this Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the June 2009 Semi-Annual Report. The total assessment is \$10,050.

The Respondent was represented by attorney Thomas Jaconetty at the September 16 appeal hearing. Also in attendance was the chairman of the Committee, Bruce Adelman.

Bruce Adelman was the person responsible for filing the reports for the Committee. Mr. Adelman has been an attorney since 1988 and he normally deals with litigation matters. However, he agreed to be an officer for Anita Rivkin-Carothers because he had known her for around 25 years and she was almost a member of the family. Mr. Adelman met Anita Rivkin-Carothers while he was in law school and he has also shared office space with her. Ms. Rivkin-Carothers asked Mr. Adelman to be her treasurer because she wanted someone she trusted and personally knew to be responsible for her filings. The Committee was created in 2008 and Mr. Adelman filed the reports timely until his brother suddenly passed away. Mr. Adelman indicated that the Committee had lots of activity in 2008 and after Ms. Rivkin-Carothers won the election that year the Committee had very little activity. Mr. Adelman indicated that the failure to file was a result of his life coming unglued when his brother Jeffery passed away in April of 2009 (Exhibit A, death certificate), because he did not get a heart transplant. Mr. Adelman had lost his parents when he was in his early twenties and when Jeffery passed everything just started to unravel. Mr. Adelman had to deal with the passing of his brother and it really hit him hard. The loss consumed his life and he was going through the motions, but he was in a constant daze. Mr. Adelman did the best he could to help his family and his brother's wife, Carol, in dealing with the situation. Mr. Adelman has dealt with and is still dealing with legal proceedings in relation to his brother's doctor's bills, and his brother's business. Additionally Mr. Adelman and his wife of 18 years separated and he had to move out of the family home. He went through all of this and still maintained an active law practice. These are the circumstances that Mr. Adelman had to deal with and this was the primary reason why the two 2010 Semi-Annual Reports were delinquently filed.

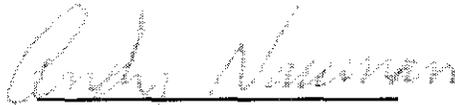
Jeffery, his brother, was a small business man who owned a used car lot with around 30 - 50 cars. The lot was run as a partnership and at the time of Jeffrey's passing nothing was set up in relation to what was to happen with the business. Mr. Adelman tried to step in and help Carol with the dealership. Carol had not worked for some time and she was not in that great of condition herself so Mr. Adelman tried to help as

much as he could. Mr. Adelman was living downtown at that time and the dealership was in Algonquin so he was always traveling to spend time with Carol and trying to piece everything together at the dealership. Complicating the matter was the fact that the business partner had been stealing from the business, and everything was a mess. Things were so bad that they looked into prosecuting the business partner, but Carol decided to let the partner go even though she was in financial restraints. The business was upside down and going under. Vehicles were sold but the partner took the money, customers paid for taxes, but the business had not paid the taxes, people had dealer plates that should not have, and these were only a few of the problems they were running into. Mr. Adelman tried to recover some of money that was owed, but the amount was somewhere in the range of \$100,000 – \$300,000. They had some friends who were trying to assist and inform them on what needed to be done, but, Mr. Adelman was trying to deal with this in his free time. He was still working full time as an attorney and emotionally trying to deal with the loss of his brother. This whole situation just consumed his life for he was basically a one man band. The business ended up going into foreclosure (Exhibit B) and the proceedings took place in McHenry County in which Mr. Adelman had to travel. Complicating the matter even more was the fact that his brother's mortgage for his house was with the same financial institution of that of the business and Carol was feeling the financial burden of both. The house ended up in foreclosure as well. To make things worse they could not get out from under the dealership. The Village was not going to allow another dealership to be established at that property location, so they were basically prevented from selling the business. Additionally before Jeffery passed he was in and out of the hospital for a couple of years and several of the medical bills mounted up for his health insurance did not cover everything. One of the medical facilities that was owed money filed a judgment for \$10,000 against Carol and they froze and subsequently ended up taking \$22,000 from her account (Exhibit D). Mr. Adelman tried to defend Carol in these proceedings as well and Carol leaned on him for a lot of support. These proceedings were in Kane County and they took almost a year to resolve. Again, Mr. Adelman was traveling back and forth with this matter as well. So basically the dealership was upside down, they were dealing with multiple litigations in four different counties, and Mr. Adelman was stretched thin. His entire life was coming apart at the seams. He had to withdraw from some hearings at work and he just couldn't keep up with everything. Making things worse was the fact that his wife and he separated after 18 years of marriage a little after his brother died. So he was not only coping with the loss of his brother but he was also dealing with the separation from his wife. During this time he also had to move. Mr. Adelman supplied a bank statement (Exhibit E) to show that the bulk of the receipts for the Committee were raised in January of 2008. Mr. Adelman also supplied a copy of the December 2009 Semi-Annual Report (Exhibits F) to show that the Committee had very little activity after she was elected and that the Committee only had \$3,217.50 at the close of the period. Mr. Jaconetty introduced Exhibit G which was a print out from the Board's website showing that the Committee filed a Final Report on 5/10/11.

The Committee requests that the Board take into consideration what Mr. Adelman has gone through and they request that the Board grant the appeals as they have done so in the past when unfortunate family circumstances have been presented to the Board as in 08 AG 018 (brother in law passed away) and 09 DS 235 (breast cancer). Mr. Jaconetty indicated that the assessed amount is very large considering the Committee has already closed the account and they had very little activity during the periods. They indicated that the Committee simply had the account open one year too long. The candidate has not run for re-election and the account was simply overlooked while Mr. Adelman tried to deal with his life coming unglued. The Committee has filed a Final Report and they closed the account. Mr. Jaconetty indicated that there was no bad intent, this was inadvertent, and the reports have since been filed. The Respondent asks the Board to use their discretion in granting the appeal and they indicated that this will not happen again.

I can't imagine going through what Mr. Adelman has gone through in relation to dealing with the loss of his brother and separating with his wife at relatively the same time. On top of that dealing with trying to run and save a business, dealing with multiple legal proceedings, and still trying to operate a law practice on top of that, I don't know how he did it. However, the political committee had a responsibility to file

the reports and they were not filed in a timely manner. Mr. Adelman was the chairman of Committee, but it would have been nice to see someone else within the political committee stepping up to help get the reports filed. Additionally, the Board actually denied the two appeals Mr. Jaconetty cited. The hearing officer recommended the appeals be granted, however General Counsel recommended the appeal be denied and in both matters the Board accepted the recommendation of the General Counsel. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$10,050 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman
Andy Nauman – Hearing Officer

November 2, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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June 3, 2011

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Jesse R. Smart
Robert J. Walters

Committee to Elect Anita Rivkin Carothers ID# 21035
Anthony Carothers, Bruce Adelman
33 N LaSalle St, Ste 300
Chicago, IL 60602

Dear Committee to Elect Anita Rivkin Carothers:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on May 9, 2011, 200 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 9, 2011, 75 day(s) late. As such, this committee has been assessed a fine of \$5000.

State of Illinois)
County of COOK)

CHICAGO

11 JUN 30 AM 10:11

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
COMMITTEE TO ELECT ANITA RINKIN-CAROTHERS)
Respondent(s).)

Case No. 11 MA 020

APPEAL AFFIDAVIT

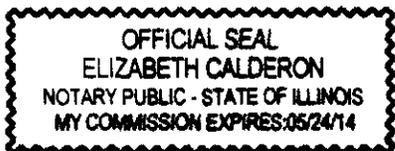
I, Bruce E. Adelman, the Treasurer of the
(Name) (Chairman/Treasurer)
COMMITTEE TO ELECT ANITA RINKIN-CAROTHERS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED

Signed and Sworn to by:
Bruce E. Adelman
before me this 30th Day of
June, 2011
Elizabeth Calderon
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



CHICAGO

11 JUN 30 AM 10: 11

STATE BOARD OF ELECTIONS

Date: June 30, 2011

I am retaining Counsel, who will be filing Appearances in both forgoing matters. Please schedule hearings at the same time.

Thank You,
Bruce E. Adelman

A handwritten signature in black ink, appearing to read "B. A.", with a horizontal line underneath.

CERTIFICATION OF DEATH RECORD

CHICAGO, ILLINOIS MEDICAL CERTIFICATE OF DEATH

STATE FILE NUMBER 2009 0016575

DATE ISSUED 05/04/2009

DECEDENT'S LEGAL NAME JEFFREY A ADELMAN			SEX MALE	DATE OF DEATH APRIL 20, 2009	
COUNTY OF DEATH COOK	AGE AT LAST BIRTHDAY 56 YEARS		DATE OF BIRTH MARCH 02, 1953		
CITY OR TOWN ELGIN		HOSPITAL OR OTHER INSTITUTION NAME 95 FORESTVIEW			
PLACE OF DEATH DECEDENT'S HOME					
BIRTHPLACE SAINT JOSEPH, MI	SOCIAL SECURITY NUMBER 365-58-8664	MARITAL STATUS AT TIME OF DEATH MARRIED	SURVIVING SPOUSE'S NAME CAROLINE SIMON		EVER IN U.S. ARMED FORCES? NO
RESIDENCE 95 FORESTVIEW		APT. NO.	CITY OR TOWN ELGIN		INSIDE CITY LIMITS? YES
COUNTY COOK	STATE IL	ZIP CODE 60120	FATHER'S NAME HERBERT ADELMAN		MOTHER'S NAME PRIOR TO FIRST MARRIAGE ANN RAE GUISE
INFORMANT'S NAME CAROLINE ADELMAN		RELATIONSHIP SPOUSE	MAILING ADDRESS 95 FORESTVIEW, ELGIN, IL, 60120		
METHOD OF DISPOSITION CREMATION		PLACE OF DISPOSITION TWIN PINES CREMATORY	LOCATION - CITY OR TOWN AND STATE EAST DUNDEE, IL	DATE OF DISPOSITION MAY 04, 2009	
FUNERAL HOME SALERNO'S ROSEDALE CHAPELS, 450 WEST LAKE STREET, ROSELLE, IL, 60172					
FUNERAL DIRECTOR'S NAME JOSEPH G SALERNO			FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER 034010202		
LOCAL REGISTRAR'S NAME DAVID ORR			DATE FILED WITH LOCAL REGISTRAR MAY 1, 2009		

CAUSE OF DEATH PART I: CONSTRUCTIVE PERICARDITIS		APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH	MONTHS
IMMEDIATE CAUSE <small>(Final disease or condition resulting in death)</small>	a. _____ <small>Due to (or as a consequence of):</small>		
	b. _____ <small>Due to (or as a consequence of):</small>		
	c. _____ <small>Due to (or as a consequence of):</small>		

PART II. Enter other significant conditions contributing to death but not resulting in the underlying cause given in PART I.			WAS AN AUTOPSY PERFORMED? NO	
			WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? N/A	
DID TOBACCO USE CONTRIBUTE TO DEATH? UNKNOWN	FEMALE PREGNANCY STATUS NOT APPLICABLE		MANNER OF DEATH NATURAL	
DATE OF INJURY	TIME OF INJURY	PLACE OF INJURY	INJURY AT WORK?	
LOCATION OF INJURY				
DESCRIBE HOW INJURY OCCURRED:			IF TRANSPORTATION INJURY, SPECIFY:	
ATTEND THE DECEASED? YES	DATE LAST SEEN ALIVE	WAS MEDICAL EXAMINER OR CORONER CONTACTED? YES	DATE PRONOUNCED	TIME OF DEATH 07:50 AM
CERTIFIER PHYSICIAN			DATE CERTIFIED APRIL 30, 2009	
NAME, ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH GEPHART, TODD, RT 25 & 72, EAST DUNDEE, ILLINOIS			PHYSICIAN'S LICENSE NUMBER 036095773	

EXHIBIT
RINKIN-CAROTHERS
 A

This is to certify that the above is a true and correct copy from the official death record filed with Illinois Department of Health.



David Orr
David Orr
Cook County Clerk



IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)
an Illinois Banking Institution,)
)
Plaintiff,)
)
vs.)
)
THE ESTATE OF JEFFREY A.)
ADELMAN, CAROLINE A. ADELMAN,)
AUTO VILLAGE, INC., UNKNOWN)
OWNERS AND NON-RECORD)
CLAIMANTS,)
)
Defendants.)

Case No. 2010 CH 3153

NOTICE OF MOTION AND PROOF OF SERVICE

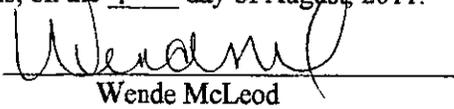
To: See Attached Service List

On August 22, 2011 at 10:00 AM, I shall appear before the Honorable Judge Michael J. Sullivan, at the McHenry County Courthouse, 2200 North Seminary Avenue, Woodstock, IL 60098-2637, in courtroom 358, or in his absence, before any other Judge that may be Presiding, and shall then and there present the attached Motion to Appoint Personal Representative for the Estate of Jeffrey A. Adelman.

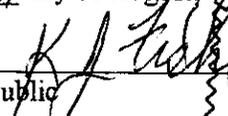
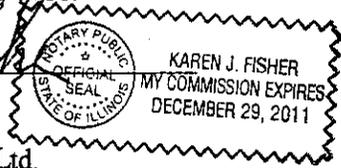

James P. Mullally

PROOF OF SERVICE

The undersigned, being first duly sworn, on oath deposes and states the he/she served a copy of the forgoing Notice and attached documents upon the persons to whom the Notice is directed by placing a copy of same in an envelope correctly addressed and bearing sufficient first-class postage prepaid and depositing same in the U.S. Mail at West Chicago, Illinois, on the 15th day of August, 2011.


Wende McLeod

SUBSCRIBED and SWORN to before me
this 15th day of August, 2011.


Notary Public 

Konewko & Associates, Ltd.
29W204 Roosevelt Road
West Chicago, Illinois 60185
(630) 231-5500
Attorney No. 6291674

EXHIBIT
Rivkin-Carothers
8

IN THE CIRCUIT COURT OF THE 22nd JUDICIAL CIRCUIT
McHENRY COUNTY, ILLINOIS

STATE BANK OF ILLINOIS)
an Illinois Banking Institution,)
)
Plaintiff,)
vs.)
)
THE ESTATE OF JEFFREY A.)
ADELMAN, CAROLINE A. ADELMAN,)
AUTO VILLAGE, INC., UNKNOWN)
OWNERS AND NON-RECORD)
CLAIMANTS,)
)
Defendants.)

Case No. 2010 CH 3153

**MOTION TO APPOINT PERSONAL REPRESENTATIVE FOR THE
ESTATE OF JEFFREY A. ADELMAN**

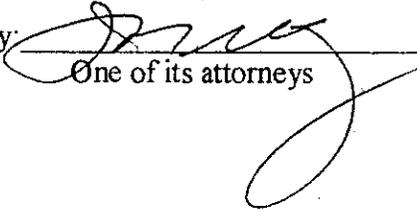
Now comes Plaintiff, STATE BANK OF ILLINOIS, by its attorneys, Konewko & Assoc., Ltd., and moves this Court for the appointment of a special representative for the Estate of Jeffrey A. Adelman, and in support thereof, states as follows:

1. This matter is a foreclosure action, for commercial property located in the Village of Algonquin, County of McHenry and State of Illinois.
2. The individual named Defendants are JEFFREY A. ADELMAN and CAROLINE ADELMAN, husband and wife, as the mortgagees on the underlying mortgage in this matter.
3. The last known residential address for the individually named Defendants JEFFREY A. ADELMAN and CAROLINE ADELMAN was 95 Forestview Drive, City of Elgin, County of Cook and State of Illinois.
4. JEFFREY A. ADELMAN died on or about April 20, 2009, with his Will filed with the Clerk of the Court for the Circuit Court of Cook County, Illinois, on or about May 19, 2009.
5. As of the date of this motion, no estate has been opened in the name of JEFFREY A. ADELMAN in Cook County, Illinois.

6. Pursuant to the decision of the Illinois Supreme Court in ABM AMRO Mortgage Group v. McGahan, 237 Ill.2d 526, 2010 WL 2222126 (Ill Sup Ct.), the trial court in a foreclosure action may appoint a personal representative for the decedent for purposes of service upon said personal representative in situations where no estate has been opened for the decedent.

WHEREFORE, Plaintiff STATE BANK OF ILLINOIS requests this Honorable Court enter its Order appointing the wife of the decedent, CAROLINE ADELMAN, as the personal representative for the decedent, JEFFREY A. ADELMAN, with summons to issue, and for such other and further relief as this Court deems just and equitable.

STATE BANK OF ILLINOIS

By: 

One of its attorneys

Konewko & Assoc., Ltd.
Attorneys for Plaintiff
29W204 Roosevelt Road
West Chicago, IL 60185
(630) 231-5500
Attorney No. 38134



501 7th Street
Rockford, IL 61104

August 5, 2010

Caroline A Adelman
POD Amanda R Cuellar
95 Forestview Dr
Elgin IL 60120-7560

Dear Caroline Adelman:

We have been served with a Citation to Discover Assets, a copy of which is enclosed for your records.

Accordingly, we have debited your deposit account (s) in the amount of \$22,101.60. We are required to withhold twice the amount of the judgment. We have placed these funds, less our non-refundable \$100.00 Legal Service Fee, in a segregated account, pending the outcome of the litigation.

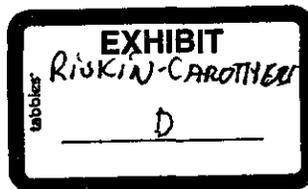
Should you have any questions regarding this matter, please feel free to call the Attorney listed on the attached enclosure.

As we are not party to the attached action, this is all of the information that we have.

Sincerely,

Service Representative II

Enclosure



Case No. 2010 SC 392

Hearing Date 08/26/2010

THIRD PARTY RESPONDENT ANSWER TO CITATION PROCEEDINGS

AMCORE Bank now Harris, certifies under penalty of perjury that with regard to the property of the judgment (Citation Respondent)

debtor, the Citation Respondent files the following answers to this Citation to Discover Assets and is in possession of the following property of the judgment debtor.

Circle one or more of the following and indicate the amount held:

- A) Savings Account (Amount withheld) \$ _____
- B) Checking and/or Now Account (Amount withheld) \$ 22,101.60
- C) Certificate of Deposit (Amount held) \$ _____
- D) Money Market Account (Amount held) \$ _____
- E) Trust Account (Amount held) \$ _____
- F) Safety Deposit Box \$ _____
- G) No Accounts _____
- H) Adverse Claimant: Name _____ Address _____
- I) Wages, Salary or Commissions _____
- J) Other Personal Property (Describe) _____

Attach a sheet for any additional information required by the Citation

Sub-Total \$22,101.60

Less right of offset for other loans \$0.00

Less deduction for fees limited by
205 ILCS 5/48.1 \$100.00

Total \$22,000.60

According to the business records kept by the Citation Respondent, we show the above information to be correct.

Chanh Phasouk-Lewis
Agent for Citation Respondent

Respondent/Agent:

8/06/2010

Date

Agent Name Chanh Phasouk-Lewis

Business Name AMCORE Bank now Harris

Address 501 7th Street

Rockford, IL 61104

Phone 815-967-4377

Fax 815-966-7631

NOTE: A copy of this Answer should be mailed to the Court, Attorney for Plaintiff or Judgment Creditor and the Defendant

3

MDG2005 00005811 2 AV 0.437



COMMITTEE TO ELECT ANITA RIVKINCAROTHER
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005811

Now your everyday expenses come with rewards!
Through MB's My Bank My Rewards Program,
the more you use your MB Debit MasterCard or
Business Debit MasterCard, the more points
you'll earn toward valuable rewards!

For complete details, go to www.mybankmyrewards.com.

MASTERCARD is a registered trademark of MasterCard International Incorporated.

400001 368 4 REGULAR DEMAND ACCOUNT

Previous Balance	12-31-07	2,000.00
+Deposits/Credits	5	46,000.00
-Checks/Debits		37,730.00 ✓
-Service Charge		.00
+Interest Paid		.00
Current Balance		10,270.00

-CHECKS PAID-

No.	Date	Amount	No.	Date	Amount
*	1-22	13650.00	*	1-08	1955.00
*	1-17	6125.00			

-DESCRIPTIVE TRANSACTIONS-

Date	Tracer	Description	Amount
1-07	1111111	CUSTOMER DEPOSIT	26000.00
1-15	1111111	CUSTOMER DEPOSIT	9700.00
1-18	1111111	CUSTOMER DEPOSIT	1000.00
1-18	1111111	DEBIT MEMO	16000.00-
1-28	1111111	CUSTOMER DEPOSIT	3300.00
1-29	1111111	CUSTOMER DEPOSIT	6000.00

-DAILY BALANCE SUMMARY-

Date	Balance	Date	Balance	Date	Balance
12-31	2000.00	1-07	28000.00	1-08	26045.00
1-15	35745.00	1-17	29620.00	1-18	14620.00
1-22	970.00	1-28	4270.00	1-29	10270.00





Page 1
Statement Date 12-31-08
Account # 4000013684
MAIL STATEMENT
Image Statement

MDG2005 00005578 1 AV 0.324



COMMITTEE TO ELECT ANITA RIVKINCAROTHER
33 N LA SALLE ST SUITE 3300
CHICAGO IL 60602-3395

005578

For nearly 100 years, MB has successfully withstood business cycle downturns, and we are well-positioned to successfully navigate this one. MB is profitable and well capitalized, and we are committed to the communities we serve. We thank you for your continued confidence and business, and we assure you that your choice to bank with us is a sound one.

Find the perfect holiday gift for anyone on your list. MB gift cards are now available at your local MB banking center and can be used anywhere MasterCard is accepted - worldwide! For a complete listing of MB Financial Bank locations, visit www.mbfincial.com.

Enclosed is MB Financial Bank's Privacy and Affiliate Marketing Notice.

400001 368 4 REGULAR DEMAND ACCOUNT

Previous Balance	11-30-08	5,017.50
+Deposits/Credits	0	.00
-Checks/Debits		.00
-Service Charge		.00
+Interest Paid		.00
Current Balance		5,017.50

* - - - - - DAILY BALANCE SUMMARY - - - - - *

Date	Balance	Date	Balance	Date	Balance
11-30	5017.50				



FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
Semiannual Report
Amendment

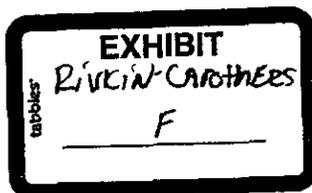
FOR OFFICE USE ONLY
IDENTIFICATION NO.
21035

Committee to Elect Anita Rivkin Carothers
33 N La Salle St, Ste 3300
Chicago, IL 60602-3395

FILED
5/9/2011
4:04:18PM

REPORTING PERIOD 7/1/2010 to 7/31/2010	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD \$4,097.50	SECTION B - EXPENDITURES	
SECTION A - RECEIPTS			
1. Individual Contributions:		6. Transfers Out:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 550.00
b. Not-Itemized	\$ 0.00	b. Not-Itemized	\$ 150.00
2. Transfers In:		7. Loans made:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00	b. Not-Itemized	\$ 0.00
3. Loans Received:		8. Expenditures:	
a. Itemized (from Schedule A)	\$ 0.00	a. Itemized (from Schedule B)	\$ 0.00
b. Not-Itemized	\$ 0.00	b. Not-Itemized	\$ 180.00
4. Other Receipts:		TOTAL EXPENDITURES (6-8)	\$ 880.00
a. Itemized (from Schedule A)	\$ 0.00		
b. Not-Itemized	\$ 0.00	SECTION C - DEBTS AND OBLIGATIONS	
TOTAL RECEIPTS (1-4)	\$ 0.00	9. a. Itemized (from Schedule C)	\$ 33,400.00
5. In-Kind Contributions:		b. Not-Itemized	\$ 0.00
a. Itemized (from Schedule I)	\$ 0.00	TOTAL DEBTS AND OBLIGATIONS	\$ 33,400.00
b. Not-Itemized	\$ 0.00		
TOTAL IN-KIND	\$ 0.00	SECTION D - CASH BALANCE	
		Funds available at the beginning	
		of the reporting period	\$ 4,097.50
		Total Receipts (Section A)	\$ 0.00
		Subtotal	\$ 4,097.50
		Total Expenditures (Section B)	\$ 880.00
		Funds available at the close of	
		the reporting period	██████████
		Investment Total	\$ 0.00

Filed Electronically



FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
Semiannual Report

FOR OFFICE USE ONLY
IDENTIFICATION NO.
21035

Committee to Elect Anita Rivkin Carothers
33 N La Salle St, Ste 3300
Chicago, IL 60602-3395

FILED
5/9/2011
4:12:39PM

REPORTING PERIOD	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD	SECTION B - EXPENDITURES					
7/1/2010 thru 12/31/2010	\$2,677.50	6. Transfers Out:					
SECTION A - RECEIPTS 1. Individual Contributions: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized..... <u>\$ 0.00</u> 2. Transfers In: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized..... <u>\$ 0.00</u> 3. Loans Received: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized..... <u>\$ 0.00</u> 4. Other Receipts: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized..... <u>\$ 0.00</u> TOTAL RECEIPTS (1-4)..... <u>\$ 0.00</u> 5. In-Kind Contributions: a. Itemized (from Schedule I) <u>\$ 0.00</u> b. Not-Itemized..... <u>\$ 0.00</u> TOTAL IN-KIND..... <u>\$ 0.00</u>		a. Itemized (from Schedule B) <u>\$ 0.00</u>					
		b. Not-Itemized..... <u>\$ 0.00</u>					
		7. Loans made:					
		a. Itemized (from Schedule B) <u>\$ 0.00</u>					
		b. Not-Itemized..... <u>\$ 0.00</u>					
		8. Expenditures:					
		a. Itemized (from Schedule B) <u>\$ 1,000.00</u>					
		b. Not-Itemized..... <u>\$ 90.00</u>					
		TOTAL EXPENDITURES (6-8)..... <u>\$ 1,090.00</u>					
		SECTION C - DEBTS AND OBLIGATIONS 9. a. Itemized (from Schedule C)..... <u>\$ 33,400.00</u> b. Not-Itemized..... <u>\$ 0.00</u> TOTAL DEBTS AND OBLIGATIONS <u>\$ 33,400.00</u>					
				SECTION D - CASH BALANCE Funds available at the beginning of the reporting period..... <u>\$ 2,677.50</u> Total Receipts (Section A)..... <u>\$ 0.00</u> Subtotal..... <u>\$ 2,677.50</u> Total Expenditures (Section B)..... <u>\$ 1,090.00</u> Funds available at the close of the reporting period..... <u>\$ 1,587.50</u> ***** Investment Total..... <u>\$ 0.00</u>			

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FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
Semiannual Report

FOR OFFICE USE ONLY
IDENTIFICATION NO.
21035

Committee to Elect Anita Rivkin Carothers
33 N La Salle St, Ste 3300
Chicago, IL 60602-3395

FILED
5/9/2011
4:07:23PM

REPORTING PERIOD	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD	SECTION B - EXPENDITURES			
1/1/2010 thru 6/30/2010	\$3,217.50	6. Transfers Out:			
SECTION A - RECEIPTS 1. Individual Contributions: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized <u>\$ 0.00</u> 2. Transfers In: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized <u>\$ 0.00</u> 3. Loans Received: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized <u>\$ 0.00</u> 4. Other Receipts: a. Itemized (from Schedule A) <u>\$ 0.00</u> b. Not-Itemized <u>\$ 0.00</u> TOTAL RECEIPTS (1-4) <u>\$ 0.00</u> 5. In-Kind Contributions: a. Itemized (from Schedule I) <u>\$ 0.00</u> b. Not-Itemized <u>\$ 0.00</u> TOTAL IN-KIND <u>\$ 0.00</u>		a. Itemized (from Schedule B) <u>\$ 250.00</u>			
		b. Not-Itemized <u>\$ 0.00</u>			
		7. Loans made:			
		a. Itemized (from Schedule B) <u>\$ 0.00</u>			
		b. Not-Itemized <u>\$ 0.00</u>			
		8. Expenditures:			
		a. Itemized (from Schedule B) <u>\$ 200.00</u>			
		b. Not-Itemized <u>\$ 90.00</u>			
		TOTAL EXPENDITURES (6-8) <u>\$ 540.00</u>			
		SECTION C - DEBTS AND OBLIGATIONS 9. a. Itemized (from Schedule C) <u>\$ 33,400.00</u> b. Not-Itemized <u>\$ 0.00</u> TOTAL DEBTS AND OBLIGATIONS <u>\$ 33,400.00</u>			
		SECTION D - CASH BALANCE Funds available at the beginning of the reporting period <u>\$ 3,217.50</u> Total Receipts (Section A) <u>\$ 0.00</u> Subtotal <u>\$ 3,217.50</u> Total Expenditures (Section B) <u>\$ 540.00</u> Funds available at the close of the reporting period <u>\$ 2,677.50</u> ***** Investment Total <u>\$ 0.00</u>			

Filed Electronically

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
FINAL REPORT

FOR OFFICE USE ONLY
IDENTIFICATION NO.

21035

Committee to Elect Anita Rivkin Carothers
33 N La Salle St, Ste 3300
Chicago, IL 60602-3395

FILED
5/10/2011 9:28:26 AM

<p>REPORTING PERIOD 4/1/2011 thru 5/10/2011</p> <p style="text-align: center;">SECTION A - RECEIPTS</p> <p>1. Individual Contributions:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>2. Transfers In:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>3. Loans Received:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>4. Other Receipts:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL RECEIPTS (1-4)..... \$ 0</p> <p>5. In-Kind Contributions:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule I) \$ 550.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL IN-KIND..... \$ 550.00</p> <p style="margin-top: 20px;">Name and address of person submitting this report <u>if other</u> <u>than the committee's candidate or treasurer:</u></p> <p style="margin-top: 10px;"><u>Shirley Taetle</u></p> <p style="margin-top: 5px;"><u>9556 S Winchester Ave</u></p> <p style="margin-top: 5px;"><u>Chicago, IL 60643</u></p>	<p style="text-align: center;">CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD</p> <p style="text-align: center;">\$0.00</p>	<p style="text-align: center;">SECTION B - EXPENDITURES</p> <p>6. Transfers Out:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>7. Loans made:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>8. Expenditures:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule B) \$ 0</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>9. Independent Expenditures:</p> <p style="padding-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL EXPENDITURES (6-8).. \$ 0.00</p> <p style="text-align: center;">SECTION C - DEBTS AND OBLIGATIONS</p> <p>10. a. Itemized (from Schedule C).... \$ 33,400.00</p> <p style="padding-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL DEBTS AND OBLIGATIONS \$ 33,400.00</p> <p style="text-align: center;">SECTION D - CASH BALANCE</p> <p>Funds available at the beginning of the reporting period..... \$ 0.00</p> <p>Total Receipts (Section A)..... \$ 0.00</p> <p style="padding-left: 20px;">Subtotal..... \$ 0.00</p> <p>Total Expenditures (Section B)..... \$ 0.00</p> <p>Funds available at the close of the reporting period..... \$ 0.00</p> <p style="text-align: center;">*****</p> <p>Investment Total..... \$ 0.00</p>
--	--	--

Filed Electronically

SCHEDULE I IN-KIND CONTRIBUTIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE VENDOR	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE FOR REPORTING PERIOD
Adelman Bruce 33 N LaSalle St #3300 Chicago, IL 60602 Shirley Taette 9556 S Winchester Ave Chicago, IL 60643 Description: Accounting fees	5/9/2011	\$550.00	\$550.00
TOTAL FOR THIS PART:			\$550.00

SCHEDULE C DEBTS AND OBLIGATIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE INCURRED	ORIGINAL AMOUNT	CUMULATIVE PAYMENT TO DATE ON DEBT	OUTSTANDING BALANCE AT THE CLOSE OF THIS PERIOD
Adelman, Adelman 33 N LaSalle St #3300 Chicago, IL 60602	1/29/2008	\$6,000.00	\$0.00	\$6,000.00
	2/27/2008	\$1,100.00	\$0.00	\$1,100.00
	6/23/2008	\$1,000.00	\$0.00	\$1,000.00
Anita Rivkin-Carothers Attorney at Law, Anita Rivkin-Carother 575 W Madison Chicago, IL 60606	3/3/2008	\$10,000.00	\$0.00	\$10,000.00
	4/28/2008	\$300.00	\$0.00	\$300.00
Carothers, Carothers 575 W Madison #2111 Chicago, IL 60661	3/3/2008	\$15,000.00	\$0.00	\$15,000.00
TOTAL FOR THIS PART:				\$33,400.00

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

.11 AJ 064

Zalewski for State Representative 21222

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2nd Quarter of 2011

This Committee received five contributions of \$1,000 on 5/23/11 and three contributions of \$1,000 on 6/1/11 and listed these contributions on a Schedule A-1 filed on 6/14/11. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for failing to file a Schedule A-1 for the 2010 General Primary. The total assessment is \$4,075.

The Respondent was represented by attorney Michael Kasper at the October 31 appeal hearing.

Michael Kasper indicated that all 8 contributions were refunded and the Committee's reports show that they have been refunded (Schedules attached). Mr. Kasper believes that since the assessments are based on a percentage of the contribution and the contributions were returned the Committee should not be penalized. The Committee has imposed a 100% fine or \$8,000 by giving back the money and that is greater than the assessed amount of \$4,000 that would have been reduced to \$2,000 (applying the standard 50% reduction for 2nd time Schedule A-1 violators). Mr. Kasper indicated it would be unfair to assess this Committee when they self imposed a greater penalty amount.

The Committee returned six of the \$1,000 contributions on 6/14/11, the day they filed the Schedule A-1, and returned the other two \$1,000 contributions on 7/21/11. The Statute does not provide a way to dispose of a contribution to avoid a Schedule A-1 violation. The Statute only provides a means to donate a contribution to a charity or return a contribution to the contributor in relation to contribution limit violations. Furthermore, if the Board were to consider contributions that are returned within a very short time frame as they did in SBE v Friends of Harry Osterman 11 MA 025 I would like to inform the Board that in my opinion these contributions were not immediately returned. Two of the contributions were returned 13 days after they were received, four of the contributions were returned 22 days after they were received, one of the contributions was returned 50 days after it was received and the last one was returned 59 days after it was received. I believe the Committee may have had good intentions on returning the contributions, but I recommend the appeal be denied for lack of an adequate defense.

Additionally, it appears that all of the original contributors except for the Construction and General Laborers Dist. Co. of Chi & Vic. Lab. PAC issued a second check for the same amount back to the Committee within a month of the contributions being returned to the contributor.

Associated Beer Distributors Of Illinois :PAC	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	6/24/11	Date a second contribution for the same amount was received

Chicago Fed of Labor & IUC Political Fund	5/23/11	Date Committee received Contribution
	7/21/11	Date Committee returned the Contribution
	8/9/11	Date a second contribution for the same amount was received
Illinois Pipe Trades PAC Account	6/1/11	Date Committee received Contribution
	7/21/11	Date Committee returned the Contribution
	8/9/11	Date a second contribution for the same amount was received
Illinois State Medical Society PAC	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/14/11	Date a second contribution for the same amount was received
Illinois Trial Lawyers Assen. PAC	6/1/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/5/11	Date a second contribution for the same amount was received
TRK-PAC Midwest Truckers Assoc. Inc.	5/23/11	Date Committee received Contribution
	6/14/11	Date Committee returned the Contribution
	7/5/11	Date a second contribution for the same amount was received

If the Board accepts this recommendation, the stay on the assessment for the 2010 General Primary Schedule A-1 would be lifted, and a total of \$2,075 would be due and owing. (As of September 30, 2011, the Committee reported a cash balance of \$31,982.22.)



Andy Nauman – Hearing Officer

November 3, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Zalewski for State Representative
PO Box 721
Summit, IL 605010721

ID # 21222

Dear Zalewski for State Representative:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Associated Beer Distributors	5/23/2011	\$1000	6/14/2011	10	\$500
Chicago Fed of Labor & IUC Pol Fund	5/23/2011	\$1000	6/14/2011	10	\$500
Cnstrctn & Gnr'l Lbrs Dist Co of Chicago & Vic Lab PAC	5/23/2011	\$1000	6/14/2011	10	\$500
Cnstrctn & Gnr'l Lbrs Dist Co of Chicago & Vic Lab PAC	6/1/2011	\$1000	6/14/2011	4	\$500
IL Pipe Trades PAC Account	6/1/2011	\$1000	6/14/2011	4	\$500
IL State Medical Society PAC	5/23/2011	\$1000	6/14/2011	10	\$500
IL Trial Lawyers Association PAC	6/1/2011	\$1000	6/14/2011	4	\$500
TRK-PAC Midwest Truckers Assoc	5/23/2011	\$1000	6/14/2011	10	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2000, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through February 1, 2010	Schedule A-1s	\$75
TOTAL AMOUNT NOW DUE		\$2075

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more. * This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

11 SEP 26 PM 1:45
STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Zalewski for State Representative)
Respondent(s).)

Case No. 11AJ064

APPEAL AFFIDAVIT

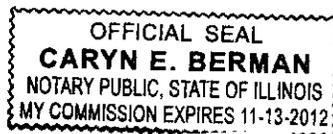
I, Michael J. Zalewski, the Chairman of the
(Name) (Chairman/Treasurer)
Zalewski for State Representative
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee was unaware of A-1 \$1000 reporting requirement. As soon as Chairman discovered error, all contributions in violation of the requirement were returned.

Signed and Sworn to by:
Michael J. Zalewski
before me this 22 Day of
September, 2011
Caryn E. Berman
Notary Public

Michael J. Zalewski
(Signature of Chairman/Treasurer)



BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 MQ 060

Friends of Terry Stephens (ID 21582)

Respondent

REPORT OF HEARING OFFICER

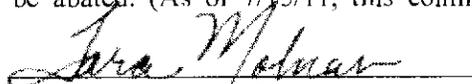
Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2011 Quarterly Report

The Report was received on June 24, 2011, 49 days late, resulting in a \$2,450 civil penalty. Additionally the Committee was previously assessed a \$1,575 civil penalty (stayed, not appealed, not paid) for the delinquent filing of the June 2010 Semi-Annual Report. The total civil penalty assessment is \$4,025.

Terry Stephens, Candidate, and J. Daniel McGrath, Jr., Counsel, appeared on October 25, 2011 for the appeal hearing.

McGrath stated the delinquent filing occurred as a result of fraud committed by the committee's treasurer, Lawrence Ryan. McGrath explained relations between Village President Terry Stephens and Treasurer Ryan deteriorated after the 2011 Consolidated Election. Ryan acted as the Committee's campaign manager and treasurer. Without Stephens support, Ryan ran for Midlothian Village Trustee and lost the election. McGrath indicated during this time, Ryan told Stephens he filed a final report for Friends of Terry Stephens and the committee was closed. Stephens and Ryan were no longer in contact when Stephens received the Board's notice stating the Committee failed to file the March 2011 Quarterly Report. Stephens addressed the notice and discovered the Final report was not filed and on April 11, 2011, a fraudulent Amended D-1 Statement of Organization naming Terry Stephens as Committee Officer (Exhibit 1). Stephens stated he did not file an Amended D-1, did not sign the report and disputed its filing with the Board; however the filing remains on the Board's website. The Respondent believes Ryan acted in retaliation of Stephens by failing to file the Quarterly Report and furtively removing himself as Treasurer without knowledge or consent from the Candidate or Committee. Counsel defends the claim that the Committee should not be assessed as the delinquent filing is the result of deception on part of Ryan, not the remaining candidates or officers.

The law places responsibility for compliance on the committee's treasurers and candidates. Per section 100.40(c), in the event a treasurer resigns in the case of a candidate related committee, the candidate shall be responsible for terminating the committee. As a candidate, Terry Stephens has the shared responsibility to comply with filing requirements. Stephens trusted Ryan to act on his word. It is unfortunate he received false information; however this is an internal matter. Under the law, the committee is treated as one entity with all agents accountable for timely filing. The issue before this Hearing Officer is limited to the delinquent filing of the March 2011 Quarterly Report. If fraud was committed in this instance, it would be the responsibility of those wronged to pursue the matter further. I recommend that the appeal is denied. If this recommendation is accepted by the Board, the assessment of \$4,025 will be due. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated. (As of 7/15/11, this committee reported a funds available balance of \$0.00)


Tara Molnar - Hearing Officer

November 7, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Friends of Terry Stephens
Lawrence Ryan
14424 Abbottsford Rd
Midlothian, IL 60445

ID# 21582

Dear Friends of Terry Stephens:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures
Report Period: January 1, 2011 through March 31, 2011
Filing Period: April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on June 24, 2011, 49 days late. As such, this committee has been assessed a fine of \$2450.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through June 30, 2010	Semi-Annual	\$1575
TOTAL AMOUNT NOW DUE		\$4025

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter of:

IL State Bd. of
Complainant(s), Elections

Vs.

Case No. 11 MQ 060

Friends of Terry
Respondent(s) Stephens

APPEARANCE

The undersigned enters the appearance of (check one):

COMPLAINANT(S) or RESPONDENT(S)

Friends of Terry Stephens
(Insert name)

Check one: Attorney Pro Se

Name:

J. Daniel McGrath, Jr.

Address:

10062 W. 190th Place, Suite 103

City/State/ZIP

Mokena, IL 60448

Telephone:

(708) 478-8410

FAX No.:

(708) 478-8415

EMAIL:

DanMcGrathLaw@aol.com

Will you accept service of documents via FAX transmissions?

Check one: Yes No

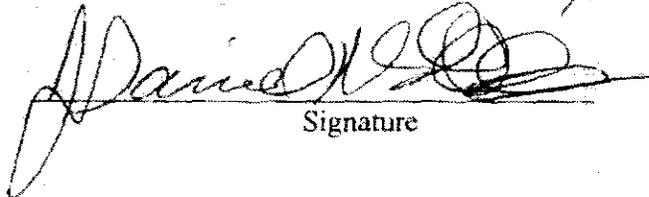

Signature

EXHIBIT 1

1/2



FORM	STATEMENT OF ORGANIZATION
D-1	
PLEASE TYPE OR PRINT IN BLACK INK	

STATE BOARD OF ELECTIONS

11 APR 11 AM 10:32

Full name and complete mailing address of Political Committee

Friends of Terry Stephens
14574 Abbottsford Road

Midlothian

IL 60445

CHECK IF ADDRESS CHANGE

FOR OFFICE USE ONLY

IDENTIFICATION NO

21582-15

SEE GUIDE TO CAMPAIGN DISCLOSURE FOR INSTRUCTIONS

1. DATE COMMITTEE CREATED 01/10/2009	2. AMOUNT OF FUNDS AVAILABLE FOR CAMPAIGN EXPENDITURES AS OF THE DATE THE COMMITTEE WAS CREATED \$
---	--

3. NEW COMMITTEE AMENDMENT
(MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES)

4. POLITICAL COMMITTEES AREA OF ACTIVITY, SCOPE AND PARTY AFFILIATION

A - STATE POLITICAL COMMITTEE STATE & LOCAL POLITICAL COMMITTEE
 LOCAL POLITICAL COMMITTEE

B - IF THIS IS A LOCAL OR A STATE AND LOCAL POLITICAL COMMITTEE, PLEASE LIST THE COUNTY OR COUNTIES IN WHICH IT WILL OPERATE:
Cook

C - THIS COMMITTEE WILL PRIMARILY SUPPORT OPPOSE CANDIDATES FOR LOCAL OR STATE OFFICE

D - THIS COMMITTEE WILL SUPPORT OPPOSE QUESTIONS OF PUBLIC POLICY

E - POLITICAL PARTY AFFILIATION Independent

F - COUNTY OF RESIDENCE OF CANDIDATE Cook

5. PURPOSE(S) OF THE POLITICAL COMMITTEE:
Support candiates for local office

6. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE

RETURN TO CONTRIBUTIONS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTION
 TRANSFER TO ANOTHER POLITICAL COMMITTEE
 TRANSFER TO A CHARITABLE ORGANIZATION

VERIFICATION

I DECLARE THAT THIS STATEMENT OF ORGANIZATION HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE IS A TRUE, CORRECT AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE STATEMENT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT

Terry Stephens 04/07/2011
SIGNATURE OF TREASURER OR CANDIDATE DATE

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$1,000.00. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE POLITICAL COMMITTEE
RETURN TO:
STATE BOARD OF ELECTIONS
1020 SOUTH SPRING STREET
P.O. BOX 4187
SPRINGFIELD, IL 62708

LOCAL POLITICAL COMMITTEES AND
STATE AND LOCAL POLITICAL COMMITTEES
RETURN ORIGINAL TO
STATE BOARD OF ELECTIONS
AND COPY TO
APPROPRIATE COUNTY CLERK

REVISED JULY 1, 1993

* IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS
(THIS FORM MAY BE REPRODUCED)

Printed on Recycled Paper

** This statement must be filed within 10 business days of creation, or within 5 days if creation is within 30 days of an election.

Affiliated Persons / Organizations :
Committee : Friends of Terry Stephens

21582

412

7. CANDIDATE SUPPORTED/ OPPOSED				
NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
<NONE/UNKNOWN>	X			

8. REQUIRED COMMITTEE OFFICER - CHAIRPERSON				
NAME	ADDRESS			
Terry Stephens	14574 Abbotsford Road			
	Midlothian		IL	60445
	PHONE NUMBER	709-389-1175		

9. CUSTODIAN OF RECORDS - TREASURER				
NAME	ADDRESS			
Terry Stephens	14574 Abbotsford Road			
	Midlothian		IL	60445

10. FINANCIAL INSTITUTION/ REPOSITORY OF COMMITTEE FUNDS				
NAME	ADDRESS			
First Midwest Bank				
	Midlothian		IL	

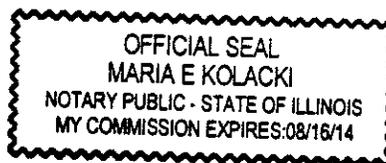
7. On April 11, 2011, the Treasurer of the Friends of Terry Stephens, Lawrence Ryan, submitted a Form D-1, Statement of Organization, amending the officers of the corporation and stating that Terry Stephens was the Chairperson and Terry Stephens was the new Treasurer.
8. The Form D-1, Statement of Organization, submitted by Treasurer Lawrence Ryan was submitted electronically under the name of Terry Stephens – WITHOUT THE KNOWLEDGE OR CONSENT OF TERRY STEPHENS.
9. Terry Stephens became aware of the change only after he received notice of fines submitted against the Committee for failure to file its quarterly report which he believed had already been filed by the Treasurer, Lawrence Ryan.
10. In addition to Terry Stephens being a candidate supported by the Friends of Terry Stephens, two other individuals were supported by the committee, both of whom will be penalized through no fault of their own:
 - A. Karen Kreis, Village of Midlothian Village Trustee; and
 - B. Jerome Gillis, Village of Midlothian Village Trustee.
11. Lawrence Ryan, the former Treasurer of the Friends of Terry Stephens, has left the State of Illinois and now resides in the State of Alabama.
12. It would be unfair and unjust to require the payment of fines in the total amount of \$4,025 since the omission to file the required quarterly report occurred AS A RESULT OF FRAUD.

FURTHER AFFIANT SAYETH NAUGHT.

Terrence M. Stephens
 Terrence M. Stephens
 Chairman

Signed and sworn to by:

Maria E. Kolacki
 before me this 27th day of
September, 2011



J. Daniel McGrath, Jr.
 Law Offices of J. Daniel McGrath, Jr.
 10062 W. 190th Place, Suite 103
 Mokena, IL 60448
 (708) 478-8410

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

10 AG 091

Preckwinkle for President 21644
Respondent

REPORT OF HEARING EXAMINER
Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 for the 2010 General Election

This committee received 25 contributions exceeding \$500 within the A-1 filing period for the 2010 General Election and failed to list them on a Schedule A-1, resulting in a civil penalty assessment of \$24,789.56.

The Respondent was represented by Michael Kasper at the September 14, 2011 appeal hearing. Also attending was Scott Kastrup the person responsible for the preparation of the Campaign Disclosure filings for Preckwinkle for President.

Michael Kasper indicated that the IL Hospital Association PAC in-kind contribution notification form was not received until January of 2011. Therefore, the Committee did not know the value of the contribution prior to receiving the in-kind contribution notification.

Mr. Kasper argued that the NGP Software program did not notify the Committee that Ronald Blackstone, Michael Gonzalez and Ms. Anita Ponder had in the aggregate given the Committee more than \$500 during the 30 day period preceding the election. He indicated that in all three of these instances the second contribution triggered the Schedule A-1. Mr. Kasper argued that it is his belief that the current program notifies a Committee when a Schedule A-1 is required to be filed.

Mr. Kasper indicated that the Committee was of the belief that since the Joseph Antunovich (\$2,500), Mark Erickson (\$2,500), Fass Bnvlnt Fndtn (\$1,000), Regents Park 5020 (\$1,000), Safeway Construction (\$1,500), and Patrick Vagenius (\$750) contributions were all received on the day prior to election that the Committee was not required to file them on a Schedule A-1. The contributions would then have been reported after the election and they thought the intent of the law was to disclose the contributions before the election took place. All of these contributions were received on 11/1/10 and the election was on 11/2/10.

Mr. Kasper indicated that the remaining 12 contributions were contributions that were part of larger deposits. He indicated that the some of the contributions from these deposits were filed on a Schedule A-1 and somehow 12 of those contributions were not filed on a Schedule A-1. The 12 contributions were all

reported as being received on 10/15/10 and 10/22/10. Mr. Kasper acknowledges that the 12 contributions were not filed on a Schedule A-1 and the errors were on the Committee for these contributions.

In relation to the IL Hospital Association PAC in-kind contribution I believe the Committee should have known that the IL Hospital Association PAC hosted a breakfast for their candidate. Section 100.120 (b) states that *If the donor of the expenditure does not comply with subsection (a) of this Section and if the in-kind contribution cannot be returned, the beneficiary political committee shall nonetheless have the responsibility to report such in-kind contributions or expenditures from the donor if it actually knows or reasonably should have known from the facts available to it that an in-kind contribution had been made in its behalf.* The Committee did not receive the in-kind notification until January of 2011 so they should have estimated the value of the in-kind contribution and the receipt date would have clearly been deemed to have been received during the 30 days prior to the General Election (Section 100.120 (c)) so a Schedule A-1 should have been filed with an estimated value. Furthermore, the Committee reported the receipt date as of 10/21/10 on the Semi-Annual Report and Mr. Kasper indicated that he believed that was the actual date of the breakfast was held. The Schedule A-1 period for the General Election was from 10/4/10 – 11/1/10 and this clearly fell within the Schedule A-1 reporting period. I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$134.

In relation to the Ronald Blackstone (\$200), Michael Gonzalez (\$250), and Ms. Anita Ponder (\$250) contributions the Committee offers no defense other than the program did not notify the Committee that a Schedule A-1 was required to be filed. The Committee indicated that they used NGP at the time of the filing and to my knowledge neither that program nor the one provided by the Board notified Committees at that point in time that a Schedule A-1 was required to be filed in the aggregate. This is not an electronic filing defense it is rather a complaint that the program did not offer an option that was not available at the time of the filing. Furthermore, Section 100.70 (b) indicates that *For purposes of determining the amount of contributions in excess of \$500 under Section 9-10(b-5) of the election code , all contributions received between the last date of the period covered by the last report filed prior to the election and the election from a single person, as defined in Section 9-1.6, shall be aggregated and treated as one.* I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$70.

In relation to the Joseph Antunovich, Mark Erickson, Fass Bnvlnt Fndtn, Regents Park 5020, Safeway Construction, and Patrick Vagenius contributions Section 5/9-10 indicates that any contribution of more than \$500 received between the last date of the period covered by the last report filed under subsection (b) prior to the election and the date of the election shall be filed with and must actually be received by the State Board of Elections within 2 business days after receipt of such contribution. Furthermore, the 2010 Campaign Disclosure calendar clearly shows this period to be from 10/4/11 – 11/1/11. I therefore recommend the appeal be denied. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$925.

In relation to the Agae Contracting (\$1,000), Arlington Glass (\$1,000), Citizens for Daniel J McLaughlin (\$1,000), Conlon Public Strategies (\$1,000), HMS Host (\$1,500), Halina Kolasa (\$2,000), Linn-Mathes

(\$1,000), Judd Malkin (\$1,000), O'Keefe Lyons & Hynes (\$1,000), Peter Spyropoulos (\$1,000), George A Zervas (\$1,000), and Plumbing Council of Chicagoland (\$1,000) contributions Mr. Kasper acknowledged that the Committee was in error by not filing these contributions. I therefore recommend that the appeal be denied, but since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment or \$1,350.

If these recommendations are accepted by the Board the civil penalty of \$2,479 will be due and owing. (As of 6/30/11, this committee reported a funds available balance of \$338,593.58.)



Andy Nauman – Hearing Officer

October 6, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
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Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

ID # 21644

Preckwinkle for President
Ivory Mitchell
4800 S Chicago Beach Dr, #1416N
Chicago, IL 60615

1st V
10% of 24,789.56 =
\$2479

Dear Preckwinkle for President:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Agae Contracting	10/15/2010	\$1000	\$1000	*	10
Joseph Antunovich	11/1/2010	\$2500	\$2500	*	1
Arlington Glass	10/15/2010	\$1000	\$1000	*	10
Ronald Blackstone	10/4/2010	\$500	**	*	**
Ronald Blackstone	10/25/2010	\$200	\$200	*	4
Citizens for Daniel J McLaughlin	10/15/2010	\$1000	\$1000	*	10
Conlon Public Strategies	10/15/2010	\$1000	\$1000	*	10
Mark Ericksen	11/1/2010	\$2500	\$2500	*	1
Fass Bnvlnt Fndtn	11/1/2010	\$1000	\$1000	*	1
Michael Gonzalez	10/5/2010	\$500	**	*	**
Michael Gonzalez	10/15/2010	\$250	\$250	*	10
HMS Host	10/15/2010	\$1500	\$1500	*	10
Halina Kolasa	10/15/2010	\$2000	\$2000	*	10
Linn-Mathes	10/22/1010	\$1000	\$1000	*	5
Judd Malkin	10/15/2010	\$1000	\$1000	*	10
Ms Anita Ponder	10/22/2010	\$500	**	*	**
Ms Anita Ponder	10/29/2010	\$250	\$250	*	1
O'Keefe Lyons & Hynes	10/15/2010	\$1000	\$1000	*	10
Regents Park 5020	11/1/2010	\$1000	\$1000	*	1
Safeway Construction	11/1/2010	\$1500	\$1500	*	1
Peter Spyropoulos	10/15/2010	\$1000	\$1000	*	10
Patrick Vagenius	11/1/2010	\$750	\$750	*	1
Georga A Zervas	10/15/2010	\$1000	\$1000	*	10
Plumbing Council of Chicagoland	10/15/2010	\$1000	\$1000	*	10
IL Hospital Association PAC	10/21/2010	\$1339.56	\$1339.56	*	6

The committee is fined a **total** of \$24,789.56 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

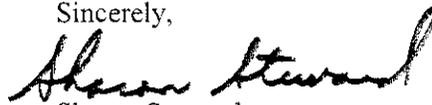
Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$12395, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date following the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the December 2010 Semi-Annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500" threshold and was used as the basis for the fine assessment

State of Illinois)
)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
11 JUL 22 PM 1:04

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Preckwinkle for President)
)
21644 Respondent(s).)

Case No. 10AG091

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)

Preckwinkle for President

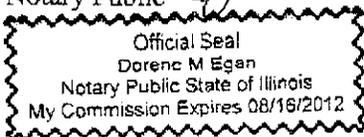
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Signed and Sworn to by:
Michael J. Kasper
before me this 22nd Day of
July 2011
Dorene M Egan
Notary Public

[Signature]

(Signature of Chairman/Treasurer)



BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

09 JS 267

Friends of Murfin and Sarkees (ID 21877)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing the 2009 Semi-Annual Report

The Report was received on July 6, 2011, 490 days late, resulting in a civil penalty assessment of \$5,000. In addition, the Committee was previously assessed a \$200 civil penalty (not appealed, stayed) for delinquently filing the 2009 Pre-Election Report for the 2009 Consolidated Primary and a \$5,000 civil penalty for the Violation of a Board Order. The total assessment is \$10,200.

Meredith Reid Sarkees appeared on October 25, 2011 for the Appeal Hearing.

Meredith Reid Sarkees stated Friends of Murfin & Sarkees was formed to support her candidacy and the candidacy of acquaintance, Patrick Murfin for Nunda Township Trustee in the 2009 Consolidated Election. Patrick Murfin managed the campaign, the committee's finances and appointed Pauline Walker as treasurer. Sarkees stated she was a first time candidate and trusted Murfin to handle the committee's operations. The candidates lost the election, the committee disbanded and Sarkees assumed the campaign filing requirements were met. Two years later, in February 2011, Sarkees received a notice from the board for the failure to file several reports (Exhibit 1). Upon contacting the Board, she discovered Pauline Walker resigned by written request and that a final report was not filed. Sarkees contact Murfin who indicated that he would complete the filings. On June 15 and 17, Sarkees received a notice stating the committee failed to file the March 2011 Quarterly Report (Exhibit 2). She contacted Murfin who indicated he could not file the reports because he had difficulty completing the forms. Sarkees collected the financial records from Murfin and filed the final report on July 6, 2011. Sarkees stated Murfin never offered an explanation and assumes he simply ignored the notices sent by the Board. Sarkees states the committee is dissolved and due to the penalties, she faces prohibition to run for public office in the future because the committee does not have the ability to pay the fines.

The law places responsibility for compliance on the committee's treasurers and candidates. Per section 100.40(c), in the event a treasurer resigns in the case of a candidate related committee, the candidate shall be responsible for terminating the committee. As a candidate, Sarkees and Murfin have the shared responsibility to comply with filing requirements. Sarkees trusted her running mate to act on his word. It is unfortunate she received false information; however this is an internal matter. The law does not excuse one candidate from filing while placing all responsibility on the other. Under the law, the committee is treated as one entity with all agents accountable for timely filing. I recommend that the appeal is denied. If this recommendation is accepted by the Board, the assessment of \$10,200 will be due. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated. (As of 6/30/09, this committee reported a funds available balance of \$0.00)


Tara Molnar - H

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

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100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
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Rupert T. Borgsmiller
August 30, 2011

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Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Murfin and Sarkees
Patrick Murfin
522 W Terra Cotta Ave
Crystal Lake, IL 60014-3422

ID# 21877

Dear Friends of Murfin and Sarkees:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2009 through June 30, 2009
Filing Period:	July 1, 2009 through July 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 6, 2011, 490 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also contact the office to pay by MasterCard, American Express, or Discover.

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 26 through March 8, 2009	Pre-election	\$200
	Violation of Board Order	\$5000
<i>TOTAL AMOUNT NOW DUE</i>		\$10200

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

1020 South Spring Street, P O Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph Suite 14-100
Chicago, Illinois 60601
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

1/28/2011

*Sent a final report to the Board of Elections
on 1/28/2011
Sent a final report to the Board of Elections on 1/28/2011
Return to the Board of Elections on 1/28/2011
March 2009
to Mark
for final report
check disbursement of funds*

Meredith Reid Sarkees
4802 Wyoming Way
Crystal Lake IL 60012

Friends of Murfin and Sarkees
Committee ID: 21877

Dear Meredith Reid Sarkees:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: Jul 1, 2010 through Dec 31, 2010
Filing Period: Jan 3, 2011 through Jan 20, 2011

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report remains unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

If you fail to file a report, a complaint may be filed against this committee.

My staff and I are available to provide assistance in this matter

Sincerely yours,

Sharon Steward
Director of Campaign Disclosure

State of Illinois)
County of _____)

RECEIVED
11 SEP 27 AM 10:21

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Markin and Sarkis)
Respondent(s).)

Case No. 09JS267

APPEAL AFFIDAVIT

I, Meredith Reid Sarkis the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Markin and Sarkis
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please See Attached Affidavits

Signed and Sworn to by:
Meredith B Sarkis
before me this 26th Day of
Sept, 2011

Meredith Reid Sarkis
(Signature of Chairman/Treasurer)

Heidi Hartwig
Notary Public



Meredith Reid Sarkees

*4802 Wyoming Way
Crystal Lake, IL 60012
(815) 444 0692
sarkees@sbcglobal.net*

September 26, 2011

State Board of Elections of the State of Illinois
1020 South Spring Street, P.O. Box 4187
Springfield, IL 62078

Addendum to the Appeal Affidavit Case ID #11CD083

The Friends of Murfin and Sarkees (PC ID# 21877) are appealing the assessment of the Civil Penalty for the following reasons:

The failure to file the final report was inadvertent Section 125.425 d) 4) A; and d) 5) C) ii); and that not all affected parties were notified about delinquencies; and that notifications were not clear about the exact delinquencies, thus the defendants could not have reasonably been expected to be aware of the exact violations.

The Committee was formed in January 2009, and Patrick Murfin filed the D-2 Pre-Election Report, covering the period 1/26/2009 to 3/8/2009.

After the election, our bank account was closed and the committee disbanded on April 29, 2009. Subsequently there was confusion between Patrick Murfin and the Committee Treasurer Pauline Walker, with each assuming that the other was going to file the final report.

I (Meredith Reid Sarkees) did not at this point receive any of the communications from the Board of Elections, and thus was unaware that the final report had not been filed.

The first notice I received from the Board of Elections indicating that forms had not been filed was in February 2011 (in a letter dated 1/28/2011). That notice indicated that the reports that were outstanding were 7/1/2010 to 12/31/2010, and 1/3/2011 to 1/20/2011 - leading me to assume that the 2009 reports had in fact been filed. However, when I contacted the Chicago office of the Board of Elections by phone, I was told that the only additional communication they had received was a letter from Pauline Walker resigning as Treasurer of the Committee. The recommendation was that we only needed to complete a D-2 Final Report for the period 3/9/2009 to 6/30/2009. I forwarded this information to Patrick Murfin, who indicated that he would file the report before the April 15, 2001 deadline.

I subsequently received a letter from the Board of Elections dated June 15 and 17, 2011, indicating that we had not filed the March 2011 Quarterly report and that a pre-complaint

conference had been scheduled for June 30, 2011. It also noted that if the report were filed before the date of the conference, we would not need to appear; and that it would be the "failure to file the required report will necessitate the filing of an official complaint against your committee."

I immediately contacted Patrick Murfin, who indicated that he had had difficulty in completing the report. I retrieved the committee information from him, and completed the D-2 Final Report for the committee (which was mailed to the State Board of Elections Chicago Office on June 20, 2011).

Thus I was disappointed to receive the Notice from the Board of Elections dated August 30, 2011 assessing the penalties against the committee. The Friends of Murfin and Sarkees has disbanded and has no assets (and never had assets in the amount of the proposed penalties). I contacted Jennifer Ronimous on September 21, 2011, who assured me that if the committee doesn't pay the penalty for two years, it would be abated as long as the committee remained finaled during that period. However, she also indicated that if either Patrick Murfin or I decide to run for political office during that period, any new committee would be assessed the current penalties. Though I have no particular plans to run for political office at this time, I am discouraged that I would be in essence prohibited from doing so by these penalties. Thus I am filing this appeal and Request for Hearing.



Meredith Reid Sarkees

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 MA 067

Re-Elect Eric Leys (22022)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the 1st 2011 Quarterly Report
Delinquent Filing of the 2nd 2011 Quarterly Report

The 1st 2011 Quarterly Report was received on July 1, 2011, 54 days late, resulting in a \$ 1,350 civil penalty assessment. The 2nd 2011 Quarterly Report was received on July 26, 2011, 7 days late, resulting in a civil penalty assessment of \$350. The total assessment is \$1,700.

Eric Leys, committee treasurer and candidate, appeared on October 25, 2011 for the appeal hearing.

Eric Leys accredits the delinquent filing his misunderstanding of the 2011 reporting changes, specifically he was unaware Semi-Annual reporting transitioned to Quarterly Reporting. Leys cited that he had a multitude of other responsibilities, was not on the ballot for 2011 and did not have any financial activity. Leys faxed a copy of his Quarterly report as soon as he realized he missed the deadline.

I recommend that the appeal be denied for lack of an adequate defense. Although I recognize there is an adjustment to the new law, especially in this instance where the candidate was not running and no funds were raised or spent, it is the responsibility of the treasurer to comply with disclosure regulations. Ignorance of the law is not a valid defense for violations of the act. If this recommendation is accepted by the Board, the total assessment of \$1,700 will be due. (As of 9/30/11, this committee reported a funds available balance of \$0.00)



Tara Molnar - Hearing Officer

November 4, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Re-Elect Eric Leys
Eric Leys
310 Busse Hwy, # 323
Park Ridge, IL 60068-3251

ID# 22022

Dear Re-Elect Eric Leys:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 1, 2011, 54 day(s) late. As such, this committee has been assessed a fine of \$1350.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2011 through June 30, 2011
Filing Period:	July 1, 2011 through July 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 26, 2011, 7 day(s) late. As such, this committee has been assessed a fine of \$350. The total for all new assessments is \$1700.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

CHICAGO

State of Illinois)
County of Cook)

11 OCT -6 PM 2:25
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Re-elect Eric Leys)
Respondent(s).)

Case No. 11 MA 067

APPEAL AFFIDAVIT

I, Eric Leys, the Chairman of the
(Name) (Chairman/Treasurer)
Re-elect Eric Leys
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Two fines that are assessed are for the first two periods
following adoption of the new quarterly reporting rules. As you may
recall, the SBE waived delinquent filing fines for the first quarter.
The fines that have been applied to my committee are for the first and
second quarter. The second quarter was only 7 days late, so the bulk of
the assessment is for the first quarter. I would therefore ask that the
2nd quarter filing of 7 days late be considered a first offense (since
the first quarter was grandfathered), thus being stayed as is normal
practice. This committee had no activity in either period and I am an

Signed and Sworn to by: Eric Leys unpaid school board member with no staff.

before me this 30 Day of
Sept, 2011

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



NO.	COMM.	PAGES	FILE	DURATION	X/R	IDENTIFICATION	DATE	TIME	DIAGNOSTIC
25	OK	003	979	00:01:10	RCV	8472790657	SEP-21	10:56	0507C0000A070
26	OK	003	980	00:01:10	RCV	8472790657	SEP-21	12:36	0507C0000A070
27	OK	003	981	00:01:08	RCV	18474241049	SEP-21	13:00	0507C0000A070
28	OK	001	982	00:00:19	RCV	888 213 5733	SEP-21	13:52	0507C0000ADE0
29	OK	003	983	00:00:59	RCV	8664966792	SEP-21	16:37	050FC0000ADE0
30	OK	001	984	00:00:44	RCV	847 546 3944	SEP-21	17:16	0507C0000ACD0
31	OK	004	985	00:02:18	RCV	1 847 289 1272	SEP-22	09:28	050FC0000A070
32	OK	002	986	00:01:17	RCV	1 847 289 1272	SEP-22	09:31	050FC0000A070
33	OK	003	987	00:00:36	RCV		SEP-22	09:48	0407C0000BCC0
34	420	000	988	00:00:42	RCV		SEP-22	10:24	0000C00000000
35	OK	001	989	00:00:40	RCV		SEP-22	11:21	0507C0000A070
36	420	000	990	00:00:42	RCV		SEP-22	11:23	0000C00000000
37	420	000	991	00:00:42	RCV		SEP-22	15:08	0000C00000000
38	OK	002	992	00:01:17	RCV	3123467999	SEP-22	16:49	050FC0000A070
39	OK	002	993	00:01:30	RCV	7085984473	SEP-22	19:03	050FC0000A070
40	420	000	994	00:00:42	RCV		SEP-23	10:39	0000C00000000
41	OK	002	995	00:00:40	RCV	1 773 834 7137	SEP-23	12:03	050FC0000ACB0
42	OK	002	996	00:00:25	RCV		SEP-23	12:07	0407C0000ACCC0
43	OK	002	997	00:01:30	RCV	3123467999	SEP-23	12:17	050FC0000A070
44	OK	006	998	00:00:53	RCV	12177825959	SEP-23	13:40	0507C0000BCB0
45	OK	003	999	00:01:10	RCV	8664966792	SEP-26	07:54	050FC0000ADE0
46	420	000	001	00:00:42	RCV		SEP-26	11:14	0000C00000000
47	OK	001	002	00:00:30	RCV		SEP-26	14:23	0407C0000B070
48	OK	001	003	00:00:52	RCV	1 847 395 9277	SEP-26	15:02	050FC0000ACCC0
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51	OK	004	006	00:00:38	RCV		SEP-26	17:06	0407C0000BCB0
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54	420	000	009	00:00:42	RCV		SEP-27	11:45	0000C00000000
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56	OK	003	011	00:00:22	XMT	8 912175585401	SEP-27	15:01	0107A2000BDC0
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58	OK	001	013	00:00:17	RCV	847 680 9215	SEP-28	01:51	050FC0000AAB0
59	420	000	014	00:00:42	RCV		SEP-28	09:23	0000C00000000
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61	420	000	016	00:00:42	RCV		SEP-28	15:03	0000C00000000
62	OK	001	017	00:00:16	RCV	2175579749	SEP-28	15:23	0507C0000BCB0
63	OK	001	018	00:00:28	RCV	18472054558	SEP-29	09:49	050FC0000BCC0
64	OK	003	019	00:00:24	XMT	SPFLD	SEP-29	11:31	0107A2000BDC0
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67	OK	002	022	00:01:21	RCV	3123467999	SEP-29	16:46	050FC0000A070
68	OK	001	023	00:00:36	RCV	847 433 1219	SEP-30	07:02	0507C0000AC90
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70	420	000	025	00:00:42	RCV		SEP-30	10:58	0000C00000000
71	OK	003	026	00:01:09	RCV		SEP-30	15:37	0407C0000A070
72	OK	005	027	00:01:56	RCV		SEP-30	16:09	0407C0000A070
73	OK	002	028	00:00:33	RCV	847 680 9215	SEP-30	20:36	050FC0000AAB0
74	OK	002	029	00:00:37	RCV	7734984304	OCT-01	12:34	0507C00009CD0

-IL ST BD OF ELECTIONS -

hp LaserJet 3030



ERIC LEYS / Acura of Libertyville
847-680-9215
Sep-30 2011 8:07PM

Fax Call Report

Job	Date	Time	Type	Identification	Duration	Pages	Result
491	9/30/2011	7:38:23PM	Send	13128146485	0:53	2	OK

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 AJ 067

Friends of Russell W Hartigan (22244)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to file a Schedule A-1 Report

The committee received a contribution of \$1,000 on May 26, 2011 and failed to file a Schedule A-1 Report, resulting in a \$500 civil penalty or 10% of the amount reflected above.

The Respondent was represented by Attorney James P Nally on October 25, 2011 for the appeal hearing.

Mr. Nally stated the committee received a \$1,000 contribution from John Nisivaco on 5/26/2011. According to Nally, the Respondent thought an A-1 was electronically filed within 5 business days but it did not go through. However the Respondent failed to produce any evidence to support his claim. Nally stated the committee has consistently complied with disclosure law. IDIS v 2.0 is programmed to create internal receipts for all documents filed by a committee. With no receipt or A-1 filed, it appears this occurrence was nothing more than a failure to file the Schedule A-1 report.

The committee presented no proof regarding the attempt to file the Schedule A-1. The defense offered was vague and included no specific date the report was said to be filed. I recommend the appeal be denied for an inadequate defense, and the assessed civil penalty of \$50 be imposed, but stayed as a first violation (As of 9/30/11, this committee reported a funds available balance of \$32,764.79)



Tara Cachur – Hearing Officer

November 4, 2011

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
BOARD MEMBERS
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Russell W Hartigan ID# 22244
Robert Bures
5235 Fair Elms Ave
Western Springs, IL 60558

Dear Friends of Russell W Hartigan:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
John Nisivaco	5/26/2011	\$1000	*	23	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of Cook)

CHICAGO

11 SEP 15 PM 2:37

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF RUSSELL W. HARTIGAN)
Respondent(s).)

Case No. 11A5067

APPEAL AFFIDAVIT

I, Robert Buris, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Russell W. Hartigan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

MITIGATING CIRCUMSTANCES

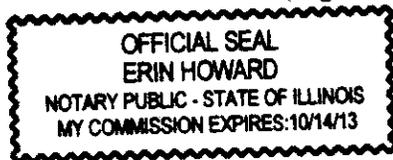
Signed and Sworn to by:

before me this 11 Day of

SEPT, 2011

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 069

Committee to Elect Steven G Watkins (22358)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
2010 June Semi-Annual Report, the 2010 December Semi-Annual Report and
the March 2011 Quarterly Report

The 2010 June Semi-Annual Report was received on July 6, 2011, 240 days late, resulting in a civil penalty assessment of \$5,000. The 2010 December Semi-Annual Report was received on July 6, 2011, 115 days late, resulting in a civil penalty assessment of \$5,000. The March 2011 Quarterly Report was received July 6, 2011, 56 days late, resulting in a \$1,400 civil penalty assessment. The total assessment is \$11,400.

Gerald Williams, committee treasurer, appeared on October 25, 2011 for the appeal hearing.

Gerald Williams indicated Steven Watkins ran for Judge in the February 2010 election. On January 20, 2010, Williams filed the 2009 December Semi Annual Report on paper, although required to file electronically. Williams attributed said action to lack of experience and misunderstanding of the electronic filing requirements. On July 6, 2011 the Chicago Board Staff assisted Williams in filing the 2010 June Semi-Annual Report, the 2010 December Semi Annual Report and the March 2011 Quarterly Report. Williams respectfully requests leniency in the Board's decision since the committee only has \$394.80. There are not enough funds the hefty fine.

The committee was created on October 13, 2009 and did not file disclosure reports since February 3, 2010. For over a year, no reports were filed, even while the candidate appeared on the ballot. Williams states he did not understand electronic filing. However, no defense was presented as to why they did not attempt to file they paper. There are a number of instructional materials and IDIS classes available for committees to educate inexperienced treasurers. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$14,200 will be due. (As of 9/30/11, this committee reported a funds available balance of \$394.80)



Tara Molnar – Hearing Officer

November 4, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Steven G Watkins
609 E 75th St
Chicago, IL 60619-2220

ID# 22358

Dear Committee to Elect Steven G Watkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 240 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 1, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 115 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 6, 2011, 56 day(s) late. As such, this committee has been assessed a fine of \$4200.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.***

State of Illinois)
County of Cook)

11 SEP 29 AM 9:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Steve G. Watkins)
Respondent(s).)

Case No. 11 MP.069

APPEAL AFFIDAVIT

I, Gerald Williams, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Steve G. Watkins
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. There is only 2340 dollars in campaign bank account
2. The first report was filed but not electronically
3. The subsequent have been filed electronically
4. Confusion regarding written vs electronic filing
5. Committee respectfully request a fine appropriate to our bank account of about 2340.00

Signed and Sworn to by:
Gerald Williams
before me this 28 Day of
Sept, 2011

Gerald Williams
(Signature of Chairman/Treasurer)

Yvette Belcher
Notary Public



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

11 AJ 068

People for Rauschenberger (ID 22369)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to file Schedule A-1 Reports in the 2nd Quarter of 2011

The Committee received a \$7,500 contribution on 4/17/11 and failed to file a Schedule A-1 Report resulting in a \$3,750 civil penalty assessment. The Committee received a \$3,000 contribution on 4/20/11 and failed to file a Schedule A-1 Report resulting in a \$1,500 civil penalty assessment. The total assessment is \$5,250.

Steven Rauschenberger, Treasurer, and Donna Anderson, Bookkeeper appeared at the October 26, 2011 appeal hearing.

Steven Rauschenberger stated the committee was unaware of the new Schedule A-1 filing requirement for 2011. Rauschenberger lost the 2010 Senate race and is in the process of closing the committee. Donna Anderson stated the committee remained open in 2011 to settle all outstanding accounts, including a dispute with Associated Merchant which took several months to expedite. Rauschenberger intends to close the committee and asks the fine to be waived as a first offense.

In regards to the 1st 2011 Quarterly Report, the Respondent's defense is insufficient. It is the Treasurer's responsibility to be abreast of campaign disclosure filing requirements. Additionally, the Board issued several notices in 2010 and 2011 regarding to educate committees about changes in the law. All committees active in 2011 are required to follow the new rules. I recommend the appeal is denied. However, since this is a first time violation and there is no indication the violations were anything other than inadvertent, I recommend the penalty be reduced to 10% of the original assessment or \$525. If the Board accepts this recommendation, the civil penalty assessment of \$525 will be due. (As of September 30, 2011, the committee reported a funds available balance of \$3.00.)



Tara Molnar – Hearing Officer

November 21, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

People for Rauschenberger
John Rauschenberger
PO Box 831
Elgin, IL 60121-0831

ID# 22369

Dear People for Rauschenberger:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Leadership 2010	4/7/2011	\$7500	*	62	\$3750
Leadership 2010	4/20/2011	\$3000	*	53	\$1500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5250 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$525, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

State of Illinois)
County of Kane)

11 SEP 23 1110:33

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
People for Rauschenberger)
Respondent(s).)

Case No. 11A5068

APPEAL AFFIDAVIT

I, Steven J. Rauschenberger, the Chairman of the
(Name) (Chairman/Treasurer)

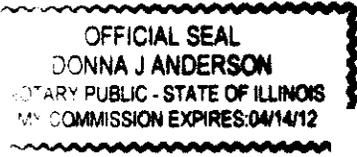
People for Rauschenberger
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Steve Rauchenberger was no longer a candidate for public office and we were winding down the affairs of the committce and did not know the new law required filing of AIs throughout the year. People for Rauschenberger is preparing to close the committee and currently only has \$14 in our account and expects that to be used for bank fees that will be due on the account. People for Rauschenberger will not be raising or soliciting contributions in the future. Due to the inadvertent nature of this violation and the fact that People for Rauschenberger is no longer soliciting contributions and will be closing the committee, People for Rauschenberger respectfully request that the Board either grant this appeal or in the alternative, stay the fine and allow the committee to submit a final report.

Signed and Sworn to by:
Steven J. Rauschenberger
before me this 21 Day of
September, 2011
Donna J. Anderson
Notary Public

Steven J. Rauschenberger
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 AJ 069

Friends of Sherman C Jones 22764

Respondent

REPORT OF HEARING EXAMINER

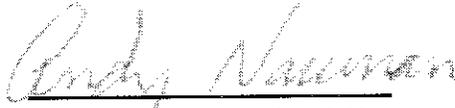
Appeal of Civil Penalty Assessment for Failure to File
A Schedule A-1 for the 2nd Quarter of 2011

This Committee received a \$1,040 contribution on 6/26/11 and a \$2,000 contribution on 6/17/11 but failed to report them on a Schedule A-1. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, not paid) for delinquently filing the June 2010 Semi-Annual Report; a \$1,100 civil penalty (not appealed, not paid) for delinquently filing a Pre-Election Report for the 2010 General Election; a \$5,000 civil penalty (not appealed, not paid) for delinquently filing the December 2010 Semi-Annual Report; a \$5,000 penalty for failure to comply with a Board Order. The total assessment is \$11,352.

The Respondent was represented by attorney Richard Means at the October 24 appeal hearing. Also in attendance was Matt Ames the candidate's top political aide.

Mr. Ames testified that Helen Brown is currently the treasurer of the Committee. She was the person who was responsible for filing the reports for the Committee. Mr. Ames believes that Ms. Brown was not aware of the law changes that went into effect in relation to the Schedule A-1 filings. Ms. Brown was suppose to testify at the appeal hearing, but she notified Mr. Ames on the morning of the hearing that she would not be able to attend do to a scheduling conflict with an appointment she had with her doctor. Mr. Ames indicated that it is the Committee's intention to replace Ms. Brown as treasurer to hopefully avoid any future violations. The Committee already has an idea of who they would like the next treasurer to be and that person has been trained on the electronic filing software. Mr. Means indicated that he as well is of the belief that Ms. Brown was not aware that the law changed as of January 1, 2011 in relation to Schedule A-1 filings. Mr. Ames also indicated that to his knowledge no one within the Committee was aware that the law changed so that a committee now has a year round filing obligation in regards to Schedule A-1 filings. Mr. Means indicated that Sherman Jones did not run during this period and this rather young committee was only aware of the Schedule A-1 period under the old law in that they only had to file contributions within the 30 days prior to the election. Mr. Means indicated that the Committee only has \$3,979.15 in the bank account as of the date of the hearing. The Committee acknowledges that they have penalties of \$11,200 in addition to the two fines they are appealing now. Mr. Means indicated that the Committee would like to offer the Board all of the funds they currently have of \$3,979.15 to the Board for a settlement of all penalties including any fines that could be assessed in relation to the two Schedule A-1 violations that are being appealed. Mr. Mean went on further to say that if the amount offered is not sufficient than the Committee would be willing to raise additional funds to settle everything. Mr. Means indicated that the Committee will do what it needs to do to make things the way they should be and that they would like to clear up all matters so they have no issues going forward.

I recommend the appeal be denied for lack of an adequate defense. The Committee should have known of the new A-1 reporting requirements; the Board sent mailings to all committees and additionally posted information on the Board website to notify and educate committees about the changes of the law. In addition, since there is no indication that these violations were anything other than inadvertent and unintentional, I also recommend that the penalty be reduced to 10% of the original assessment, or \$152. If these recommendations are accepted by the Board, the amount of \$11,352 will be due and owing. In relation to the settlement that is being offered I believe this is a matter only the Board can address. (As of 9/30/11, this Committee reported a funds available balance of \$2,588.79, which is less than the value they indicated they had at the time of the hearing of \$3,979.15.)



Andy Nauman – Hearing Officer

October 24, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Friends of Sherman Jones
PO Box 6362
Broadview, IL 60115

ID# 22764

Dear Friends of Sherman Jones:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ernestine Jones	6/26/2011	\$1040	*	7	\$520
Philip Fornaro Attorney	6/17/2011	\$2000	*	12	\$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1520 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$152, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through June 30, 2010	Semi-Annual	\$100
	Failure to Comply with a Board Order	\$5000
July 1 through October 3, 2010	Pre-Election Report	\$1100
July 1 through December 31, 2010	Semi-Annual	\$5000
<i>TOTAL AMOUNT NOW DUE</i>		\$11352

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

State of Illinois)
County of Cook)

11 SEP 28 AM 11:46

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11AJ069

Friends of Sherman C. Jones)
Respondent(s).)

APPEAL AFFIDAVIT

I, Sherman C. Jones, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Sherman C. Jones
(Name of the Committee)

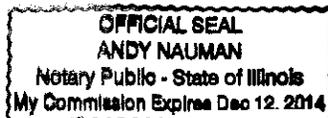
by and through his attorney Richard K. Means who, after
Committee, first being duly sworn, deposes and states that he/she represents that the said committee
can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such
reasons and defenses are:

That the Committee primarily functions to support the
re-election of Jones who was first elected Village President
of Broadview in 2009. His term expires in 2013 and
the person who received the 2 large donations did not know
to alert the bookkeeper to file A-1 reports year around and
not just 30 days before an election as was formerly required.

Signed and Sworn to by:
Richard K. Means
before me this 28th Day of
September, 2011

[Signature]
(Signature of Chairman/Treasurer)

Andy Nauman
Notary Public



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

11 MA 088

Project M PAC 23716
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing
The Statement of Organization and the March 2011 Quarterly Report

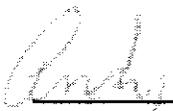
The Statement of Organization was received by the Board on 7/15/11, 75 days late, resulting in a civil penalty of \$3,750, and the March 2011 Quarterly Report was received by the Board on 7/15/11, 63 days late, resulting in a civil penalty assessment of \$1,575. The total assessment is \$5,325.

M Yaser Tabbara the chairman of the Committee and Mehrdad Azemun a member of the organization appeared at the October 24 appeal hearing.

M Yaser Tabbara indicated that the treasurer of the Committee was not available for the appeal hearing. He was not sure of her location but he believes she may be out of the country. A 501(c)(4) was organized as a tool for political education in an attempt to bring knowledge to those who were typically not politically involved. The group realized that they wanted to do more and established this Committee to become more politically involved. Having very little knowledge in dealing with political committees the group hired staff in which Reema Ahmad became the director and treasurer. Ms. Ahmad had a great deal of knowledge as an activist, but she was not familiar with running a political committee. This group had no prior political activity and they trusted Ms. Ahmad was taking care of her responsibilities. She gave the impression that she was on top of everything and the group focused on their cause. The organization realized they were getting conflicting answers and found that serious ramifications can result if matters are not handled appropriately. Ms. Ahmad was asked to fix the situation and a path was set for her to hand over her duties. Mr. Tabbara indicated that once they realized they were in over their heads they stopped all activity and they basically have not had any activity since April. Mr. Tabbara and Mr. Azemun both indicated that they will be taking over and they both pledge that this will not happen again. They pointed to the fact that they filed the September Quarterly Report 13 days before the report was actually required to be filed and that they only have \$124.87. The Committee requests that the Board take into consideration that this was unintentional, inadvertent, that they have no past violations and their intent was to do everything by the book. They also request that this dark spot in their history be waived as a first offense by a new organization that is trying to make a difference in the community.

I understand staff was hired to file the reports for the political committee. It is unfortunate that the person who was hired either did not understand the filing requirements or for whatever reason did not file the reports in a timely manner. However, the Committee has a filing obligation and the reports were not filed timely. I recommend that the appeal be denied for lack of an adequate defense in delinquently filing the Statement of Organization and the March 2011 Quarterly Report and the assessed civil penalty of \$5,325 be imposed, but stayed as a first violation.

Such recommendation is consistent with similar rulings in SBE v. Chicagoland Apartment Association PAC (06 MA 001), and SBE v. Black Illinois Legislative Lobby (06 MA 002). As of 9/30/11, this Committee reported a funds available balance of \$124.87.


Andy Nauma
Nover


Officer

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
August 30, 2011

Project M Pac ID# 23716
M Yaser Tabbara, Reema Ahmad
7674 W 63rd St
Summit, IL 60501-1812

Dear Project M Pac:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on July 15, 2011, 75 day(s) late. As such, this committee has been assessed a fine of \$3750.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2011 through March 31, 2011
Filing Period:	April 1, 2011 through April 15, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 15, 2011, 62 day(s) late. As such, this committee has been assessed a fine of \$1575. The total of newly assessed fine is \$5325.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 29, you forfeit the right to contest this assessment.**

State of Illinois)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
PROJECT M PAC)
23716 Respondent(s).)

Case No. 11MP088

APPEAL AFFIDAVIT

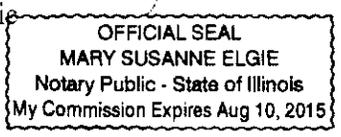
I, REEMA AHMAD, the TREASURER of the
(Name) (Chairman/Treasurer)
PROJECT M PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

REPORTS) FILED BY PROJECT M PAC IN A LESS THAN
TIMELY MANNER WERE DUE SO INADVERTENTLY AND
UNINTENTIONALLY. FURTHERMORE, THESE ACTIONS WILL NOT BE
REPEATED IN THE FUTURE

Signed and Sworn to by:
REEMA AHMAD
before me this 29th Day of
September, 2011
Mary Susanne Elgie
Notary Public

Reema Ahmad
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

11MQ-CL001

Citizens to Elect Anthony Beale 14556
Respondent

REPORT OF HEARING EXAMINER

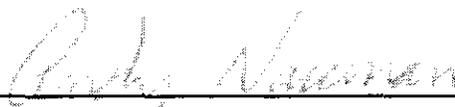
Appeal of Civil Penalty Assessment for a Violation of Contribution Limits
Received during the March 2011 Quarterly Reporting Period

This committee received a contribution on 1/30/11 for \$10,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days as required by statute, resulting in a required escheatment of \$5,000 and a civil penalty assessment of \$7,500.

The Respondent was represented by attorney Burton S. Odelson at the November 21 appeal hearing.

Mr. Odelson provided to the hearing officer two affidavits and a copy of the check concerning the contribution in question (attached). The check shows that David G. Herro and Jay L. Franke both endorsed the check from their joint account. The Affidavits indicate that the contributor's intentions were for \$5,000 to be from each of the individuals.

The appeal affidavits combined with the check show that the contributors (David Herro and Jay Franke) wrote one \$10,000 check out of their joint account and that their intention was for each to have been considered as donating \$5,000. I recommend the appeal be granted. If the Board accepts this recommendation the escheatment to the General Revenue Fund would not be required. I also recommend that Committee amend the March 2011 Quarterly Report to reflect the Committee received one \$5,000 contribution each from David Herro and Jay Franke rather than a \$10,000 contribution from Jay Franke within 30 days of the Final Order. (As of 9/30/11, this Committee reported a funds available balance of \$2,222.01.)



Andy Nauman – Hearing Officer

November 21, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Anthony Beale
Phillip Leathelman
10019 S Prairie
Chicago, IL 60628

ID# 14556

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 – 2/22/11 election cycle as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Jay Franke	1/30/11	\$10000.00	\$5000.00	\$5000.00
	TOTAL	\$10000.00	\$5000.00	\$5000.00

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$7500.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$750.00, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$5750.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$5000.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
County of COOK)

11 OCT 31 PM 3:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT ANTHONY BEALE)
Respondent(s).)

Case No. 11MQ-CL001

APPEAL AFFIDAVIT

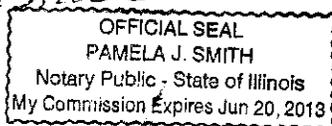
I, Brian S. Oderson the attorney for Committee of the
(Name) (Chairman/Treasurer) Vanessa Brown
CITIZENS TO ELECT ANTHONY BEALE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The contributions were from two different people and entered manually

Signed and Sworn to by:
Brian S. Oderson
before me this 28 Day of
October, 2011
Pamela J. Smith
Notary Public

[Signature]
Signature of Chairman/Treasurer



STATE OF ILLINOIS)
)SS
COUNTY OF COOK)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:)
)
Illinois State Board of Elections,)
 Complainant(s))
) Board File No. 11 MQ CL001
v.)
)
Citizens to Elect Anthony Beale)
 Respondent(s))

AFFIDAVIT

I, Jay Franke, after first being duly sworn under oath, states as follows:

1. A donation was made to Citizens to Elect Anthony Beale on, or about, January 30, 2011 in the amount of \$10,000.00 that was from David Herro and myself.
2. I donated \$5,000.00 of the \$10,000.00 indicated on the check.
3. I am an investment manager at Harris associates, LP, located at 65 E. Goethe, #3, Chicago, Illinois 60610.
4. For convenience, Mr. Herro and I wrote one check for \$10,000.00.
5. I am making this Affidavit to clarify the contribution since I understood there was a misunderstanding regarding the source of the funds.
6. Further Affiant sayeth not.

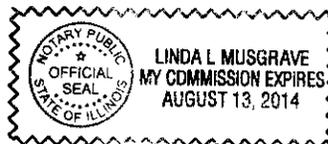
Jay Franke

Affiant

SUBSCRIBED AND SWORN TO
before me this 15 day of
November, 2011.

Linda L. Musgrave

NOTARY PUBLIC



STATE OF ILLINOIS)
)SS
COUNTY OF COOK)

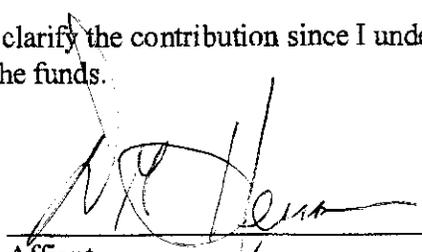
**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In the Matter of:)
)
Illinois State Board of Elections,)
Complainant(s))
) Board File No. 11 MQ CL001
v.)
)
Citizens to Elect Anthony Beale)
Respondent(s))

AFFIDAVIT

I, David Herro, after first being duly sworn under oath, states as follows:

1. A donation was made to Citizens to Elect Anthony Beale on, or about, January 30, 2011 in the amount of \$10,000.00 that was from Jay Franke and myself.
2. I donated \$5,000.00 of the \$10,000.00 indicated on the check.
3. I am an investment manager at Harris associates, LP, located at 65 E. Goethe, #3, Chicago, Illinois 60610.
4. For convenience, Mr. Franke and I wrote one check for \$10,000.00.
5. I am making this Affidavit to clarify the contribution since I understood there was a misunderstanding regarding the source of the funds.
6. Further Affiant sayeth not.

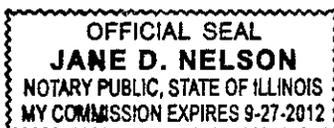


Affiant

SUBSCRIBED AND SWORN TO
before me this 14 day of
November, 2011.



NOTARY PUBLIC



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11MQ-CL002

Friends of JoAnn Thompson 16425

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for a Violation of Contribution Limits

Received during the March 2011 Quarterly Reporting Period

This committee received a contribution on 1/31/11 for \$10,000 which exceeds contribution limits during an election cycle and failed to return the portion of the contribution that exceeded \$5,000 to the contributor or donate the same amount to a charity within 15 days as required by statute, resulting in a required escheatment of \$5,000 and a civil penalty assessment of \$7,500.

The candidate, JoAnn Thompson, and the treasurer, Carol Rogers, appeared at the November 21 appeal hearing.

Carol Rogers indicated that the David Herro and Jay Franke wrote one \$10,000 check out of their joint account and that the contributor's intention was for each to have been considered as donating \$5,000. A letter to the same effect was submitted by the two contributors (attached).

The letter combined with the check which was signed by both of the contributors (David Herro and Jay Franke) indicate that it was the contributor's intention for the \$10,000 check to be considered as a \$5,000 contribution from each of them. Furthermore, the Committee amended the March 2011 Quarterly Report to reflect that David Herro and Jay Franke each contributed \$5,000. I recommend the appeal be granted. If the Board accepts this recommendation the escheatment to the General Revenue Fund would not be required. (As of 9/30/11, this Committee reported a funds available balance of \$1,066.78.)



Andy Nauman – Hearing Officer

November 23, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

BOARD MEMBERS
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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of JoAnne Thompson
JoAnn Thompson, Carla Rogers
5335 S Western Blvd.
Chicago, IL 60629

ID# 16425

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 – 2/22/11 election cycle as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
David Herro	1/31/11	\$10000.00	\$5000.00	\$5000.00
	TOTAL	\$10000.00	\$5000.00	\$5000.00

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$7500.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$750.00, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$5750.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$5000.00 must be paid within 30 days of the issuance of the Order.

STATE BOARD OF ELECTIONS
11 OCT 11 AM 10:06

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of JoAnn Thompson)
Respondent(s).)

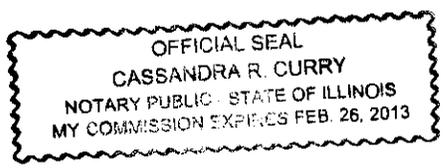
Case No 11 MQ-CL 002

APPEAL AFFIDAVIT

I, Carla L. Carmouche' Rogers, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of JoAnn Thompson
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

An A-1 was filed incorrectly on 1/31/11 disclosing a \$10, 000.00 contribution from David Herro. The correct filing should reflect two (2) separate \$5,000.00 contributions, one from David Herro and the second from Jay Franke. Both individuals reside at: 65 East Goethe, #3 ~ Chicago, IL 60610. A copy of the check is enclosed with both signatures of the contributors.



Signed and Sworn to by:
Carla L. Carmouche Rogers
before me this 5 Day of
October, 2011
Cassandra R. Curry
Notary Public

Carla L. Carmouche Rogers
(Signature of Chairman/Treasurer)

DAVID G. HERRO 01-04
JAY L. FRANKE

C-7452/710
0040676961

115

DATE

1/01/11

PAY TO THE
ORDER OF

Trust of Jay L. Franke \$ *6,000.00*
Jay L. Franke

DOLLARS

SECURITY FEATURES
MICROFILM
DIGITAL INK



Washington Mutual

Washington Mutual Bank, FA
Chicago State and Division Fin Ctr 5956
1200 N. State Pkwy. 1-800-788-7900
Chicago, IL 60610 24 hour Customer Service

PLATINUM CUSTOMER

MEMO

Jay L. Franke

[REDACTED]

HARRIS ASSOCIATES L.P.

Investment Management

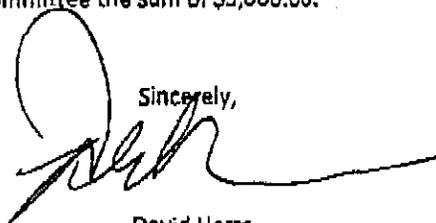
David G. Herro, CFA
Chief Investment Officer -- International
312.621.0225
dherro@harrisassoc.com

November 22, 2011

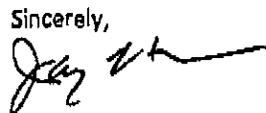
The Honorable JoAnn Thompson
Alderman, 16th Ward
16th Ward Service Center
5335 S. Western Boulevard
Chicago, IL 60609

Dear Alderman Thompson:

The \$10,000 donation, check #115 payable to the Friends of JoAnn Thompson on January 27, 2011, was made on behalf of David Herro and Jay Franke; each donating to the committee the sum of \$5,000.00.

Sincerely,


David Herro

Sincerely,


Jay Franke

CHICAGO
11 NOV 22 9W 3 40
STATE BOARD OF ELECTIONS

Two North LaSalle Street • Suite 500 • Chicago, Illinois 60602-3790 • 312.621.0600

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

11 MQ-CL 003

Houston for Mayor
Cmte ID: 17280
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Violating Contribution Limits in the CP 2011 Election Cycle

The Committee initially reported receiving an In-Kind contribution in a value of \$5610 on January 7, 2011, from Sam Nichols. Candidate Committees are limited to contributions from individuals in a total no higher than \$5000 during an election cycle. The election cycle in question was January 1, 2011, through February 22, 2011. The overage was \$610. The fine amount is \$915 (150% of the overage), and the assessment is automatically reduced to \$91 (10% of the amount in violation of the contribution limit) as the product of a first violation. The escheatment order is for \$610.

Ronald Omer, the Treasurer of the Committee, filed an Appeal Affidavit in this matter. He also testified in person at a hearing conducted November 16, 2011, at the Board's Springfield office.

In both the Affidavit and at the hearing, Mr. Omer stated the Committee erred in originally reporting the source of the In-Kind contribution in question. Mr. Omer said Yellowstone Ventures, LLC, rather than Sam Nichols, donated office space to the Committee valued at \$5610 during the election cycle. In a follow-up phone conversation, Mr. Omer stated Sam Nichols is one of three partners in Yellowstone Ventures, LLC. The Committee filed an amended Quarterly Report for the period ending March 31, 2011, on October 17, 2011, identifying the In-Kind contributor as Yellowstone Ventures.

I recommend the appeal be granted. As a three-person partnership, Yellowstone Ventures is properly regarded as a corporation under the Act. Accordingly, the value of the In-Kind donation in question does not exceed the \$10,000 per election cycle limit for a corporation contributing to a Candidate Committee under 10 ILCS 5/9-8.5. The Committee's amended Quarterly Report for the period ending March 31, 2011, satisfactorily resolves the issue. As of September 30, 2011, the Committee's cash balance was reported as \$56,828.79.



John Levin – Hearing Officer
November 17, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

Houston for Mayor
POB 13342
Springfield, IL 62791

ID# 17280

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 1/1/11 – 2/22/11 election cycle as defined in Section 5/9-1.9(2):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Sam Nichols	1/7/11	\$5610.00	\$5000.00	\$610.00
	TOTAL	\$5610.00	\$5000.00	\$610.00

The committee did not return the portion of the contribution exceeding \$5000.00 to the contributor or donate the same amount to charity within 15 days as required by 10 ILCS 5/9-8.5(j) of the Election Code. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 1020 S Spring St, Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$915.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$91.00, (10% of the amount in violation of the campaign contribution limit) if you choose not to file an appeal under the Board's procedures. As a first violation, this penalty will be stayed.

The total amount of \$701.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$610.00 must be paid within 30 days of the issuance of the Order.

State of Illinois)
County of Sangamon)

STATE BOARD OF ELECTIONS
11 OCT 26 PM 2:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Houston for Mayor)
Respondent(s).)

Case No. 11 MQ-CL 003

APPEAL AFFIDAVIT

I, Ronald E. Omer, the Treasurer of the
(Name) (Chairman/Treasurer)
Houston for Mayor
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I believe that the Houston for Mayor Committee has not violated 10 ILCS 5/9-8.5 of the Election Code for the following two reasons:

1st REASON - My "Description" of the Schedule I IN-KIND CONTRIBUTION in question, identifies the donation as "...3 months commercial office space at 4525 Wabash Avenue, Suite B..." (See Attachment A). The preparation of this entry was based upon the fact that the contribution spanned most of two election cycles (i.e. January 7 thru March 31). Therefore, in my opinion, the contribution met the intent of the law in that only \$3,109 applied the the Primary election cycle and \$2,501 applied to the General. At the time of filing, I could find no SBOE instruction that directed the frequency of such IN-KIND CONTRIBUTION entries into the IDIS system...e.g., weekly, monthly, or quarterly. So, since we no longer file SBOE reports based upon the election cycle (new law effective January 1, 2011) I made one entry claiming the contribution for the 1st Quarter... i.e. encompassing two election cycles.

2nd REASON - See Attachment B

Signed and Sworn to by:
Ronald E. Omer
before me this 26th Day of
October, 2011
Steve Brumleve
Notary Public

Ronald E. Omer
(Signature of Chairman/Treasurer)



Attachment A

SCHEDULE I IN-KIND CONTRIBUTIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE VENDOR	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE FOR REPORTING PERIOD
Mac's Lounge 1231 East Cook Street Springfield, IL 62703 Mac's Lounge 1231 East Cook Street Springfield, IL 62703	3/31/2011	\$547.00	\$547.00
Description: Cost of Food and Beverage at Fundraiser on 3/30/11			
Manuele Jr. Anthony J. 7922 Wildlife Road Chatham, IL 62629-8029 Fritz's Wagon Wheel Restaurant 2709 S. Macarthur Blvd Springfield, IL 62704	3/7/2011	\$150.00	\$150.00
Description: paid a portion of food and beverage costs of March 7th fundraiser at Fritz's Wagon			
X Nichols Sam 4525 Wabash Avenue, Suite A Springfield, IL 62711 NAI TRUE Commerical Real Estate 4525 W. Wabash Springfield, IL 62711	1/7/2011	\$5,610.00	\$5,610.00
Description: Donated 3 months commercial office space at 4525 Wabash Avenue, Suite B,			
Primo Designs Inc. 2417 N. Grand Ave. E. Springfield, IL 62702 Primo Designs Inc. 2417 N. Grand Ave. E. Springfield, IL 62702	3/14/2011	\$200.00	\$200.00
Description: Reduction in price on St. Patricks Day parade T-Shirts			

Attachment B

2nd REASON: As a result of Executive Director Borgsmiller's letter to the Committee dated September 29, 2011, I, as Treasurer of the Committee, looked into all aspects of the subject contribution. I discovered that the claimed contributor was not in fact the true owner of the office space donated. The space is legally owned by "Yellowstone Ventures LLP" of which Mr. Sam Nichols is only one of three partners. I have Amended the 1st Quarter D-2 (October 7, 2011) to properly correct this error (See Attachment B-1 and B-2). Also, in conjunction with this discovery, I contacted the Financial Disclosure Unit of the SBOE and was assured, after consultation with their legal council, that an LLP is treated the same as a corporation for purposes of maximum contributions.

In regard to my "2nd REASON:" for the issue listed above, I regret my error and apologize for the time and effort that has had to be expended on the part of all concerned parties.

Ronald E. Omer
Treasurer, Houston for Mayor Committee

Attachment B-1

SCHEDULE I IN-KIND CONTRIBUTIONS

FULL NAME, MAILING ADDRESS, AND ZIP CODE VENDOR	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE FOR REPORTING PERIOD
Wiley Office Furniture 301 East Laurel Street Springfield, IL 62703 Wiley Office Furniture 301 East Laurel Street Springfield, IL 62703			
Description: Rental of Used Desks, Chairs and Tables January 3rd to February 22nd	1/3/2011	\$441.67	\$441.67
Description: Rental of Used Desks, Chairs, Tables, and File Cabinets Feb. 22 to March 31	2/23/2011	\$376.29	\$817.96
TOTAL FOR THIS PART:			\$15,991.26

X
Yellowstone Ventures LLC
4525 Wabash Avenue, Suite A
Springfield, IL 62711

Sam Nichols
4525 Wabash Avenue, Suite A
Springfield, IL 62711

Description: Donated 3 months commercial office space at 4525 Wabash Avenue, Suite B,

1/7/2011

\$5,610.00

\$5,610.00

Attachment B-2

Description of Amended Information

Two changes in Section A-5 In-Kind Contributions

1. Added In-Kind Contribution - Steve Kinion (see filing message)
2. Corrected ownership of commercial property used as Headquarters. Contributor was incorrectly listed as Mr. Sam Nichols. The filing has been changed to list the correct owner/contributor as "Yellowstone Ventures, LLC" (see filing message)

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
Vs.)
)
Cook County Democratic Women)
 Respondent(s).)

FINAL ORDER

TO: Cook County Democratic Women ID# 945
 3584 Edward Dr
 Crete, IL 60417-1536

This matter coming to be heard this 16th day of August, 2011 as a Proposed Schedule of Payment of a Civil Penalty imposed under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. A Proposed Schedule of Payment of Outstanding Civil Penalties was submitted by the Respondent requesting that the assessed civil penalty in the amount of \$4825.00 be paid in 12 equal installments of an amount instructed by the Board; and
2. The committee tendered a good faith payment in the amount of \$413.00.

IT IS ORDERED:

1. That the Payment Plan is accepted by the Board; and
2. The outstanding penalty of \$4412.00 be paid in 12 equal installments of \$367.66 per month, and
3. The effective date of this Order is August 19, 2011.

DATED: 8/19/2011


William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 29, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Cook County Democratic Women
3584 Edward Dr.
Crete, IL 60417-1536

Dear Cook County Democratic Women:

As you have previously been advised, your committee has been assessed civil penalties totaling \$4825. The payment plan entered into with the Board on August 19, 2011 states in part as follows: *The outstanding penalty of \$4412 be paid in 12 equal installments of \$367.66 per month.*

As of today's date, our office has not received your September payment of \$367.66. This letter shall serve as a reminder that your outstanding balance of \$4412 must be paid to our office no later than August 19, 2012.

If you have any questions please feel free to contact our office at 217-782-4141. Thank you.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure

SS: jar

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: December 7, 2011

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	Percentage Reduction	Escheatment Amt
14153	Citizens for Lyle	\$6276.66	\$628	\$4184.44
20809	Friends of Proco Joe Moreno	\$5250	\$525	\$3500

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS

**1020 South Spring Street
Springfield, Illinois 62704
217/782-4141**

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss,
General Counsel

Re: Ballot Forfeiture

Date: December 7, 2011

Ballot Forfeiture List – Filed Candidates:

As of December 7th, the following candidates' committees owe outstanding fines to the State Board of Elections and the candidate has filed nominating petitions with the SBE for an office on the March 2012 ballot.

Patrick J Sherlock (Citizens to Elect Patrick J Sherlock, ID# 20897) [final]
(Sherlock for Appellate Court, ID# 23830, successor committee)

Outstanding fine: \$2,700 (11 DS 296)

Filed petitions for: 1st Appellate Court – Coleman Vacancy
1st Appellate Court – Gallagher Vacancy
1st Appellate Court – O'Brien Vacancy

David Harris (Friends for Harris, ID# 22270) [final]

Outstanding fine: \$1,608 (10 AG 026)

Filed petitions for: State Representative – 53rd District

Josip “Joe” Trutin (Citizens for Trutin, ID# 22319)

Outstanding fine: \$1,350 (10 DS 242, 10 JS 166)

Filed petitions for: State Representative – 2nd District

Marla Jean Wilson (Friends of Marla Wilson, ID# 22494)

Outstanding fine: \$7,055.33 (10 AG 030)

Filed petitions for: State Senate – 34th Senate

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss,
General Counsel

Re: Ballot Forfeiture

Date: December 7, 2011

Attached please find a complete list of candidates facing ballot forfeiture as of December 7th due to the fact that their political committees owe civil penalties to the State Board of Elections.

This list will be updated after the December 20th Board meeting to include any additional candidates issued final board orders at that meeting.

A final list will be provided to the Board at its January 12th meeting.

The applicable section of the Act reads as follows:

10 ILCS 5/9-30. Ballot forfeiture. The State Board of Elections shall not certify the name of any person who has not paid a civil penalty imposed against his or her political committee under this Article to appear upon any ballot for any office in any election if the penalty is unpaid by the date required for certification.

The State Board of Elections shall generate a list of all candidates whose political committees have not paid any civil penalty assessed against them under this Article. Such list shall be transmitted to any election authority whose duty it is to place the name of any such candidate on the ballot. The election authority shall not place upon the ballot the name of any candidate appearing on this list for any office in any election while the penalty is unpaid, unless the candidate has requested a hearing and the Board has not disposed of the matter by the date of certification.

FORFEITURE LIST

CANDIDATE	CMTE NAME	CMTE ID	COUNTY	Fine Amount
Michael Slape	Committee to Re-Elect Mike Slape	1068		\$ 5,050.00
Danny K Davis	Citizens For Davis	4320	Cook	\$ 450.00
Ed H Smith	Committee for Ed Smith	4367	Cook	\$ 23,750.00
Francisco Perez	Citizens for Francisco Perez	11794	Cook	\$ 1,996.68
Dick Koritz	Committee to Elect Dick Koritz State's Attny	11865	DeWitt	\$ 5,700.00
Michael S Olszewski	Citizens for Olszewski	12500	Cook	\$ 1,800.00
Steve Kim	Citizens for Steve Kim	13184	Cook	\$ 1,057.00
Freddrenna M Lyle	Citizens for Lyle	14153	Cook	\$ 2,050.00
Kent Gray	Citizens for Kent Gray	14679	Christian	\$ 1,225.00
Bob Delaney	Citizens for Delaney	14887	St Clair	\$ 450.00
David E Miller	Citizens for David E Miller	14923	Cook	\$ 800.00
Mary Beth S Tighe	Friends of Mary Beth Tighe	15121	Cook	\$ 2,350.00
David Gaughan	Citizens for Gaughan	15344	Cook	\$ 16,750.00
Eric Leys	Citizens for Leys	15682	Cook	\$ 3,800.00
David Webb	Citizens for David Webb	15753	Cook	\$ 3,275.00
Zenovia G Evans	Friends of Zenovia G Evans	16193	Cook	\$ 12,036.88
Edward J Schmit	Friends of Ed Schmit	16350	Cook	\$ 2,050.00
Laura Bertucci Smith	Friends of Laura Bertucci Smith	16606	Cook	\$ 6,075.00
Norman H Bolden	Citizens to Elect Norman H Bolden	17046	Cook	\$ 4,025.00
Carl E Officer	Citizens for Officer	17239	St Clair	\$ 675.00
George Blackburn	Citizens for Blackburn	17652	Peoria	\$ 1,950.00
Scott Christiansen	Christiansen for Chairman	17694	Winnebago	\$ 1,450.00
Dennis M Fleming	Citizens for Dennis M Fleming	18007	Cook	\$ 700.00
Terry Marketti	Friends of Terry Marketti	18102	Grundy	\$ 7,913.00
Ken Spitz	Citizens for Spitz	18183	DuPage	\$ 5,525.00
Nicholas Milissis	Citizens for Nicholas Milissis	18447		\$ 225.00
Pam Cap	Citizens for Pam Cap	18476	Cook	\$ 5,000.00
Dale Berman	Friends of Dale Berman	18528	Kane	\$ 1,450.00
David L Evans	Committee to Elect David L Evans	18808	Will	\$ 325.00
Charles H Laskonis	Citizens to Elect Charles Laskonis	18887	Winnebago	\$ 5,450.00
Chuck Phillips	Phillips for Mayor	19052	Madison	\$ 5,225.00
Linda Ramierez Sliwinski	Citizens for Linda Ramirez Sliwinski	19090	Kane	\$ 11,050.00
Michelle Chavez	Friends for Michelle Chavez	19209	Cook	\$ 100.00
Gregory Beitel	Beitel for Sheriff	19215	Ogle	\$ 211.00
Francisco Rodriguez	Citizens for Francisco Rodriguez	19234	Cook	\$ 200.00
Terry J Woelfel	Terry Woelfel for Sheriff	19398	Calhoun	\$ 650.00
Alvin L Parks Jr	Citizens to Elect Alvin Parks Jr	19743	St Clair	\$ 19,425.00
William S Hackney	Citizens for Hackney	19783	Cook	\$ 8,350.00
Marion Beck	Friends of Marion	19787	Cook	\$ 2,175.00
Bud Sous	Friends of Bud Sous	19856	Peoria	\$ 5,625.00
Todd H Stroger	Friends of Todd H Stroger for President of the	19927	Cook	\$ 17,575.00
Michelene Polk	Friends of Mickie Polk	19964	Cook	\$ 5,000.00
Aaron Del Valle	Friends for Del Valle	20013	Cook	\$ 2,600.00
Tim R Wise	Tim Wise for Henry County Sheriff	20017	Henry	\$ 1,800.00
Valerie Burd	Voters for Val	20028	Kendall	\$ 75.00
Joaquin Salamanca	Salamanca for Change	20062	Cook	\$ 30,500.00
Robert Steele	Friends of Robert Steele	20139	Cook	\$ 1,400.00
Laura Bartell	Elect Laura Bartell	20210	Cook	\$ 10,000.00
William Burch	Friends of William Burch	20254	Cook	\$ 40,500.00
John Thornton	J Thornton Sr Annual Fund-Raiser Committee	20284	St Clair	\$ 5,950.00

FORFEITURE LIST

CANDIDATE	CMTE NAME	CMTE ID	COUNTY	Fine Amount
Art Moore	Friends of Art Moore	20293	Sangamon	\$ 75.00
Robert S (Bob) Scott	Vote Bob Scott	20381	DuPage	\$ 800.00
Joseph G Bertrand Jr	Friends of Joseph G Bertrand Jr	20391	Cook	\$ 1,125.00
Robert Eastern III	Citizens to Elect Robert Eastern III	20519	St Clair	\$ 750.00
Mike Hakanson	Citizens for Mike Hakanson	20661	Winnebago	\$ 6,625.00
David W Tomlinson	Friends of Dave Tomlinson	20692	Champaign	\$ 2,150.00
Timothy A Stratton	Timothy A Stratton for State Representative	20705	Cook	\$ 150.00
Mary Beth Welch Collins	Mary Beth Welch Collins Campaign Fund	20735	Clay	\$ 9,400.00
Rod Drobinski	Citizens for Rod Drobinski	20751	Lake	\$ 10,000.00
Eloise Gerson	Friends of Eloise Gerson	20780	Cook	\$ 5,825.00
Carmen Kathlee Aguilar	Friends of Carmen Kathleen Aguilar	20794	Cook	\$ 1,050.00
Jerry Johnson	Friends of Jerry Johnson	20841	Lake	\$ 8,750.00
Thomas R Mahoney	The Committee to Elect Thomas R Mahoney	20867	Cook	\$ 75.00
Patrick J Sherlock	Citizens to Elect Patrick J Sherlock	20897	Cook	\$ 2,700.00
James Larry Karraker	Committee to Elect James Larry Karraker	20957	Union	\$ 12,700.00
Gary Nowak	Committee to Elect Gary Nowak	20964	DuPage	\$ 3,112.00
Lori DeYoung	Committee to Elect Lori DeYoung	21107	Vermilion	\$ 368.00
Dennis Barnard	Committee to Elect Dennis Barnard	21147	Macon	\$ 10,000.00
Tim Brouillet	Citizens to Elect Tim Brouillet County Recorder	21191	Kankakee	\$ 7,675.00
Christopher J Wakefield	Wakefield for Waukegan	21221	Lake	\$ 5,000.00
Avery Ware	Citizens for Avery Ware	21228	Madison	\$ 1,925.00
Curtis P Newport	Curtis P Newport for Boone County Treasurer	21337	Boone	\$ 600.00
Michael S Thornton	Committee for Thornton	21347	Logan	\$ 2,775.00
Carol L Barnes	Citizens to Elect Carol L Barnes	21387	Cook	\$ 4,975.00
Frank Craig	Citizens for Frank Craig	21439	Kane	\$ 450.00
Jesse Dabson	Citizens to Elect Jesse Dabson	21452	Winnebago	\$ 2,625.00
Diane H Seiler	Citizens to Elect Diane Seiler	21566	Will	\$ 5,175.00
Peter S Scordato	Citizens for Scordato	21595	Winnebago	\$ 1,550.00
A J Pearson III	Friends of A J Pearson	21638	Cook	\$ 150.00
Jeff Bradley	Jeff Bradley	21651	Cook	\$ 825.00
Deyon Dean	Citizens for Deyon Dean	21676	Cook	\$ 15,000.00
Joseph E Watrach	Citizens for Joseph E Watrach	21778	Cook	\$ 350.00
Jim Wise	Citizens for Jim Wise	21786	Boone	\$ 4,525.00
Michael V Ledonne	Friends for Ledonne	21802	DuPage	\$ 425.00
Mark Bonne	Mark Bonne for Alderman	21818	Winnebago	\$ 1,550.00
Tyler Smith	Citizens for Tyler Smith	21819	Winnebago	\$ 5,125.00
Stephen Hoke	Vote for Hoke-Org	21922	Cook	\$ 2,950.00
Ryan "Todd" Weihofen	Citizens for Ryan "Todd" Weihofen	21958	Lake	\$ 325.00
Ernesto E Mickens, Jr	The Dolton South Suburban Party	21989	Cook	\$ 12,880.00
Anthony Castrogiovanni	Citizens for Castrogiovanni	22044	Cook	\$ 9,000.00
Dr Israel Garth Richardson	Working People's Campaign Comm for	22087	Cook	\$ 2,225.00
James Hickey	Citizens to Elect Jim Hickey	22089	Cook	\$ 1,335.00
John Bracamontes	Friends of John Bracamontes	22106	Madison	\$ 1,400.00
Tracy A McLeMore	The Committee to Elect Tracy A McLeMore	22122	Cook	\$ 5,000.00
Joseph W Stefani	Citizens for Stefani	22167	Cook	\$ 5,400.00
Leo C Watkins	Friends of Leo C Watkins	22184	Cook	\$ 4,800.00
Lisa R Pierce	People for Pierce	22190	Sangamon	\$ 4,750.00
Antoine Collins	Citizens for Collins	22191	Cook	\$ 1,913.00
Michelle Huggis Flagg	Committee to Elect Michelle Huggis Flagg	22202	Cook	\$ 275.00
Geary W Kull	Friends of Judge Geary Kull	22225	Cook	\$ 450.00

FORFEITURE LIST

CANDIDATE	CMTE NAME	CMTE ID	COUNTY	Fine Amount
Andre J Smith Sr	The Friends of Andre J Smith Sr	22230	Cook	\$ 10,100.00
Zane R Seipler	Citizens for Zane Seipler	22259	McHenry	\$ 3,450.00
Wallace Davis III	Citizens to Elect Wallace Davis III	22266	Cook	\$ 700.00
Art Smigielski	Friends to Elect Art Judge	22268	Will	\$ 3,800.00
Davis Harris	Friends for Harris	22270	Cook	\$ 1,608.00
Dan Collier	Collier 4 Sheriff	22306	Henry	\$ 255.00
Josip "Joe" Trutin	Citizens for Trutin	22319	Cook	\$ 1,350.00
Nicholas W Karas	Citizens to Elect Nicholas W Karas	22362	Cook	\$ 4,675.00
Sylvester E Baker, Jr	Baker for Change	22384	Cook	\$ 19,725.00
Joseph W Sneed	Friends of Joseph W Sneed	22422	Cook	\$ 1,325.00
Marla Jean Wilson	Friends of Marla Wilson	22494	Winnebago	\$ 7,055.33
Dan Lindsey	Dan Lindsey for State Representative	22496	Winnebago	\$ 2,175.00
Ubi O'Neal	Citizens for Ubi O'Neal	22504	Cook	\$ 1,950.00
Charles Francis Fitzgerald	Fitzgerald for Judge	22534	Cook	\$ 100.00
Kevin J Murphy	Friends to Elect Kevin J Murphy	22537	Cook	\$ 600.00
Frank McPartlin	Friends of Frank McPartlin	22548	Cook	\$ 10,000.00
Michael Adelizii	Citizens for Adelizzi	22565	Cook	\$ 350.00
Linda Healy	Friends of Linda Healy	22602	Kane	\$ 725.00
Gay Johnson	Friends of Gay Johnson	22651	Knox	\$ 1,875.00
Patricia A Walton	Citizens to Elect Judge Patricia A Walton	22655	McDonough	\$ 2,675.00
Angel Garcia	Citizens for Angel Garcia	22668	Cook	\$ 150.00
Ron Marx	Friends of Ron Marx	22701	Grundy	\$ 2,292.00
Donald Blair	Citizens for Blair	22735	Cook	\$ 14,500.00
Sharon Oden Johnson	Citizens for Sharon Oden Johnson	22754	Cook	\$ 950.00
Sherman C Jones*	Friends of Sherman C Jones	22764	Cook	\$ 11,100.00
Tom Brust	Friends to Elect Avon One	22766	Lake	\$ 5,500.00
Mary Ann "Molly" Phelan	Friends of Molly Phelan	22778	Cook	\$ 100.00
Rafael A Vargas	Vargas for 43	22786	Cook	\$ 1,400.00
Emily Stewart	Citizens for Stewart	22911	Cook	\$ 350.00
Luke Parr	Citizens for Luke Parr	22937	Cumberland	\$ 150.00
Sondra R (Sam) Spellman	Citizens to Elect Sondra (Sam) Spellman	22938	Cook	\$ 2,425.00
Tom Rooney	Rooney's Bus	22942	Cook	\$ 3,825.00
Wendell Mosby	Friends of Wendell Mosby	22944	Cook	\$ 125.00
Carol Moseley Braun*	Carol for Chicago	22987	Cook	\$ 750.00
David L George	Friends of David L George	23008	Madison	\$ 1,100.00
Charles "Chuck" Givines	Friends of Charles "Chuck" Givines	23028	Cook	\$ 690.00
Sam Perks	Citizens for Sam Perks	23034	Madison	\$ 261.00
Sylvia Y Jones	Friends of Sylvia Y Jones	23044	Cook	\$ 2,625.00
Michael A Smith	Friends of Michael A Smith	23064	Cook	\$ 1,550.00
Danny K Davis	Davis for Mayor	23097	Cook	\$ 1,437.00
David Moore	Citizens to Elect David Moore	23127	Cook	\$ 200.00
Miek Coffey, Jr	Friends for Coffey	23128	Springfield	\$ 937.00
Enrique G Perez	Friends of Enrique	23130	Cook	\$ 500.00
Sheila Stocks-Smith	Friends of Stocks Smith	23142	Sangamon	\$ 2,170.00
Glenn Ross	The Friends of Glenn Ross	23288	Cook	\$ 161.00
Brian Murphy	Friends for Brian Murphy	23294	Cook	\$ 162.00
Karen Selman	Karen Selman for Barrington Hills Village Trustee	23547	Cook	\$ 850.00
Patty Meroni	Patty Meroni for Barrington Hills Village Trustee	23548	Cook	\$ 850.00
Joe Messer	Joe Messer for Barrington Hills Village Trustee	23549	Cook	\$ 850.00
Andrew R Timms	Friends to Elect Andrew R Timms	23693	Champaign	\$ 18,975.00

STATE BOARD OF ELECTIONS

1020 South Spring Street

Springfield, Illinois 62704

217/782-4141

Sharon Steward, Director, Campaign Disclosure Division

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date:

The following committees have made payment of outstanding civil penalties for the period of 11/9/11 to ??.

Cmte ID	Cmte Name	Amt of Check	
22127	Citizens for Jeffrey L. Junkas	\$1,125.00	credit card
23589	No Tax Party	\$235.00	
22175	Friends of Jim Moody	\$200.00	
22494	Friends of Marla Wilson	\$2,000.00	
18437	Friends of Jerry Marzullo	\$100.00	
22102	7th Ward Independent Political Organization	\$2,500.00	
16029	Friends of Montelongo	\$1,316.00	
326	Illinois NOW PAC	\$150.00	
4250	Illinois Interior Design Coalition	\$704.00	
22696	Friends of Mayor Charles Griffin	\$1,850.00	
22490	Committee to Elect Michael Brendan Barrett	\$500.00	
22976	Neighbors for Harry Osterman	\$325.00	
19898	Citizens for Waguespack	\$299.00	credit card
21991	Citizens for Dale Adams	\$250.00	
9774	Naperville Unit PAC for Education District 203 (NUPAC)	\$75.00	
22875	Friends of Roderick T Sawyer	\$1,050.00	
317	Sangamon County Democratic Central Committee	\$250.00	
23112	Citizens for Ervin	\$900.00	
18190	Friends of Dr Chris Wangard	\$200.00	
19105	E6 PAC	\$200.00	
4250	Accounting Associates	\$704.00	
20051	Friends of Kenny Johnson	\$7,600.00	credit card
14501 / 19733	Friends of John Pope / Citizens to Re-elect John Pope	\$10,485.00	
374	Wood River Twp Democratic Precinct	\$150.00	
23316	Friends of Richard Burkhart	\$375.00	
18410	Friends of Mike Jacobs	\$50.00	
23214	Patricia Horton for City Clerk	\$981.00	
21432	Friends of Charles Lyons	\$100.00	credit card
14853	Sheriffs of Illinois PAC	\$418.00	
Total Amount Paid for this Period:		\$35,092.00	

Year to Date Totals:

1/8/11 – 2/10/11: \$8511

2/11/11 – 3/10/11: \$7592

3/10/11 – 4/6/11: \$3100

4/7/11 – 5/11/11: \$22506.63

6/3/11 – 6/20/11: \$8481

6/21/11 -- 8/4/11: \$35,342.50

8/5/11 - 9/7/11: \$11,192.45

9/8/11 - 10/4/11: \$30071.23

10/4/11 - 11/8/11: \$30,272.32

11/9/11 - 12/7/11: \$35,092

Payment Plan update

Cook County Democratic Women* (failure to comply with payment plan board order)

Friends of Marla Wilson

State Board of Elections



From the desk of.....Jane Gasperin, Acting Director of Election Information

Phone: 217-782-1555

Email: jgasperin@elections.il.gov

To: Rupert Borgsmiller
Re: November 28 through December 5 Filing Period
Date: December 7, 2011

The November 28 through December 5 filing period was one of the most successful ever despite numerous challenges. Staff received many compliments for conducting an efficient process and for treating filers with courtesy, compassion, and respect. The success is the culmination of several months of planning, excellent procedures, an aggressive training program for staff, and exemplary performance by each staff member. Recognition and appreciation of staff is in order for their outstanding performance.

A total of 610 petitions were filed with 398 (370 8:00 AM filers) being filed on the first day. The first two filers lined up between 9:00 – 9:30 AM on Friday, November 25th; on the 28th the line extended north along Spring Street and east along Scarritt Street to the alley. All 8:00 AM filers were processed by 10:15 AM on the first day.

Staff performance on the last day of the filing period was great. The number (133) of petitions filed on December 5 was conducted flawlessly and simultaneously with petition viewing, scanning, filling CD orders and voter searches. Again, staff should be recognized and commended for this tremendous accomplishment.

Six scanning stations were set up with one staff person and one temporary employee assigned to each. In addition, all petitions must be inventoried and discrepancies logged each time a petition is disassembled, which is a very labor intensive and time consuming process. Many staff members worked until 11:30 PM on the first day of filing in order to get scanning done, and to fill all orders that had been placed for copies. In addition to the staff at the six scanning stations, 13 staff members stayed late to help with counting and processing the petitions, many of whom also stayed until 11:30 PM. On the last day of filing, December 5th, all 133 petitions were scanned onto the network, and all orders were processed by 5:30 PM.

To give you some idea of the volume of work performed during this time, 610 petitions were filed, consisting of 114,314 pages. Copy requests were completed each day, with staff copying until 11:30 PM on November 28th due to the large number of petitions requested that day. Copy requests were not accepted until 3:00 PM the first day to allow IT staff time to install necessary equipment. Springfield staff made sure all petitions were scanned for the orders that were requested on November 28th from the Springfield and Chicago offices. Springfield staff filled orders Monday night for pickup 8:00 AM Tuesday morning. Chicago staff filled orders first thing Tuesday morning for early afternoon pickup.

The following is a breakdown of Copy Requests (as of 8:00 AM on 12/6/2011):

	Springfield	Chicago	Total
CD Copy Requests	298	338	636
Print Copy Requests	18	5	23
Total Copy Requests	316	343	659
Petition Viewers	58	-	58
Credit Card Payments	43	52	95

Judges Schools GP 2012

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, January 17, 2012	Aurora	3	0	Four
Wednesday, January 18, 2012	Aurora	3	0	Four
Thursday, January 19, 2012	Aurora	3	0	Four
Friday, January 20, 2012	Aurora	3		Four
Thursday, January 26, 2012	Woodford	2	0	Three
Friday, January 27, 2012	Kendall	1	0	Four
Thursday, February 02, 2012	Saline	2	0	One
Tuesday, February 07, 2012	Coles	3	0	Three
Wednesday, February 15, 2012	Hardin	1	0	One
Wednesday, February 15, 2012	Lawrence	2	0	One
Wednesday, February 15, 2012	Pope	1	0	One
Thursday, February 16, 2012	Massac	2	0	One
Tuesday, February 21, 2012	Hamilton	2	0	One
Tuesday, February 21, 2012	Menard	2	0	Two
Wednesday, February 22, 2012	Gallatin	2	0	One
Wednesday, February 22, 2012	Montgomery	3	0	Two
Thursday, February 23, 2012	Pulaski	1	0	One
Tuesday, February 28, 2012	Monroe	3	0	One
Wednesday, February 29, 2012	Monroe	4	0	One
Thursday, March 01, 2012	Fayette	2	0	One
Tuesday, March 06, 2012	Effingham	3	0	One
Tuesday, March 06, 2012	Marion	3	0	One
Wednesday, March 07, 2012	Effingham	3	0	One
Wednesday, March 07, 2012	Franklin	2	0	One
Thursday, March 08, 2012	Franklin	1	0	One
Tuesday, March 13, 2012	Williamson	3	0	One

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: February 2012 Board Meeting

DATE: December 5, 2011

We have learned that the shared videoconferencing equipment in Chicago will only be available from 9:00 a.m. – 1:30 p.m. for the February 22, 2012 Board meeting. Therefore, I propose we change the meeting time to 9:00 a.m. to accommodate this timeframe.

STATE BOARD OF ELECTIONS

MEMORANDUM

*From the desk of:
Rupert T. Borgsmiller, Executive Director*

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: COGEL

DATE: December 9, 2011

I attended the Council on Governmental Ethics Laws (COGEL) Conference in Nashville, Tennessee on December 4-7, 2011 as well as Sharon Steward and Andy Nauman from our Campaign Disclosure Division. The conference was very informational and it was good to network with the other jurisdictions to pick their brains to ensure that we are proceeding in the right direction with our various programs. The conference focuses on Campaign Financing/Disclosure, Ethics, FOIA and Elections. This conference includes all levels of administrators from municipal to state and federal level and as well as academics. Our Canadian counterparts also attend and host the conference as well. Finally, there are participants from foreign countries. Attached please find the conference Agenda and I will answer any questions that you may have regarding the conference.

COGEL 2011 CONFERENCE AGENDA

SUNDAY, DECEMBER 4

9:30 am - 12:00 pm

Electronic Filing Roundtable

This interactive session will discuss electronic filing systems for campaign finance, conflicts of interest and lobbying. Participants will be encouraged to share what is happening in their jurisdictions, and innovations they have undertaken to improve electronic filing programs and public disclosure.

1:30 pm - 3:00 pm

Local Agency Roundtable

This session will provide a forum for local ethics, campaign finance, disclosure and lobbying agencies to compare notes on the issues faced in administering and enforcing laws at the local level. An active discussion format will enable attendees to explore common ground, share best practices, and help each other make a positive difference in the work of their own local agencies.

International Perspectives Roundtable

The International Perspectives Roundtable is planned as an unstructured discussion among attendees of experiences and involvement in international activities over the past year. All COGEL delegates are invited to attend the discussion.

Vanderbilt University & Area Self-Guided Tour

Visit the conference registration table to receive a map of the Loews Hotel area and Vanderbilt University campus. We encourage you to take a self-guided tour of the campus beginning with Vanderbilt's Alumni Lawn and ending at the The Wall/Sarratt Student Center/Rand Dining Hall/Bookstore.

3:15 pm - 4:15 pm

The Kay Williams First Timers Session

What is COGEL? How long has COGEL been around and how did it get started? How can it make your professional life easier? What is the Steering Committee and why is it important to the organization? If this is your first COGEL conference, you're new to the job, or you just want to know who and what makes COGEL work, then this is a session you don't want to miss. Ask those questions that everyone in the room has; we'll try to answer them for you in a friendly forum. It's not easy to be the new kid in the room; we'll make you comfortable.

MONDAY, DECEMBER 5

8:45 am - 10:25 am

Plenary Session

Welcome to the 33rd Annual Conference

- ❖ **Theresa Kirk**, 2011 COGEL President, Executive Director, West Virginia Ethics Commission

“What’s Working for You?” – An Interactive Session

Why are you attending this conference? To learn more about your field, to enhance your professional skills, and to meet new people who are facing the same challenges you are, of course! The first plenary of the 2011 COGEL conference will kick off with an entertaining and interactive session that will allow attendees to share what’s working for them. Please come prepared to report in one minute or less “what’s working for you.”

10:25 am - 11:55 am

Ethics Update

This traditional session consists of a survey and discussion of developments and trends in ethics and conflicts of interest legislation, litigation and recent advisory issues since the last COGEL Conference.

Freedom of Information – The Ethics of Using Social Media

E-mail, was going to make governing easier and make access as simple as a push of a button. Has that happened? Using e-mail and other social media also has raised ethical considerations attached to their use. The panel will explore these topics in depth.

Redistricting Models

[to be announced]

Independent Expenditures – disclosure – “World of Independent Expenditures”

[to be announced]

The Ins and Outs of Online Public Disclosure

Many agencies are engaged in some form of online public disclosure—campaign finance data, lobbyist information, financial disclosure—and we can all use some advice on making it available in ways that engage the web-browsing public. What are the characteristics of excellent online public disclosure? “Just getting it up on the web” doesn’t cut it in 2011. This session starts with a look at some of the best state campaign finance websites and what they are doing right, followed by a behind-the-scenes look at developing and implementing an online submission process for collection of data from Kentucky lobbyists, which streamlined the process for the regulated community as well as for KLEC. The rest of this session will be a roundtable discussion between the audience and the panelists. Bring your experiences, challenges, mandates, and aspirations for leading-edge online disclosure to the table for a lively discussion on best practices and how to get there.

MONDAY, DECEMBER 5

12:00 pm - 1:30 pm

Plenary Luncheon

Speaker

- ❖ **John Avlon**, Author and Senior Political Columnist for Newsweek and the Daily Beast, CNN contributor (<http://johnavlon.com>)
-

1:45 pm - 3:15 pm

Freedom of Information (FOI) Legislation and Litigation Update

This session is a moderated discussion that will explore legislative and litigation developments from the past year involving freedom of information. It will present a state-by-state report on the issues and allow ample time for the audience to exchange information and insights from their own jurisdictions concerning government access.

Campaign Finance Update I – Litigation

This must-attend panel will lead an interactive discussion of significant developments in campaign finance litigation in the U.S. and Canada at the various levels of government. The discussion will feature David Kolker, Associate General Counsel for Litigation of the FEC, who will share his unique perspective on the latest federal developments.

Keeping All Hands on Deck in a Budgetary Tidal Wave: Motivating and Engaging Your Crew and Keeping the Ship Afloat in Difficult Budgetary Times

Facing a tidal wave of unprecedented budget cuts, organizations must explore new ways to engage and motivate employees faced with layoffs, furloughs, travel and training reductions, and a myriad of other cost saving strategies. How do you maintain the level of staff morale necessary to accomplish your mission while being forced to cut your budget in ways that affect each and every staff member? Panelists will highlight a variety of management tools and strategies they have successfully used to engage, reward and motivate employees despite the tough budgetary climate. Audience members will be encouraged to share non-traditional motivational strategies and success stories.

Higher Education and the Real World of Governance

Can we provide enhanced value to the public in ethics/elections practitioners working collaboratively with academics to advance agency mission? What benefits/challenges do academics see to their research or educational endeavors in jointly working with public sector governance agencies? Is time spent in joint endeavors cost-effective for overwhelmed public agencies or overwhelmed educators?

Enforcement Challenges: What Works? Are Fines Fine? Are They Worth the Cost? Should They Be Confidential?

There are different approaches to penalties among ethics, campaign financing and lobbying regulators and agencies with enforcement authority. Some can levy fines—significant fines, at that—others can merely recommend appropriate disciplinary sanctions, up to discharge, or suspend lobbyist registration—and still others can recommend criminal charges and cooperate in bringing them. What are the challenges facing agencies in collecting fines levied? Must they go to court? Must they petition their law departments to do so? Is that worth the cost? Are there other ways to enforce penalties and collect fines? What are the successes and challenges other jurisdictions have faced? What advice can experienced enforcement practitioners in the field offer you, or your lawmakers?

MONDAY, DECEMBER 5

3:30 pm - 4:45 pm

Dealing with Your Legislature

In order for agencies to be effective they must work with their legislators. Whether it is changes in legislation, explaining the enforcement of existing statutes or dealing with budget issues, agency personnel must “Deal with Their Legislature”. This will be an open panel discussion of the issues faced by both sides of the fence.

Board, Commissions and Staff: Who is In Charge Here?

Most agencies have stable staffs and ever-changing board members. Executive Directors are faced with challenges in “on-boarding” new members – how do you bring a new member up to speed, how much background information does a new member need? Executive Directors must also work well with multi-member (and often divided) Boards, maintain good staff/board relations, facilitate good relations among the members, deal with micro-managing board members or members who have their own agenda which may conflict with the mission of the agency. Board members must also deal with Executive Directors and senior staff who are entrenched in the “old way” of doing things, and are not open to new ideas or suggestions.

A Peek Behind the Curtain – Corporate Compliance

This interactive panel will examine how effective corporate compliance programs are structured and how companies can work with regulators to achieve the common goal of compliance with ethics and election laws. Among the issues addressed will be the challenges companies and regulators face when confronted with ambiguous laws, and how companies approach (and what regulators look for with respect to) compliance with laws that impact non-employees (e.g., outside directors and outside consultants) or large numbers of employees.

Constitutional Challenges to Ethics Laws

[to be announced]

TUESDAY, DECEMBER 6

9:00 am - 10:00 am

Plenary Session

Speaker

- ❖ **Charles E.M. Kolb**, President, Committee for Economic Development (<http://ced.org>)
-

10:15 am - 11:45 am

Campaign Finance Update II - Legislation

The panel will lead a lively and interactive discussion of important developments and trends in campaign finance legislation in the U.S. and Canada at the various levels of government. The panel will present the popular COGEL Blue Book with important updates on campaign finance activity on the federal, state and local level in the United States and in Canada, with audience participation.

Freedom of Information - "Open Data"

Across North America, governments of all levels are implementing "Open Data" policies, whereby they pledge to make relevant information quickly and routinely available. This includes posting whole data sets by which researchers and members of the public can sort. It also means routinely publicly posting the results of freedom of information requests at the same time they provide the records to the requester. Open Data policies have come under attack by both the media and transparent government advocates, who claim these policies have the ability to diminish openness, rather than increase it. Hear from two seasoned regulators how open data has evolved, the incentives to implement them and the unintended consequences these policies may have on calling governments to account.

Implications of Extending Lobbying, Pay-to-Play, and Gift Laws to Contractors

The panel will address the unique ethics and election laws aimed at businesses with government contracts, including pay-to-play, lobbying, and gift and entertainment laws. What are the positive and negative implications of these laws? Are these laws achieving their purpose or should they go further? To what extent do these laws overreach or strike an unintended target?

Mainstream Media Engagement - "Get Ahead of the Crisis"

This session will cover how to engage local media and ensure your agency's mission is understood, as well as how to share data and information to members of the press. It will also discuss how to anticipate and respond to local, state, and federal decisions made at the judicial and legislative levels.

Performance Metrics Roundtable

More and more governments are looking for metrics and the use of data to demonstrate agency accomplishments. You will be energized, inspired and informed by a short video of Hans Rosling and "The Best Stats You've Ever Seen." After the video, please bring your experiences and your questions. This roundtable will be audience driven.

TUESDAY, DECEMBER 6

1:45 pm - 3:15 pm

Lobbying Legislation and Litigation Update

An annual review and discussion of significant developments in legislation and litigation affecting lobbyist registration, reporting, and regulation in the United States and Canada.

Elections Update: Current Trends in Legislation and Litigation

Electoral legislation, including voter identification rules, voting opportunities and legislation enabling the use of technology, continues to evolve. Panelists will discuss current trends in electoral legislation and litigation in the United States and Canada and the current legal issues regarding election administration in both countries.

Freedom of Information – Delays, Excuses – Solutions?

The panel discussion will focus on compliance delays. Why do agencies seem to take longer and longer to do what they are required to do? We will tell some nightmare stories, explore the various reasons for delay – be they economic, political, bureaucratic, or otherwise. We will also discuss possible solutions. Come and share your tales of woe!

What's Going on Inside the Office – Are You Managing the Data Your Agency Collects or is it Managing You?

As agencies move deeper into the electronic age, are you getting the most out of the data you collect? Does your Agency use the data it collects other than to simply post it on the website? What do you do with your information? How do you use today's technology? Our panelists guide you through the minefield of data overload, while explaining how innovative electronic processes can assist in investigations. They will demonstrate an application to ensure that Agency staff is providing consistent and accurate advice to the public. Moving forward, the session will also focus on the goal of the paperless office and what it takes to achieve it.

Maximize Participation Through Use of Technology and New Media

What is your Agency's digital roadmap? How can your agency use technology and new media to effectively connect with candidates, voters, public employees, and of course, the public? How can the public and private sector use online tools to leverage the cost of elections? Where there is a constant feed of information, learn and discuss how to elevate your Agency's conversation using Twitter, Facebook, Tumblr, and text messaging.

3:30 pm - 4:45 pm

Plenary Session

Speaker

- ❖ **Richard Daft**, Professor of Management, Vanderbilt University
Professional Development: *Leadership – "The Executive and the Elephant"*
-

WEDNESDAY, DECEMBER 7

9:15 am - 10:30 am

Freedom of Information Roundtable

This session is your opportunity to join a lively and wide-ranging discussion about emerging government access issues. Join your colleagues from all COGEL disciplines in this roundtable into freedom of information and how we make it work.

Now What? Leadership Lessons for Transitional Times

The times, they are a'changing! Agencies facing transitional times with new fiscal realities, new laws, and/or new leadership must adapt quickly in order to survive and thrive. How do you manage the present and look toward the future when everything around you seems to be changing? This facilitated discussion will allow attendees to share insights and advice with peers in an informal setting. There will be no long presentations at this session, just an opportunity to ask questions, get answers and be able to take home some practical tips, strategies, and encouragement to help you be an even more effective leader in the year ahead!

What Does an Ethical Agency Look Like?

[to be announced]

10:45 am - 12:00 pm

Engage & Educate - Next Steps in Interactive Training

An engaging and interactive curriculum can make all the difference when providing training regarding ethical standards of conduct, campaign finance or public records. Join us for an informal and stimulating dialogue regarding new and innovative methods to reach audiences with your agency's message. Topics such as E-Learning and new trends in training will be explored.

Round Up: Regulating Campaign and Lobby Grassroots Activity

At what point does free speech become regulated activity, is it grassroots or campaign, touch on new media regulation as part of this

Memorandum

*From the desk of...Cristina Cray, Director of Legislation
Phone: 217-782-1577
Email address: ccray@elections.il.gov*

To: Executive Director Borgsmiller
Subject: Legislative Agenda for Spring 2012
Date: December 1, 2011

Following please find a brief synopsis of potential legislation for the Spring 2012 Session. All legislation will be drafted internally and then given to the Legal Department for review. As the next two months are extremely busy for our Legal Department, I would like to give them as much time as possible for this review. Therefore, if we may present this memo to the Board at the December meeting, ask for their comments and suggestions at that time, it would give our Legal Department until January 26th (deadline for LRB requests) for the review.

Potential Legislation:

Miscellaneous clean-up in Article 9. Public Act 96-0832 (SB 1466) established contribution limits and made changes with respect to the disclosure and regulation of campaign finance in Illinois. This legislation simply cleans-up provisions in Article 9 that are no longer needed and were simply overlooked in the drafting of SB 1466. Nothing controversial. This legislation was suggested by Sharon Steward.

Raising the maximum number of voters per precinct. This legislation would raise the maximum number of voters per precinct from the current number of 800 to 1,200. One concern may be that Cook and Chicago precinct committeemen may be affected by downsizing. We have given this idea to the House Democrat Caucus and they recommended we try it this year. This legislation was suggested by the Clerk's Advisory Board.

Raise the minimum amount to form a political committee. We would propose legislation to raise the minimum amount to form a political committee from the current amount of \$3,000 up to \$5,000; and in future years escalating the amount up to \$7,500. This legislation is a suggestion of Chairman McGuffage.

Statewide Petitions. At the request of Eric Donnewald, we will draft legislation to amend 28-12 of the Election Code. The words, "constitutional amendment" were inadvertently left in during the drafting of Public Act 97-0081 (Senate Bill 1586).

Eliminate one hour of voting. In the age of early voting, draft legislation to eliminate one hour of voting on Election Day. New voting hours will be from 6:00 am to 6:00 pm. This legislation was at the suggestion of Chairman McGuffage and was presented to the local election authorities at their annual conference in September.

Extend the seven day calendar for complaints filed within 60 days prior to an election. At the request of Chairman McGuffage, we will introduce legislation to extend the seven day calendar.

All comments and suggestions are greatly appreciated. Thank you.

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.state.il.us

To: Executive Director Borgsmiller,
Chairman McGuffage, Vice Chairman Smart, Members of the Board

Subject: Supplemental Legislation

Date: December 6, 2011

Please be advised that the General Assembly has passed Senate Bill 2412.

Included in House Amendment #2 is additional monies for the Illinois Voter Registration System and Election Day Judges. The bill passed out of the House by a vote of 92-20 and in the Senate by a vote of 50-5. It has an immediate effective date.

We are currently waiting for the legislation to be sent to the Governor for his signature.

I will keep you posted when the legislation is sent.

Thank you.

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Chairman McGuffage
Vice Chairman Smart

Subject: Campaign Finance Reform Task Force

Date: November 29, 2011

The Illinois Campaign Finance Task Force held its first Public Hearing on Public Financing of campaigns on Monday, November 28 in Springfield. The working draft report outline is attached.

This is the first of two public hearings to be held in the state; the second to be held on Thursday, December 15th in Chicago. A supplemental memo regarding the hearing will be sent under separate cover.

Three individuals provided testimony at the Springfield hearing: State Representative Elaine Nekritz, David Morrison with the Illinois Campaign for Political Reform (ICPR) and Kent Redfield, Professor Emeritus, University of Illinois at Springfield. All three spoke in support of public financing of political campaigns. Mr. Morrison provided written testimony; a copy of which can be provided upon request.

A final report on public financing is due to the General Assembly before December 31, 2011.

Thank you.

Attachments

State Board of Elections
1020 South Spring Street
Springfield, IL 62704



Office of the Governor of Illinois

JRTC, 100 WEST RANDOLPH, SUITE 16-100
CHICAGO, ILLINOIS 60601

Illinois Campaign Finance Reform Task Force

Draft Outline for Public Hearing of The Task Force's Working Draft Report on Public Campaign Finance

- I. Introduction
 - A. Acknowledge the Issue, the Governor, and the General Assembly
- II. Enabling Legislation
- III. Overview
 - A. Purpose of Task Force and Report
 - B. Required Considerations
 1. Campaign Fundraising and Expenditure Statistics and the Disparity Thereof
 2. Independent Expenditures as well as Fundraising and Expenditures from Political Parties
 3. Current Jurisprudence Regarding Public Campaign Finance
 4. Other Factors Related to Public Financing as Determined by the Task Force
- IV. Background Information on Public Campaign Finance
 - A. History and Development of Public Campaign Finance
 1. General Explanation of Public Financing and How it Works
 2. Development of Public Financing in America
 3. Two Primary Types of Public Finance Systems Used in Other Jurisdictions
 - a) Comprehensive — Candidates Use Only Public Funds
 - b) Hybrid — Candidates Can Fundraise in A Limited Manner

4. Typical Requirements, Conditions, and Funding Methods in Public Finance

B. Public Campaign Finance Systems Adopted in Other States

1. Table 1 Statistics and Commentary — States with Public Financing Systems

- a) Discussion of Comprehensive Systems — Connecticut, North Carolina, Nebraska, Maine
- b) Discussion of Hybrid Systems — Florida, Hawaii, Maryland, Massachusetts, New Jersey, New York City, Wisconsin

Table 1. Candidate Public Financing Programs

<u>State</u>	<u>Candidates Eligible</u>	<u>Type of Program</u>	<u>Full/Partial Funding</u>
Arizona	All statewide offices; Legislature	Clean Elections	Full
Connecticut	All statewide offices; Legislature	Clean Elections	Full
Florida	Governor; Cabinet members	Matching grants	Partial
Hawaii	Governor; Lt. Governor; Off. Hawaiian Affairs	Matching grants	Partial
Maine	Governor; Legislature	Clean Elections	Full
Maryland	Governor; Lt. Governor	Matching grants	Partial
Massachusetts	All statewide offices	Matching grants	Partial
Michigan	Governor	Matching grants & fixed subsidy	Partial
Minnesota	All statewide offices; Legislature	Fixed subsidy	Partial
Nebraska	All statewide offices; Legislature	Matching grants	Partial
New Jersey	Governor	Matching grants	Partial

	Pilot Program for Select legislative districts (2008)	Clean Elections	Full
New Mexico	Public Regulation Commission; Statewide judicial offices;	Clean Elections	Full
North Carolina	Judicial offices; Auditor; School Superintendent; Insurance Commissioner	Clean Elections	Full
Rhode Island	All statewide offices	Matching grants	Partial
Vermont	Governor; Lt. Governor	Clean Elections	Full
Wisconsin (abolished in 2011)	All statewide offices; State Supreme Court; Legislature	Fixed subsidy	Partial

2. Table 2 Statistics and Commentary — Public Finance Systems with Public Grants to Political Parties and Funding Methods

- a) Discussion of Minnesota — Grant to Party; Funded by Tax Return Check-Box; the State’s Hybrid System; Release Provision for Publicly-Financed Candidates
- b) Discussion of New Mexico — Grant to Party; Funded by Tax Add-On; the State’s Hybrid System; Formula for Calculating Public Grant to Candidate
- c) Discussion of Rhode Island — Funded by Tax Return Check-Box; Funds from Check-Box Divided by Party and the Public Finance Fund; the State’s Hybrid System; Free Air-Time on Public Access Television and Radio

<u>Table 2. Tax Refunds, Credits, and Deductions for Political Contributions</u>	
<u>State</u>	<u>Description</u>
Arizona	Income tax credit of up to \$640 (adjusted 2009 amount) or 20% of tax amount, whichever is higher, for voluntary donations to the Clean Elections Fund
Arkansas	\$50 credit against state income taxes allowed for contributions to candidates, PACs, and parties

Hawaii	\$500 income tax deduction for contributions of \$100 or less to candidates who agree to adhere to spending limits, to a party central committee, or county committee
Minnesota	\$50 per year refund for contributions to political parties and candidates who agree to spending limits
Montana	\$100 per year income tax deduction for political contributions
Ohio	\$50 credit against state income taxes owed for contributions to candidates
Oklahoma	\$100 per year income tax deduction for contributions to a candidate or political party
Oregon	Income tax credit equal to the lesser of \$50 or the tax liability of the taxpayer for contributions to major or minor parties, party committees, candidates who agree to spending limits, political committees organized and operated exclusively to support or oppose ballot measures or questions to be voted upon in the state
Virginia	Income tax credit equal to 50% of the amount contributed to a local or state candidate; the maximum credit is \$25

3. Table 3 Statistics and Commentary — Public Finance Systems with Tax Expenditures for Political Contributions

<u>Table 3. Public Grants to Political Parties</u>		
<u>State</u>	<u>Funding Source</u>	<u>Grant Recipient</u>
Arizona	\$2, \$5, or \$10 tax add-on	Political party specified by taxpayer
Idaho	\$1 income tax check-off	Political party specified by taxpayer
Iowa	\$1.50 income tax check-off	Political party specified by taxpayer
Minnesota	\$5 income tax check-off	Political party specified by taxpayer
New Mexico	\$2 tax add-on	Political party specified by taxpayer
North Carolina	\$1 income tax check-off	Political party specified by taxpayer
Ohio	\$1 income tax check-off	Divided equally among qualified parties
Rhode Island	\$5 income tax check-off	First \$2 to political party specified by taxpayer; remaining \$3 to candidate fund
Utah	\$2 income tax check-off	Political party specified by taxpayer
Virginia	\$25 tax add-on	Political party specified by taxpayer

4. Table 4 — Complete Overview of Public Financing Programs in Other Jurisdictions

Table 4. Overview of State Public Financing Programs

	<u>Funds to Candidates</u>				<u>Public Funds Parties</u>	<u>Tax Refund, Credit, or Deduction to Donors</u>
	<u>Partial Public Financing</u>		<u>Comprehensive Public Financing</u>			
	<u>Statewide</u>	<u>Legislative</u>	<u>Statewide</u>	<u>Legislative</u>		
Arizona			X	X	X	X
Arkansas						X
Connecticut			X	X		
Florida	X					
Hawaii	X	X				X
Idaho					X	
Iowa					X	
Maine			X	X		
Maryland	X					
Massachusetts	X					
Michigan	X					
Minnesota	X	X			X	X
Montana						X
Nebraska	X	X				
New Jersey	X			X		
New Mexico			X		X	
North Carolina			X		X	
Ohio					X	X
Oklahoma						X
Oregon						X
Rhode Island	X				X	
Utah					X	
Vermont			X			
Virginia					X	X
Wisconsin (abolished in	X	X				

2011)						
<u>25 TOTAL</u>	<u>10 States</u>	<u>4 States</u>	<u>6 States</u>	<u>4 States</u>	<u>10 States</u>	<u>9 States</u>

C. Public Campaign Finance in Illinois

1. SB938, 83rd General Assembly — Public Financing of Gubernatorial Campaigns
 - a) Governor Thompson’s Veto Message to SB938
2. SB222, 95th General Assembly — “The Judicial Campaign Reform Act of 2007”
3. HB1273, 97th General Assembly — “The Lincoln Act”
4. HB1241/SB1298, 97th General Assembly — “The Illinois Clean Elections Act”

D. Arguments in Support of Public Financing

1. Public Financing Could be an Effective Method for Combating Political Corruption
2. Public Financing Potentially Increases the Public’s Faith and Confidence in Government
3. Public Financing May Cause Candidates to Spend More Time with Constituents and Less Time Fundraising
4. Public Finance Systems Are Typically Structured to Give Candidates Significant Funding with No Spending Limits Attached
5. Public Financing Possibly Increases the Diversity of Candidates and Competition Among Those Candidates
6. Public Finance Systems May Foster Coalition Building Among a Broader Range of Elected Officials, Advocacy Groups and Votes
7. A Windfall Benefit from Public Finance Systems is That They Potentially Increase Public Involvement in the Political Process — the Common Benefit from All of the Arguments in Support of Public Financing is that Public Finance Systems Likely Cause More People to Get Involved in the Political Process, which Counteracts the Influence of Large Donors and Special Interests

E. Arguments Opposing Public Financing

1. Public Financing Likely Raises both State and Federal Constitutional Issues in Light of Recent Court Decisions
2. Public Finance Systems Possibly Perpetuate the Power and Influence of Incumbent Politicians, which Undermines the Goals of Public Financing
3. Public Choice Theory and its Comparative Effect on Participating and Non-Participating Candidates Might Disillusion Some Voters
4. Public Financing has a High Cost and Impact on State Resources and May be Impractical in Light of the State's Current Fiscal Condition,
5. Public Financing May Not Have a Substantive Effect on Campaigns Because Independent Expenditures are Allowed
6. Public Financing May be Prohibited Due to Recent Ethics Reforms That Prohibit Using State Funds for Political Campaigns
7. Public Financing Could Diminish the Role of Individual Citizens in the Political Process by Inserting the Government into the Funding of Campaigns Instead of Individual Choice

V. Survey of the Law

A. The Law Pre-*Bennett*

1. Public Campaign Finance Systems are Constitutional

B. The Law Post-*Bennett*

1. Public Finance Systems are Still Constitutional, But Certain Methods of Implementing Such a System are Unconstitutional — Trigger and Matching Provisions

C. Best Practices and Trends for Public Finance Systems

1. Trigger and High-Dollar Matching Provisions are Unconstitutional
2. Public Finance Systems that Increase Matching Funds or Public Subsidies or that Sanction or Restrict a Non-Participating Candidate's Spending in Response to His or Her Fundraising or Expenditures are Likely Unconstitutional
3. After *Bennett*, the Initial or Lump Sum Public Grants Provided to Public Candidates Will Likely Increase
4. The Amount of Seed Money A Candidate is Allowed to Initially Raise Will Likely Increase

5. Public Finance Systems Will Likely Implement Low-Dollar or Small-Dollar Matching Provisions

VI. Potentially Feasible Alternatives for Public Finance in Illinois

- A. A Comprehensive Public Finance System Modeled on the Lincoln Act
 1. The Comprehensive System Would Make a Lump Sum Grant of Public Funds to a Candidate Who Meets Certain Eligibility Thresholds and Agrees to Abide By Specific Conditions
 2. The Lincoln Act as a Potential Model—HB1273, 97th General Assembly
 3. Eliminate the Lincoln Act's Trigger and Matching Provisions to Comply with *Bennett*
 4. Broad Scope — Apply to All State Elections
 5. Cost Estimate of \$75 Million to \$100 Million Per Election Cycle
- B. A Public Finance System Implementing Matching Funds for Small-Dollar Contributions to Candidates that Opt into the System
 1. Such A System Would be Comparable to New York City's Public Campaign Finance System
- C. A Public Finance System that Provides Matching Funds For Small Dollar Contributions to Every Candidate in Certain Elections
- D. Tax Credit for Small-Dollar Political Donations
 1. Explanation of Feasibility and Potential Popularity
 2. Such A System Would be Comparable to the Systems Adopted by Arizona and Oregon
- E. Special Treatment for the Judiciary
 1. Explanation of the Unique Nature of the Judiciary and the Need for it to be Independent
 2. Brief Summary of SB222 from the 95th General Assembly—The Judicial Election Reform Act of 2007—and North Carolina's Public Judicial Campaign Finance System
- F. A Public Finance System that Implements Some Combination of the Public Finance Systems and Alternatives Described Above

G. The Public Campaign Finance Alternatives Described Above are Not Intended to Affect Any Provision of the Campaign Contribution Limits Recently Enacted in Illinois

H. No Public Campaign Financing at this Time

1. Possibly Unconstitutional
2. High Cost and Impact on State Resources
3. Do Not Yet Know Full Effect of Campaign Finance Reform
4. Unintended Consequences of Public Financing — Possibly Increasing Corruption by Allowing Incumbents to Solidify Power, Potentially Provoking Increased Fundraising and Spending by Privately-Financed Candidates, Likely Encouraging More Independent Groups to Undertake Their Own Electioneering Communications, and Impeding A Voter's Public Choice

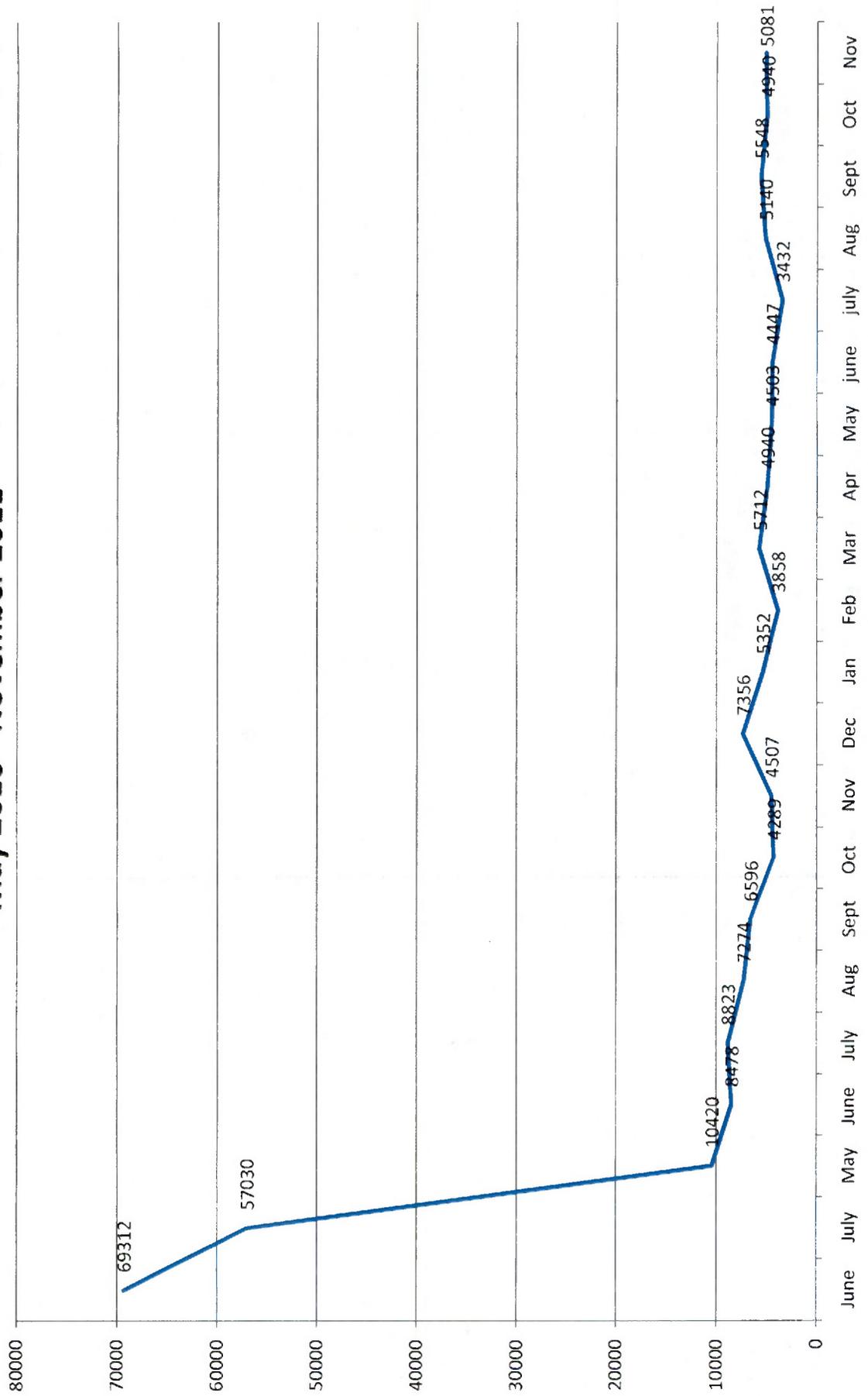
VII. Conclusion

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	7	8	6	4	16	20	19	22	2/6/2009
Alexander County	41	41	40	41	5	3	3	4	7	5	5	6	5	5	5	3	2	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8	9	8	12	1	4	2	3	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	3	8	6	5	10	11	12	10	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	0	0	1	2	4	3	0	0	n/a
Bureau County	11	5	3	4	0	2	6	0	0	5	0	0	4	2	3	0	1	12/6/2011
Calhoun County	0	0	0	1	0	1	1	1	0	0	0	0	0	0	0	0	0	n/a
Carroll County	2	3	2	0	3	1	0	0	5	1	1	1	0	1	2	0	0	n/a
Cass County	16	16	19	0	0	5	8	0	3	1	1	0	0	2	0	0	1	12/6/2011
Champaign County	39	24	42	13	43	129	12	10	88	110	16	17	10	195	132	68	74	2/6/2009
Christian County	0	0	3	13	7	13	3	3	3	2	2	3	2	1	1	1	3	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	6	5	5	4	4	5	3	3	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	65	62	67	57	46	45	28	27	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	16	21	11	3	6	14	7	8	2/6/2009
Coles County	38	34	29	31	18	16	14	14	14	5	4	4	2	6	6	7	5	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	1,773	1,679	1,717	1,270	1,943	2,085	1,874	1,879	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	11	11	13	7	21	21	18	20	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	0	0	1	0	0	0	0	0	n/a
DeKalb County	66	43	35	31	22	29	20	19	23	4	4	4	4	12	14	8	12	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2	2	6	3	2	5	3	4	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	1	0	0	0	0	1	0	0	n/a
DuPage County	328	135	69	41	183	368	0	34	51	25	47	29	27	60	27	42	79	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	0	0	0	1	0	0	0	1	12/6/2011
Edwards County	3	4	2	5	2	2	2	2	2	4	4	4	5	4	3	2	3	2/6/2009
Effingham County	6	6	6	2	0	1	1	1	0	0	0	1	0	2	1	0	1	11/15/2011
Fayette County	18	15	15	13	12	11	11	10	11	11	9	14	12	17	12	3	2	9/26/2011
Ford County	2	4	8	3	4	22	1	1	1	2	1	1	2	0	0	0	0	n/a
Franklin County	69	68	73	82	65	70	60	59	62	60	58	60	61	60	59	43	12	2/6/2009
Fulton County	11	11	15	7	5	9	7	4	7	7	4	4	5	6	6	9	6	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	1	1	1	1	1	1	2	0	n/a
Greene County	7	2	1	1	4	4	3	4	1	1	4	3	7	1	3	2	2	11/3/2011
Grundy County	19	15	19	9	30	42	25	27	52	0	2	3	9	10	4	0	1	11/15/2011
Hamilton County	0	1	1	0	0	0	0	0	0	0	0	0	2	0	1	0	1	11/28/2011
Hancock County	1	1	2	1	3	3	1	1	1	2	2	1	0	2	2	2	5	2/6/2009
Hardin County	6	4	0	0	1	1	0	0	0	1	2	1	0	0	0	0	0	n/a
Henderson County	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	n/a
Henry County	27	25	22	17	17	20	17	17	19	17	13	5	6	5	7	5	3	4/18/2011
Iroquois County	14	20	24	28	7	15	5	5	1	2	1	2	1	5	8	3	1	2/6/2009
Jackson County	26	24	24	28	16	28	15	14	20	22	17	20	15	14	14	12	8	2/6/2009
Jasper County	5	6	7	10	5	3	4	0	0	0	1	2	2	4	4	5	5	5/26/2009

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Jefferson County	6	6	1	0	0	0	0	0	1	0	0	1	0	0	0	0	0	n/a
Jersey County	6	6	6	8	11	9	13	12	15	15	17	17	17	19	20	20	20	2/6/2009
JoDaviess County	0	0	0	0	1	0	0	0	0	0	0	0	0	0	2	1	1	9/12/2011
Johnson County	13	11	8	7	1	2	1	1	1	0	0	1	0	0	0	0	0	n/a
Kane County	117	50	37	51	44	92	32	19	35	17	21	16	5	27	35	34	42	2/6/2009
Kankakee County	41	44	45	55	67	93	9	5	21	8	12	18	7	90	79	64	21	2/6/2009
Kendall County	50	23	18	9	15	12	6	4	5	0	4	2	5	6	13	7	10	9/12/2011
Knox County	13	15	16	18	1	5	7	7	0	1	1	5	9	9	11	14	15	9/10/2010
Lake County	157	118	152	47	44	214	193	18	51	23	54	32	16	36	46	41	62	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11	16	9	4	14	15	15	13	2/6/2009
Lawrence County	2	2	2	2	1	2	1	0	1	0	1	1	1	0	0	0	0	n/a
Lee County	4	3	2	1	4	3	0	0	1	0	0	0	0	0	1	0	1	12/6/2011
Livingston County	4	8	9	6	8	12	1	1	3	5	4	1	1	3	2	4	2	5/13/2011
Logan County	13	13	4	2	6	5	4	5	12	5	5	4	4	4	3	2	6	2/6/2009
Macon County	4	5	8	21	7	25	8	4	8	3	3	1	2	45	19	15	18	2/6/2009
Macoupin County	4	6	5	11	4	12	5	6	8	3	1	0	1	5	7	5	5	10/8/2010
Madison County	30	26	46	27	29	35	18	17	34	16	27	20	13	18	30	25	27	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	24	29	22	20	33	23	13	14	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	1	2	6	0	2	3	0	5	12/6/2011
Mason County	1	0	0	0	1	1	0	3	1	0	0	0	0	0	0	0	0	n/a
Massac County	6	6	6	9	4	4	3	3	5	3	3	3	3	3	4	3	3	2/6/2009
McDonough County	3	3	6	6	9	9	6	2	5	2	2	2	3	5	4	4	4	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	34	69	74	4	10	22	18	59	2/6/2009
McLean County	39	30	3	3	6	44	7	4	20	21	9	13	5	32	18	10	10	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	1	1	1	1	1	1	3	3	2/6/2009
Mercer County	139	141	142	142	146	148	150	148	149	131	113	12	12	10	12	10	13	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	2	6	7	0	2	0	2	0	10/19/2011
Montgomery County	0	4	2	2	4	13	4	3	9	10	13	7	10	1	0	0	0	n/a
Morgan County	19	16	16	12	3	11	4	3	6	3	2	0	9	12	6	5	6	8/5/2011
Moultrie County	0	0	1	0	3	0	0	0	0	0	0	1	0	0	0	0	0	n/a
Ogle County	1	3	0	1	2	7	10	0	1	0	1	1	3	3	5	2	3	6/3/2011
Peoria County	7	4	4	0	4	19	1	2	2	2	4	0	3	5	4	9	12	7/29/2011
Perry County	0	3	6	5	1	4	0	0	1	0	0	0	0	0	1	0	0	n/a
Piatt County	6	2	2	6	1	4	2	2	1	2	2	2	1	0	1	0	0	n/a
Pike County	7	7	7	9	9	12	10	10	9	11	12	13	3	0	5	7	10	9/12/2011
Pope County	5	1	1	1	1	2	0	0	1	2	1	1	2	1	1	0	0	n/a
Pulaski County	20	20	16	20	4	4	0	0	2	2	1	1	2	2	4	3	4	2/6/2009
Putnam County	2	2	1	2	0	2	0	0	2	0	0	0	0	0	0	1	0	n/a
Randolph County	2	4	3	3	2	3	0	0	3	0	1	3	0	0	2	2	3	10/14/2011
Richland County	20	22	15	20	11	13	7	6	5	6	5	6	6	7	7	6	5	2/6/2009

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Oldest Match Date
Rock Island County	135	138	151	154	163	178	180	188	124	182	177	75	70	63	66	62	59	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	1	7	8	8	2	5	3	3	5/31/2011
Sangamon County	60	29	37	42	21	63	15	20	42	16	15	21	14	16	17	21	26	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	1	2	0	0	0	1	1	1	9/26/2011
Scott County	3	2	6	1	0	5	2	2	3	3	2	3	8	3	2	6	8	12/22/2010
Shelby County	1	1	1	3	1	2	0	0	0	1	1	1	0	3	1	0	1	12/6/2011
Stark County	0	0	0	1	0	0	0	0	0	0	1	2	2	2	0	0	0	n/a
St. Clair County	47	41	46	43	50	77	26	28	43	29	46	38	16	16	13	16	13	2/6/2009
Stephenson County	0	1	3	2	1	2	2	2	12	2	3	2	4	7	11	7	7	2/6/2009
Tazewell County	17	14	37	19	17	81	19	24	16	29	39	29	21	27	21	24	41	2/6/2009
Union County	32	35	30	33	24	33	17	17	28	29	24	22	20	25	23	23	22	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	3	4	3	2	3	7	9	8	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	0	1	1	3	6	7	2	0	n/a
Warren County	8	9	9	3	5	7	2	0	2	1	1	2	1	5	3	3	5	8/19/2011
Washington County	11	13	14	16	7	7	5	4	4	4	6	6	6	6	12	4	5	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	33	34	37	34	16	15	1	0	n/a
White County	1	2	0	0	1	3	2	2	1	3	3	3	4	5	4	2	1	2/6/2009
Whiteside County	6	9	9	8	7	11	7	6	9	5	6	2	2	2	3	3	1	9/3/2010
Will County	380	265	357	340	420	578	437	412	596	586	193	138	50	233	245	58	83	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	43	45	46	45	48	49	32	15	2/6/2009
Winnebago County	28	19	14	46	35	39	25	8	10	7	10	5	4	6	5	13	20	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	0	0	0	0	2	2	2	6	10/31/2011
City of Aurora	27	14	19	7	17	6	12	14	3	14	2	3	4	20	23	28	40	2/6/2009
City of Bloomington	9	9	9	14	11	15	5	6	6	4	5	8	4	4	7	6	8	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	1,346	1,383	1,626	1,349	1,703	2,020	2,017	2,028	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	3	8	3	6	4	5	7	9	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	8	6	11	4	3	2	3	6	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3	3	3	5	6	7	9	6	9/3/2010
City of Peoria	6	2	13	2	4	39	2	2	23	35	20	9	14	19	22	30	34	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	5	10	10	10	12	15	20	24	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	4,940	4,503	4,447	3,432	5,140	5,548	4,940	5,081	

Statewide Duplicate Voter Registrations May 2010 - November 2011



<u>County</u>	Total Voters Registered as of 12/07/2011	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 11/08/2011	% Reg. vs. 18 and Over as of 12/07/2011
ALEXANDER	7,866	8,238	95.48%	6,353	123.67%	123.82%
CLARK	12,633	16,335	77.34%	12,617	100.09%	100.13%
CLINTON	25,228	37,762	66.81%	29,180	89.07%	86.46%
HARDIN	3,098	4,320	71.71%	3,444	89.90%	89.95%
JASPER	7,174	9,698	73.97%	7,494	95.92%	95.73%
MASSAC	12,609	15,429	81.72%	11,911	104.79%	105.86%
MENARD	8,565	12,705	67.41%	9,704	88.71%	88.26%
MERCER	11,603	16,434	70.60%	12,714	91.43%	91.26%
OGLE	33,582	53,497	62.77%	40,253	83.39%	83.43%
PULASKI	5,530	6,161	89.76%	4,756	116.34%	116.27%
RICHLAND	13,061	16,233	80.46%	12,625	103.68%	103.45%
ROCK ISLAND	86,757	147,546	58.80%	114,359	75.91%	75.86%
STARK	3,995	5,994	66.65%	4,583	87.26%	87.17%
UNION	15,085	17,808	84.71%	13,980	107.92%	107.90%
City of East St. Louis	19,002	27,006	70.36%	19,098	99.53%	99.50%

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: Statewide Precinct Numbers

DATE: December 13, 2011

Last month I indicated that it appeared that there would be fewer precincts in the state and that it would result in the state saving money in judges of election reimbursements. Kyle has provided with me with an unofficial count as of December 7th. During the 2010 General Election the state had 11,209 precincts statewide and this number was reduced in June 2011 to 11,207 and now it appears that there are 10,448 precincts. This represents 761 less precincts in the state and it will reduce the SBE's judges of election reimbursements. The reimbursed savings to the state can be calculated by taking 761 precincts times 4.5 judges per precinct (average from last comparable primary) times \$45 per judge for an approximate savings of \$154,100 if the judges of election reimbursement was fully funded.

JID	Name	Total 'A' Voters October 2010	Total Precincts October 2010	Total 'A' Voters December 2011	Total Precincts December 2011
1	Adams County	44895	80	42843	74
2	Alexander County	7803	11	7866	11
3	Bond County	11877	25	11868	25
4	Boone County	33676	38	30320	38
5	Brown County	3482	14	3506	14
6	Bureau County	23730	50	24065	50
7	Calhoun County	3819	8	3759	8
8	Carroll County	11893	22	10766	22
9	Cass County	9573	21	9356	21
10	Champaign County	122699	117	123805	118
11	Christian County	22716	30	21617	30
12	Clark County	12896	23	12632	23
13	Clay County	9827	22	9771	22
14	Clinton County	26182	39	25217	39
15	Coles County	31496	44	29188	44
16	Cook County	1362789	1937	1376157	1673
17	Crawford County	14191	24	14018	24
18	Cumberland County	7657	12	7738	12
19	DeKalb County	57092	85	53150	90
20	DeWitt County	11741	23	11803	23
21	Douglas County	11979	19	11684	19
22	DuPage County	559265	749	520439	748
23	Edgar County	13237	29	13078	29
24	Edwards County	4635	12	4566	12
25	Effingham County	22842	37	22083	37
26	Fayette County	15212	32	15024	31
27	Ford County	8907	22	8430	22
28	Franklin County	29571	35	29679	35
29	Fulton County	24276	57	24508	57
30	Gallatin County	4236	13	3971	12

JID	Name	Total 'A' Voters October 2010	Total Precincts October 2010	Total 'A' Voters December 2011	Total Precincts December 2011
31	Greene County	8788	22	8857	22
32	Grundy County	29745	44	28916	44
33	Hamilton County	5853	16	5496	16
34	Hancock County	13477	35	13433	34
35	Hardin County	3246	6	3098	6
36	Henderson County	5230	13	4921	13
37	Henry County	34194	52	33535	52
38	Iroquois County	19863	37	18492	37
39	Jackson County	32419	61	31904	58
40	Jasper County	7578	16	7168	16
41	Jefferson County	24778	37	23192	33
42	Jersey County	17055	25	17213	25
43	JoDaviess County	16347	29	15643	29
44	Johnson County	8146	17	7904	17
45	Kane County	213100	228	217519	228
46	Kankakee County	64298	90	61929	85
47	Kendall County	62519	76	64619	83
48	Knox County	15648	30	14793	31
49	Lake County	404421	481	388670	415
50	LaSalle County	72751	124	73227	119
51	Lawrence County	10766	27	10357	27
52	Lee County	22391	49	21851	49
53	Livingston County	21954	52	20913	48
54	Logan County	20064	45	19337	29
55	Macon County	73072	85	74657	72
56	Macoupin County	34452	61	31218	61
57	Madison County	177781	233	180582	225
58	Marion County	28448	48	26018	48
59	Marshall County	8624	16	8300	16

JID	Name	Total 'A' Voters October 2010	Total Precincts October 2010	Total 'A' Voters December 2011	Total Precincts December 2011
60	Mason County	10511	22	10322	22
61	Massac County	12352	17	12608	17
62	McDonough County	17568	31	17961	31
63	McHenry County	201978	212	202565	212
64	McLean County	53338	71	50193	71
65	Menard County	9711	16	8507	14
66	Mercer County	14244	24	11601	24
67	Monroe County	23438	37	22617	37
68	Montgomery County	18160	42	18344	42
69	Morgan County	22813	40	20633	40
70	Moultrie County	8908	16	8319	16
71	Ogle County	40909	52	33588	52
72	Peoria County	46852	53	44130	74
73	Perry County	14980	27	13775	27
74	Piatt County	12080	16	11426	16
75	Pike County	11466	33	11457	33
76	Pope County	3385	6	3181	6
77	Pulaski County	5964	11	5527	11
78	Putnam County	4295	8	4117	8
79	Randolph County	21154	37	21413	37
80	Richland County	13806	22	13068	22
81	Rock Island County	102518	120	86663	120
82	Saline County	16777	28	16328	28
83	Sangamon County	136629	232	140349	182
84	Schuyler County	5617	17	5218	17
85	Scott County	3713	10	3737	10
86	Shelby County	15612	33	14460	33
87	Stark County	4752	9	3995	9
88	St. Clair County	165985	200	158569	204
89	Stephenson County	34907	46	35017	40

JID	Name	Total 'A' Voters October 2010	Total Precincts October 2010	Total 'A' Voters December 2011	Total Precincts December 2011
90	Tazewell County	89501	130	90092	130
91	Union County	15138	20	15084	20
92	Vermilion County	30890	59	31077	59
93	Wabash County	9242	16	9218	16
94	Warren County	11336	28	11437	28
95	Washington County	9957	24	9587	23
96	Wayne County	12587	27	12641	27
97	White County	11615	27	10573	27
98	Whiteside County	38329	60	36719	60
99	Will County	371444	445	367608	303
100	Williamson County	43603	65	40998	65
101	Winnebago County	92202	108	91482	112
102	Woodford County	25444	45	25878	45
103	City of Aurora	55261	80	55838	80
104	City of Bloomington	44030	52	42498	52
105	City of Chicago	1334585	2571	1345689	2369
106	City of Danville	17910	34	17338	34
107	City of East St. Louis	21421	25	18999	25
108	City of Galesburg	18477	31	18838	27
109	City of Peoria	67497	93	70527	93
110	City of Rockford	85022	96	87743	96
Total		7441115	11209	7336221	10447

Reduction Voters 104,894
Precincts 762

STATE BOARD OF ELECTIONS

MEMORANDUM

*From the desk of:
Rupert T. Borgsmiller, Executive Director*

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: FY13 Appropriation Request

DATE: December 7, 2011

The FY13 Appropriate Request book is included in the envelope with your Board packet.

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Michael Roate, Director of Administrative Services/CFO

TO: Members of the Board
SUBJECT: New Springfield Office – Facility Update
DATE: December 5, 2011

Below is the present status of the Springfield office facility project:

- In mid-November, a Notice of Contract Award for SBE's tentative MacArthur Blvd. lease was posted by CMS to the Illinois Procurement Bulletin. The purpose of this notice is to publish CMS's (and SBE's) facility selection, and solicit protests from interested entities per State procurement rules. This posting will remain on the IPB website until at least mid-December; if no substantive protests are received CMS signature of the lease document should occur soon afterwards.
- On November 15, Administrative Services held a 'walk-through' for Division Directors and other agency management to view the new facility. This walk-through also allowed Administrative Services representatives assigned to the facility layout project (Mike Roate, Jim Withers, Kelley Gray) to meet with lessor staff and finalize agency need assessments prior to the creation of office site maps.
- Immediately after the walk-through above, Administrative Services held several working sessions with representatives of the lessor, the lessor's space planning contractor and CMS to create an office site map for the new facility. The meetings were very efficient & productive, and a draft site map with tentative office, cubicle and dividing wall layouts was created in late November. To conserve State funds, SBE is relocating existing cubicles and furniture from the current Springfield facility to the new facility. This map has been forwarded to CMS-Facilities Management for review and general compliance with State guidelines for office layout and square footage maximums. CMS-Facilities Management approval is anticipated in early December unless concerns exist.
- In October, SBE contacted Illinois Correctional Industries (ICI) about the possibility of ICI tearing down, moving and reinstalling SBE's office cubicles at the new MacArthur location. Per George Bowen at ICI, this project was approved by ICI management in late November. Consequently, preparations have begun with ICI and the lessor's space planner to plan the cubicle relocation, and identify any additional parts or cubicle panels that may be needed during the reinstallation process. To that end, ICI has requested AutoCad maps of the office site map to help them in the reinstallation process. Those maps were provided by the lessor's space planner in early December.
- A representative of the moving company that holds the current State master contract for moving services (Allied-Hillier Moving and Storage) toured the Spring Street facility November 29th with Administrative Services staff. The purpose of the tour was to identify and count furniture & fixture items to be moved to the new location, and prepare a proposal and cost quote for the project. The proposal and cost quote are expected sometime in early December.

Please let me know if you have any questions or comments.

**STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT**

General Revenue Fund

<u>AGENCY TOTALS</u> <u>MONTH ENDING: Nov. 30, 2011</u>	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$4,280,100.00	\$1,556,601.11	\$0.00	\$2,723,498.89	36.37%
STATE PAID RETIREMENT	\$171,300.00	\$61,010.52	\$0.00	\$110,289.48	35.62%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,600.00	\$115,190.85	\$0.00	\$212,409.15	35.16%
CONTRACTUAL SERVICE	\$1,265,700.00	\$216,763.95	\$72,979.63	\$975,956.42	17.13%
TRAVEL	\$128,200.00	\$14,959.15	\$0.00	\$113,240.85	11.67%
PRINTING	\$51,400.00	\$5,146.22	\$0.00	\$46,253.78	10.01%
COMMODITIES	\$39,500.00	\$10,963.13	\$0.00	\$28,536.87	27.75%
EQUIPMENT	\$157,800.00	\$19,259.08	\$0.00	\$138,540.92	12.20%
TELECOMMUNICATIONS	\$143,800.00	\$25,628.63	\$0.00	\$118,171.37	17.82%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$431.15	\$0.00	\$4,468.85	8.80%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$222,786.80	\$210,040.27	\$117,172.93	40.51%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$107,039.89	\$0.00	\$221,460.11	32.58%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$7,463,800.00	\$2,355,780.48	\$283,019.90	\$4,824,999.62	31.56%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$0.00	\$0.00	\$1,251,900.00	0.00%
REDISTRICTING	\$90,000.00	\$90,000.00	\$0.00	\$0.00	100.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$1,629.57	\$0.00	\$298,370.43	0.54%
IDIS SYSTEM REPLACEMENT	\$85,000.00	\$0.00	\$0.00	\$85,000.00	0.00%
SUB-TOTAL (GRANTS)	\$3,880,000.00	\$91,629.57	\$0.00	\$3,788,370.43	2.36%
TOTAL APPROPRIATION	\$11,343,800.00	\$2,447,410.05	\$283,019.90	\$8,613,370.05	21.57%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Nov. 30, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,500.00	\$449.11	\$2,050.89	17.96%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$4,952.25	\$12,047.75	29.13%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$321.75	\$178.25	64.35%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$338.23	\$161.77	67.65%
TRAVEL	\$19,000.00	\$5,006.23	\$13,993.77	26.35%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

BOARD GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$22,700.00	\$7,061.34		\$15,638.66
TRAVEL	\$19,000.00	\$5,006.23		\$13,993.77
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$42,400.00	\$12,067.57	\$0.00	\$30,332.43

**STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund**

ADMINISTRATION MONTH ENDING: Nov. 30, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$749,900.00	\$299,387.52	\$450,512.48	39.92%	
1129 State Paid Retirement	\$30,000.00	\$11,414.13	\$18,585.87	38.05%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$57,400.00	\$22,147.15	\$35,252.85	38.58%	
CONTRACTUAL SERVICE					
1201 Petty Cash	\$500.00	\$0.00	\$500.00	0.00%	
1205 Freight Express & Drayage	\$700.00	\$0.00	\$700.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$3,617.18	\$9,382.82	27.82%	
1223 Repair/Maint. Real Property	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$35,800.00	\$789.41	\$35,010.59	2.21%	
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%	
1233 Rental, Real Property	\$325,000.00	\$106,387.02	\$218,612.98	32.73%	
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%	
1240 Statistical & Tabulation Services	\$12,800.00	\$3,324.02	\$9,475.98	25.97%	
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$9,500.00	\$789.43	\$8,710.57	8.31%	
1251 Gas	\$10,400.00	\$0.00	\$10,400.00	0.00%	
1252 Electricity	\$80,900.00	\$18,019.98	\$62,880.02	22.27%	
1253 Water	\$1,400.00	\$396.27	\$1,003.73	28.31%	
1255 Utilities, NEC	\$900.00	\$243.96	\$656.04	27.11%	
1261 Postage	\$75,000.00	\$477.57	\$74,522.43	0.64%	
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$530.00	\$270.00	66.25%	
1275 Subscription & Information Services	\$1,900.00	\$1,056.25	\$843.75	55.59%	
1276 Reg Fees & Conf. Expenses (Employee)	\$800.00	\$0.00	\$800.00	0.00%	
1277 Association Dues	\$2,000.00	\$415.00	\$1,585.00	20.75%	
1279 Tuition - Employee					
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%	
1289 Contractual Services, NEC	\$1,000.00	\$45.87	\$954.13	4.59%	
TRAVEL					
1291 In-State	\$18,000.00	\$2,116.94	\$15,883.06	11.76%	
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%	
PRINTING	\$9,800.00	\$907.73	\$8,892.27	9.26%	
COMMODITIES					
1304 Office/Library Supplies	\$13,500.00	\$2,993.19	\$10,506.81	22.17%	
1306 Food for Human Consumption	\$100.00	\$0.00	\$100.00	0.00%	
1391 Household & Cleaning Supplies	\$2,900.00	\$816.92	\$2,083.08	28.17%	
1394 Office/Library Equip., Not exc. \$100					
1398 Equipment, NEC	\$400.00	\$0.00	\$400.00	0.00%	
1399 Commodities, NEC	\$600.00	\$246.89	\$353.11	41.15%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$2,100.00	\$2,084.63	\$15.37	99.27%	
1599 Equipment NEC	\$900.00	\$0.00	\$900.00	0.00%	
TELECOMMUNICATIONS					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$11,622.05	\$39,377.95	22.79%	
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$12,313.53	\$68,886.47	15.16%	
1728 Videoconferencing	\$6,400.00	\$1,693.05	\$4,706.95	26.45%	
1729 Rental, Other Comm. Serv. & Equip.	\$3,700.00	\$0.00	\$3,700.00	0.00%	
1730 Parts & Supplies for Telephone	\$1,500.00	\$0.00	\$1,500.00	0.00%	
1750 Telephone, Data, Radio & Other Equipment					
OPERATION OF AUTO EQUIPMENT					
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$37.99	\$1,762.01	2.11%	
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%	
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$393.16	\$2,506.84	13.56%	
1899 Auto. Expenses, NEC					
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
ADMINISTRATION GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$749,900.00	\$299,387.52	\$450,512.48	39.92%	
STATE PAID RETIREMENT	\$30,000.00	\$11,414.13	\$18,585.87	38.05%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$57,400.00	\$22,147.15	\$35,252.85	38.58%	
CONTRACTUAL SERVICE	\$582,100.00	\$137,091.96	\$17,731.17	23.55%	
TRAVEL	\$19,000.00	\$2,116.94	\$16,883.06	11.14%	
PRINTING	\$9,800.00	\$907.73	\$8,892.27	9.26%	
COMMODITIES	\$17,500.00	\$4,057.00	\$13,443.00	23.18%	
EQUIPMENT	\$3,000.00	\$2,084.63	\$915.37	69.49%	
TELECOMMUNICATIONS	\$143,800.00	\$25,628.63	\$118,171.37	17.82%	
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$431.15	\$4,468.85	8.80%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$1,617,400.00	\$505,266.84	\$17,731.17	\$1,094,401.99	31.24%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ELECTIONS</u> <u>MONTH ENDING: Nov. 30, 2011</u>	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,724,600.00	\$611,136.14	\$1,113,463.86	35.44%
1129 State Paid Retirement	\$69,000.00	\$24,114.06	\$44,885.94	34.95%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$132,000.00	\$45,245.26	\$86,754.74	34.28%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$4,300.00	\$0.00	\$4,300.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$4,200.00	\$100.00	\$4,100.00	2.38%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,900.00	\$0.00	\$1,900.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,300.00	\$0.00	\$1,300.00	0.00%
1277 Association Dues	\$2,300.00	\$724.00	\$1,576.00	31.48%
1279 Employee Tuition & Fees	\$2,900.00	\$170.00	\$2,730.00	5.86%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1289 Contractual Services, NEC	\$24,200.00	\$0.00	\$24,200.00	0.00%
TRAVEL	\$58,500.00	\$3,990.98	\$54,509.02	6.82%
PRINTING	\$18,900.00	\$0.00	\$18,900.00	0.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$1,222.62	\$3,777.38	24.45%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$222,786.80	\$327,213.20	40.51%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%
Redistricting	\$90,000.00	\$90,000.00	\$0.00	100.00%
Election Judge Reimbursements	\$1,347,100.00	\$0.00	\$1,347,100.00	0.00%
Stipends	\$806,000.00	\$0.00	\$806,000.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	0.00%
Electronic Canvassing Implementation	\$300,000.00	\$1,629.57	\$298,370.43	0.54%
IDIS Replacement System	\$85,000.00	\$0.00	\$85,000.00	0.00%

<u>ELECTIONS GRAND TOTAL</u>	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>% OF</u> <u>BALANCE</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,724,600.00	\$611,136.14	\$1,113,463.86	35.44%	
STATE PAID RETIREMENT	\$69,000.00	\$24,114.06	\$44,885.94	34.95%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$132,000.00	\$45,245.26	\$86,754.74	34.28%	
CONTRACTUAL SERVICE	\$46,400.00	\$994.00	\$45,406.00	2.14%	
TRAVEL	\$58,500.00	\$3,990.98	\$54,509.02	6.82%	
PRINTING	\$18,900.00	\$0.00	\$18,900.00	0.00%	
EQUIPMENT	\$5,000.00	\$1,222.62	\$3,777.38	24.45%	
HAVA - Maintenance of Effort	\$550,000.00	\$222,786.80	\$210,040.27	\$117,172.93	40.51%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$15,000.00	0.00%	
Redistricting	\$90,000.00	\$90,000.00	\$0.00	100.00%	
Election Judge Reimbursements	\$1,347,100.00	\$0.00	\$1,347,100.00	0.00%	
Stipends	\$806,000.00	\$0.00	\$806,000.00	0.00%	
Early Voting Election Judges	\$0.00	\$0.00	\$0.00	0.00%	
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%	
Voting System Integrity Center	\$0.00	\$0.00	\$0.00	0.00%	
Electronic Canvassing Implementation	\$300,000.00	\$1,629.57	\$298,370.43	0.54%	
IDIS Replacement System	\$85,000.00	\$0.00	\$85,000.00	0.00%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$5,247,500.00	\$1,001,119.43	\$210,040.27	\$4,036,340.30	19.08%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: Nov. 30, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$106,169.00	\$183,531.00	36.65%
1129 State Paid Retirement	\$11,600.00	\$4,249.10	\$7,350.90	36.63%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$22,200.00	\$7,872.73	\$14,327.27	35.46%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$500.00	\$0.00	\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$60,000.00	\$10,051.54	\$49,948.46	16.75%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$3,949.97	\$11,050.03	26.33%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$172.22	\$2,827.78	5.74%
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,000.00	\$289.00	\$1,711.00	0.00%
1277 Association Dues	\$1,500.00	\$586.00	\$914.00	39.07%
1279 Employee Tuition & Fees	\$500.00	\$428.00	\$72.00	85.60%
1280 Copying, Photographic & Printing Services	\$700.00	\$0.00	\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$1,817.00	\$5,183.00	25.96%
TRAVEL	\$9,500.00	\$2,429.31	\$7,070.69	25.57%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00	\$0.00	\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

GENERAL COUNSEL GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$289,700.00	\$106,169.00		\$183,531.00	36.65%
STATE PAID RETIREMENT	\$11,600.00	\$4,249.10		\$7,350.90	36.63%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$22,200.00	\$7,872.73		\$14,327.27	35.46%
CONTRACTUAL SERVICE	\$91,200.00	\$17,293.73	50,008.46	\$23,897.81	18.96%
TRAVEL	\$9,500.00	\$2,429.31		\$7,070.69	25.57%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$424,700.00	\$138,013.87	\$50,008.46	\$236,677.67	32.50%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Nov. 30, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$797,300.00	\$276,163.95	\$521,136.05	34.64%
1129 State Paid Retirement	\$31,900.00	\$11,051.52	\$20,848.48	34.64%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$61,000.00	\$20,247.30	\$40,752.70	33.19%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$100.00	\$5.83	\$94.17	5.83%
1221 Repair & Maint, Furn & Office Equipment	\$300.00	\$225.00	\$75.00	0.00%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$3,200.00	\$0.00	\$3,200.00	0.00%
1245 Professional & Artistic Services, NEC	\$6,000.00	\$0.00	\$6,000.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$400.00	\$0.00	\$400.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$8,000.00	\$144.84	\$7,855.16	1.81%
1292 Out-of-State	\$3,300.00	\$0.00	\$3,300.00	0.00%
PRINTING	\$22,000.00	\$4,238.49	\$17,761.51	19.27%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$1,679.25	\$7,620.75	18.06%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	0	\$0.00	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$797,300.00	\$276,163.95		\$521,136.05	34.64%
STATE PAID RETIREMENT	\$31,900.00	\$11,051.52		\$20,848.48	34.64%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$61,000.00	\$20,247.30		\$40,752.70	33.19%
CONTRACTUAL SERVICE	\$11,100.00	\$1,290.83		\$9,809.17	11.63%
TRAVEL	\$11,300.00	\$144.84		\$11,155.16	1.28%
PRINTING	\$22,000.00	\$4,238.49		\$17,761.51	19.27%
EQUIPMENT	\$9,300.00	\$1,679.25		\$7,620.75	18.06%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$943,900.00	\$314,816.18	\$0.00	\$629,083.82	33.35%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY	FY12	YEAR TO DATE		% OF	
MONTH ENDING: Nov. 30, 2011	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE	
PERSONAL SERVICE	\$718,600.00	\$263,744.50	\$454,855.50	36.70%	
1129 State Paid Retirement	\$28,800.00	\$10,181.71	\$18,618.29	35.35%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$55,000.00	\$19,678.41	\$35,321.59	35.78%	
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1205 Freight Express & Drayage	\$100.00	\$14.25	\$85.75	14.25%	
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$0.00	\$13,000.00	0.00%	
1223 Repair/Maint. Real Property	\$1,200.00	\$1,117.83	\$82.17	0.00%	
1225 Repair/Maint. EDP Equipment	\$61,100.00	\$0.00	\$61,100.00	0.00%	
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC					
1242 Auditing & Management Services	\$0.00	\$0.00	\$0.00	0.00%	
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$284,500.00	\$0.00	\$284,500.00	0.00%	
1271 Surety Bond & Ins. Prem.	\$700.00	\$499.00	\$201.00	71.29%	
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%	
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$5,000.00	\$0.00	\$5,000.00	0.00%	
1284 Computer Software	\$118,100.00	\$51,401.01	\$66,698.99	43.52%	
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$19,700.00	\$0.00	\$19,700.00	0.00%	
TRAVEL					
1291 In-State	\$5,500.00	\$1,270.85	\$4,229.15	23.11%	
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%	
PRINTING	\$700.00	\$0.00	\$700.00	0.00%	
COMMODITIES					
1304 Office/Library Supplies	\$21,000.00	\$6,090.75	\$14,909.25	29.00%	
1332 Industrial & Shop Materials					
1394 Office/Library Equip. under \$100	\$400.00	\$397.98	\$2.02	0.00%	
1398 Equipment, NEC	\$400.00	\$303.59	\$96.41	0.00%	
1399 Commodities, NEC	\$200.00	\$113.81	\$86.19	56.91%	
EQUIPMENT					
1510 Office Furniture & Equipment	\$400.00	\$332.58	\$67.42	0.00%	
1515 EDP Equipment	\$138,900.00	\$13,940.00	\$124,960.00	10.04%	
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%	
INFORMATION TECHNOLOGY GRAND TOTAL	FY12	YEAR TO DATE	OBLIGATED	% OF	
	APPROPRIATION	EXPENDITURE	MONEY	EXPENDITURE	
PERSONAL SERVICE	\$718,600.00	\$263,744.50	\$454,855.50	36.70%	
STATE PAID RETIREMENT	\$28,800.00	\$10,181.71	\$18,618.29	35.35%	
RETIREMENT	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$55,000.00	\$19,678.41	\$35,321.59	35.78%	
CONTRACTUAL SERVICE	\$512,200.00	\$53,032.09	\$5,240.00	10.35%	
TRAVEL	\$10,900.00	\$1,270.85	\$9,629.15	11.66%	
PRINTING	\$700.00	\$0.00	\$700.00	0.00%	
COMMODITIES	\$22,000.00	\$6,906.13	\$15,093.87	31.39%	
EQUIPMENT	\$139,300.00	\$14,272.58	\$125,027.42	10.25%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$1,487,500.00	\$369,086.27	\$5,240.00	\$1,113,173.73	24.81%

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
General Revenue Fund

IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: Nov. 30, 2011</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$95,281.50
1129 State Paid Retirement	\$3,813.37
1161 Retirement	
1170 Social Security	\$6,892.62
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,052.40
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$107,039.89
REMAINING LUMP SUM APPROPRIATION	\$221,460.11
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$1,251,900.00
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$107,039.89
REMAINING LUMP SUM APPROPRIATION	\$1,473,360.11

FUNDS MOVED BETWEEN DIVISIONS

TO:

FROM:

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Nov. 30, 2011</u>						
CASH BALANCE AT BEGINNING OF YR	\$2,409,908.25	\$0.00	-\$80,713.82	\$5,700,043.64	\$11,787,751.01	\$19,816,989.08
Program Revenues from Federal Govt			\$80,713.82			\$80,713.82
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$3,323.69			\$7,867.92	\$16,422.39	\$27,614.00
Interest Penalties Received						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$3,323.69	\$0.00	\$80,713.82	\$7,867.92	\$16,422.39	\$108,327.82
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$30,715.00	\$0.00	\$0.00	\$0.00	\$0.00	\$30,715.00
STATE-PAID RETIREMENT	\$1,229.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,229.00
RETIREMENT	\$10,504.70	\$0.00	\$0.00	\$0.00	\$0.00	\$10,504.70
SOCIAL SECURITY	\$2,244.30	\$0.00	\$0.00	\$0.00	\$0.00	\$2,244.30
GROUP INSURANCE	\$9,438.10	\$0.00	\$0.00	\$0.00	\$0.00	\$9,438.10
CONTRACTUAL SERVICE	\$9,349.51	\$0.00	\$0.00	\$74,518.76	\$0.00	\$83,868.27
TRAVEL	\$11,472.77	\$0.00	\$0.00	\$0.00	\$0.00	\$11,472.77
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$100.78	\$0.00	\$0.00	\$0.00	\$0.00	\$100.78
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$75,054.16	\$0.00	\$0.00	\$74,518.76	\$0.00	\$149,572.92
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$175,932.32	\$616,968.48	\$792,900.80
TOTAL CASH EXPENDITURES	\$75,054.16	\$0.00	\$0.00	\$250,451.08	\$616,968.48	\$942,473.72
CASH BALANCE AT END OF MONTH	\$2,338,177.78	\$0.00	\$0.00	\$5,457,460.48	\$11,187,204.92	\$18,982,843.18

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

<u>SECTION 101 - DISCRETIONARY FUNDS</u> <u>MONTH ENDING: Nov. 30, 2011</u>	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	
PERSONAL SERVICE	\$30,715.00	\$30,715.00	
1129 State Paid Retirement	\$1,229.00	\$1,229.00	
1161 Retirement	\$10,504.70	\$10,504.70	
1170 Social Security	\$2,244.30	\$2,244.30	
1180 Group Insurance	\$9,438.10	\$9,438.10	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$73.51	\$73.51	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$7,388.00	\$7,388.00	
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$495.00	\$495.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$1,393.00	\$1,393.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 In-State (to vendor)	\$8,701.76	\$8,701.76	
1292 Out-of-State	\$2,771.01	\$2,771.01	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$100.78	\$100.78	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)			
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	\$75,054.16	\$75,054.16	OBLIGATED MONEY
PERSONAL SERVICE	\$30,715.00	\$30,715.00	
STATE PAID RETIREMENT	\$1,229.00	\$1,229.00	
RETIREMENT	\$10,504.70	\$10,504.70	
SOCIAL SECURITY	\$2,244.30	\$2,244.30	
GROUP INSURANCE	\$9,438.10	\$9,438.10	
CONTRACTUAL SERVICE	\$9,349.51	\$9,349.51	
TRAVEL	\$11,472.77	\$11,472.77	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$100.78	\$100.78	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$75,054.16	\$75,054.16	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Nov. 30, 2011

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
 MONTH ENDING: Nov. 30, 2011

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)

<u>SECTION 261 GRAND TOTAL</u>	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: Nov. 30, 2011	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$40,749.50	\$40,749.50	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,900.70	\$2,900.70	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$30,868.56	\$30,868.56	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$175,932.32	\$175,932.32	
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
SECTION 251 (OLD REQ) GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$74,518.76	\$74,518.76	\$236,106.20
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$175,932.32	\$175,932.32	
TOTAL	\$250,451.08	\$250,451.08	\$236,106.20

STATE BOARD OF ELECTIONS
FY12 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Nov. 30, 2011

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1223 Repair & Maintenance Real Property
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1232 Rental, Motor Vehicles
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1273 Advertising
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
 4453 Reimbursement to Govt Units (Phase II)
 4453 Reimbursement to Govt Units (ALA)
 4453 Reimbursement to Govt Units (EDG)
 4470 Grants to Local Governments (VRS)
 4479 Payments to Other State Agencies

\$616,968.48

\$616,968.48

SECTION 251 (NEW REQ) GRAND TOTAL

FY12
APPROPRIATION

YEAR TO DATE
EXPENDITURE

**OBLIGATED
 MONEY**

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$616,968.48	\$616,968.48	

TOTAL	\$616,968.48	\$616,968.48	\$0.00
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Start Date	End Date	Activity	Division
11/01/2011 - 11/03/2011		(date subject to change) Veto Session	LEG
11/15/2011 - 11/17/2011		(date subject to change) Veto Session	LEG
11/21/2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/28/2011 - 12/05/2011		Candidate filing period. 10 ILCS 5/7-12	EL INFO
12/01 /2011		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2011 submission in a format prescribed by the SBE	VRS
12/12/2011		Send to all election authorities a LIST OF FACILITIES licensed or certified pursuant to the NURSING HOME CARE REFORM ACT OF 1979. 10 ILCS 5/19-12.2	ET&RD
12/12/2011		Deadline for filing objections to petitions of candidates who filed November 28 – December 5, 2011. 10 ILCS 5/10-8	EL INFO
12/14/2011		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for November 28 – December 5, 2011 filing period. 10 ILCS 5/7-7-12 (6)	EL INFO
12/14/2011		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO
12/14/2011		Last day for the State Board of Elections to certify to the County Clerks the number of Democratic and Republican delegates and alternate delegates to be elected from each Congressional District and the number to be elected at large from the State or to be chosen at the State Party Convention.	EL INFO

Monday, December 05, 2011

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Start Date	End Date	Activity	Division
12/15/2011		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/15/2011		First day for election authority to submit computerized voter registration file for the December 15, 2011 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/19/2011 – 12/27/2011		Special Judicial Filing Period	EL INFO
12/20 /2011		BOARD MEETING. 10 ILCS 5/1A-7	EXEC DIR
12/21 /2011		Civic Organization certification cutoff date prior to the March 20, 2012 General Primary Election. 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule)	ET&RD
12/23/2011 & 12/27/2012		Congressional candidate filing period	EL INFO
12/25/2011		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2011 submission. Rules and Regulations	VRS
12/25/2011		Last day for election authority to submit computerized voter registration file for the December 15, 2011 submission. 10 ILCS 5/4-8, 5-7, 6-36	VRS
12/31/2011		Last day the State Board of elections shall certify unit school districts and counties to the appropriate election authorities after receiving the list by the regional superintendent of schools.	EL INFO

2 Year Plan 2012-2013

Start Date	End Date	Activity	Division
1 /1 /2012	12/31/2012	Year round reporting of any contribution of \$1000 or more received by a political committee, must be reported within five business days following its receipt unless committee is participating in an election and the contribution is received within 30 days prior to that election. 10 ILCS 5/9(c).	CAMP DISC
1 /3 /2012	1 /6 /2012	Democrat and Republican Presidential preference and Delegates/Alternate Delegates filing period.	EL INFO
1 /3 /2012		First day that any political committee shall file its 2011 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10(b)	CAMP DISC
1 /4 /2012		Objection filing deadline to nomination papers of candidates who filed for judicial office during the December 19 – 27, 2011 filing period. (Special judicial filing) 10 ILCS 5/7-12.1	EL INFO
1 /5 /2012		Last day to conduct lottery to determine ballot position for 8 a.m. simultaneous filings for December 19 – 27, 2011 filing period.	EL INFO
1 /9 /2012		Last day for written notice of the time and place for conducting lottery for ballot placement for Presidential preference, delegate and alternate delegate candidates.	EL INFO
1 /10/2012		Last day for Democrat Presidential candidate or his authorized agent to file an affidavit with the State Board of Elections designating which delegate and alternate delegate candidates shall be listed as committed to him when more candidates that have been allocated to a district file statements designating the same Presidential candidate as their preference.	EL INFO
1 /10/2012		Send an updated listing of certified civic organizations to all election authorities (SBE Rule 207.50).	ET&RD
1 /10/2012		Lottery for Presidential and delegate candidates	EL INFO
1 /12/2012		Prepare and have available for distribution the listing of candidates for the March 2012 General Primary Election. 10 ILCS 5/1	EL INFO, ET&RD

Start Date	End Date	Activity	Division
1 /12/2012		Mail March General Primary certifications to county clerks. 10 ILCS 5/1A-8 (1, 2, 11)	EL INFO
1 /12/2012		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
1 /13/2012		Last day for filing objections to the nomination papers of Presidential Preference, delegate and alternate delegate candidates in the office of the State Board of Elections who filed January 3 to 6.	EL INFO
1 /13/2012		Finalize 2012 SBE LEGISLATIVE PROGRAM 97th General Assembly - 2nd year - process of bill drafting, obtaining sponsorship, bill introduction, monitoring, etc. [10 ILCS 5/1A-8 (8)]	LEG
1 /17/2012		Last day for Republican Presidential candidate or his authorized agent to file an affidavit with the State Board of Elections designating which delegate and alternate delegate candidates shall be listed as committed to him when more candidates that have been allocated to a district file statements designating the same Presidential candidate as their preference. The remaining candidates will be listed as uncommitted.	EL INFO
1 /17/2012		Last day that a political committee shall file its 2011 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10(b)	CAMP DISC
1 /19/2012		Notify election authorities to send referenda information. 10ILCS 5/1A-8 (1,2,12)	VOSS
1 /20/2012		Send notice (form) to election authorities in order to obtain early voting system information to be used for the General Primary Election	VOSS
1 /20/2012		Send notice (form) to election authorities in order to obtain voting system information to be used for the General Primary Election. (Rules and Regulations)	VOSS
1 /24/2012		Send each election authority a current computerized voter registration file error report, for the December 15, 2011 submission.	VRS
1 /24/2012		Send notice to election authorities who failed to submit computerized voter registration file, for the December 15, 2011 submission. Rules and Regulations	VRS

Start Date	End Date	Activity	Division
1 /25/2012		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 5/24B-9, 24C-9	VOSS
1 /25/2012		Governor's State of the State Message Constitution Art. V Sec. 13. (4th Wednesday in January)	LEG
1 /27/2012		Bill Introduction Deadline. Last day to file a legislative bill with either HOUSE. (H/S Rule) (date subject to change)	LEG
1 /30/2012		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Primary. 10 ILCS 5/24B-9	VOSS
2 /1 /2012		Date upon which the State Board of Election shall notify political committees that have failed to file the 2011 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
2 /1 /2012		Begin preparation of packet materials for 2012 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed March 2, 2012. 10 ILCS 5/9-15.	CAMP DISC
2 /3 /2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Primary Election. (Rules and Regulations)	VOSS
2 /3 /2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use in the General Primary Election.	VOSS
2 /4 /2012		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. [10 ILCS 5/7-16, 16-5.01]	LEG

4. Follow up.
5. Comments from the general public.
6. Next Board meeting, Thursday, January 12, 2012 in Springfield.
7. Executive Session.